

**FOLLOW UP OF THE ICCAT PERFORMANCE REVIEW – PWG**

Colour key for column “Completion status following annual meeting” only:

- Red – Not started or little progress, requiring significant work.
- Orange – Started, progress but still requiring additional work to respect deadlines.
- Green – Completed or significant progress made and on track for completion within deadlines.

<i>Chapter</i>	<i>Recommendations</i>	<i>LEAD</i>	<i>Time-frame</i>	<i>Proposed Next Steps</i>	<i>Observations / Comments</i>	<i>Action to be taken, or already taken</i>	<i>Completion status following annual meeting</i>	<i>Comments</i>
<b>Data Collection and Sharing</b>	6. The Panel recommends that a mechanism be found to allow minor occasional harvesters without allocations to report their catches without being subject to sanctions.	COC				Has been taken into account in some measures taken by the Panels.		
	6bis. The Panel concludes that ICCAT scores well in terms of agreed forms and protocols for data collection but, while progress has been made, more needs to be done particularly for bycatch species and discards.	SCRS		PWG will review implementation of measures designed to improve collection and reporting of bycatch and discard data (e.g., Recs. 16-14 and 11-10) at 2019 Annual meeting.		<u>Additionally, the SCRS has made efforts to improve the methodology of estimation of discards.</u>		See comments SCRS_BIL SG.

Port State Measures	67. Amends Rec 12-07 to ensure more consistency with the PSM Agreement, in particular by including definitions and requiring CPCs to impose key port State measures such as denial or use of port in certain scenarios.	PWG	S	Refer to PWG for consideration and appropriate action.		IMM discussed at the April 2018 meeting; Agenda Item 5.d. Proposal was adopted as Rec. 18-09.	Done	No further action required by PWG.
	68. Closely follows IOTC's efforts to enhance effective implementation of its port State measures through, <i>inter alia</i> , its e-PSM system, and, where appropriate, adopt similar efforts within ICCAT.	PWG	S/M	Refer to Online Reporting Working Group for analysis.	South Africa is already sending Port Inspection Reports to ICCAT through ePSM. IOTC have updated the referential tables to include the necessary ICCAT codes/reference s, etc.	The Working Group on Online Reporting agreed that exploration of developments in other fora would be appropriate before any decisions were taken, such as FAO workshops which <u>may</u> also give consideration to Port State Measure implementation or the next Kobe meeting. The WG on Online Reporting agreed to await the outcomes of <u>these meetings</u> and to revert to this issue intersessionally, <u>as appropriate</u> .		

	<p>69. Make more efforts to assess substantive compliance with its port State measures and to specify consequences for non-compliance.</p>	<p>COC</p>	<p>S</p>	<p>Refer to PWG to review implementation and determine any technical improvements that might be needed. Refer to COC to consider any issues non-compliance and recommend appropriate actions.</p>		<p>IMM considered amendments to Rec. 12-07 that are intended to improve the Commission's review of compliance with the measure. PWG will be able to use any recommendations coming from the COC in order to make technical improvements in that area. Revised proposal on <u>Port State Measures</u> was adopted as Rec. 18-09.</p> <p><u>COC is considering a working paper on a draft schedule of actions (COC 307B/2021) that would streamline and harmonize compliance actions taken by the COC.</u></p>	<p>New measures taken, but compliance assessment will be ongoing.</p>	
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<p><b>Integrated MCS Measures</b></p>	<p>70. Gives priority to adopting a modern HSBI scheme - through a Recommendation and not a Resolution - that extends to all key ICCAT fisheries as such, but can be applied in practice to selected fisheries according to the COC's compliance priorities.</p>	<p>PWG</p>	<p>M</p>	<p>Refer to the PWG as work on this matter is ongoing.</p>	<p>Adopting a modern high seas boarding inspection scheme remains open, and text remains on the table to facilitate those discussions. A proposal was <u>adopted</u> that establishes a voluntary <u>program for the exchange of inspection personnel</u> at the 2018 Annual meeting as Rec. 18-11 <u>and revised in 2021</u>.</p> <p><u>The PWG discussed an HSBI proposal applicable to the western Atlantic at the 2021 Annual Meeting. It was not adopted and was taken up again during the 2022 IMM meeting. PWG will further consider the proposal at the 2022 ICCAT Annual Meeting.</u></p>	<p>Ongoing</p>	<p>Ongoing discussion by PWG.</p>
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	<p>71. Evaluates the need and appropriateness of further expanding coverage by national and non-national on-board observers for fishing and fishing activities.</p>	<p>PWG</p>	<p>M</p>	<p>Refer to PWG for consideration and also the Panels as observer program requirements can be and some have been agreed as part of management measures for specific fisheries.</p> <p>IMM <u>has</u> revisited this issue following the 2019 COC discussions and Secretariat analysis on compliance with observer program requirements.</p>	<p>SCRS evaluation of current observer program requirements is pending due to lack of reporting.</p>	<p>Expansion of observer coverage by ICCAT remains under consideration. <u>Additional requirements have been adopted with respect to tropical tunas and marlins in their respective recommendations although electronic monitoring may be used in lieu of human observers in some cases.</u> CPCs <u>must</u> report on their observer <u>programs and coverage achieved in their annual reports and to SCRS.</u> Request the Compliance Committee to confirm whether CPCs are complying with the requirements contained in Rec. 16-14 <u>and other relevant recommendations.</u></p>		<p>Ongoing discussion by PWG <u>and COC.</u></p>
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<p>72. Considers expanding VMS coverage, adopting uniform standards, specifications and procedures, and gradually transforming its VMS system into a fully centralized VMS.</p>	<p>PWG</p>	<p>S</p>	<p>Referred to PWG for consideration; Rec. 14-07 <u>required</u> review per para 6 in 2017. Also referred to the Panels as VMS requirements can be and some have been agreed as part of management measures for specific fisheries.</p>		<p><u>Changes to VMS requirements discussed interessionally by IMM in 2018, including the need for centralized or regional VMS. In 2018, the Commission increased the frequency of VMS reporting and improved other VMS aspects through adoption Rec. 18-10 but no consensus on the need to establish regional or centralized VMS.</u></p>		<p>Ongoing discussion by PWG.</p>
<p>73. Works towards replacing all SDPs with electronic CDPs that are harmonized among tuna RFMOs where appropriate - in particular for bigeye tuna - while taking account of the envisaged FAO Voluntary Guidelines on Catch Documentation Schemes.</p>	<p>PWG</p>	<p>M</p>	<p>Refer to PWG for further analysis.</p>		<p>Discussed at the April 2018 IMM Meeting. IMM requested that the Secretariat in time for the 2018 Commission annual meeting compile information to inform Commission consideration of the risks posed to ICCAT stocks by IUU activities and/or other potential threats and possible ways to address any such threats, such as the use of Catch</p>		<p>Ongoing discussion by PWG.</p>

						Documentation Schemes. Not completed, to be further considered by IMM in the future.  <u>In 2021, ICCAT adopted Resolution 21-21 to establish a new working group on a catch document scheme to further consider the possible expansion of CDS in ICCAT.</u>		
	74. Considers, in the interest of transparency, incorporating all measures relating to distinct MCS measures - in particular transshipment and on-board observers - in one single ICCAT Recommendation, so that CPCs have only one reference document to consult.	PWG	M	Refer to PWG for assessment of the pros and cons of this approach.		Because of the significant administrative burden of this exercise, it is suggested to maintain separate recommendations, to systematically delete obsolete measures to refresh references in the remaining ones.	Separate measures to be maintained, procedure for removal agreed.	No further action required by PWG.

<p><b>Cooperative Mechanisms to Detect and Deter Non-Compliance</b></p>	<p>79. The Panel recommends that independent information from the fisheries, through inspections at sea and in port, and through effective observer programmes, are made available to the COC, in order for the COC to conduct an effective compliance assessment.</p>	<p>PWG</p>	<p>M</p>	<p>Refer to PWG to consider if there are technical reasons for implementation failures and how to address them if so; Refer to COC to consider extent of any non-compliance and recommend appropriate action.</p>	<p>Some independent information is available to COC due to ICCAT requirements but implementation and reporting problems exist in some cases that can limit evaluation of compliance by CPCs.</p>	<p>Observer and inspection reports are made available to the Commission and subsidiary bodies. Discussed at the April 2018 IMM Meeting; Agenda item 5d</p>		<p><u>PWG discussions ongoing as appropriate.</u></p>
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<p><b>Market-Related Measures</b></p>	<p>84. The Panel, noting Rec. 12-09, commends ICCAT for its initiatives in this area and recommends that catch documents, preferably electronic, be introduced for bigeye and swordfish species.</p>	<p>PWG</p>	<p>M</p>	<p>See Recommendation 73 above for proposed action.</p>	<p>Discussed at the April 2018 IMM Meeting; Agenda item 4b; IMM requested that the Secretariat in time for the 2018 Commission Annual meeting compile information to inform Commission consideration of the risks posed to ICCAT stocks by IUU activities and/or other potential threats and possible ways to address any such threats, such as the use of Catch Documentation Schemes. Issue still under consideration.</p> <p><u>In 2021, ICCAT adopted Resolution 21-21 to establish a new working group on a catch document scheme.</u></p>		<p>Ongoing discussion by PWG.</p>
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<p><b>Reporting Requirements</b></p>	<p>85. The Panel recommends that ICCAT, through its Panels 1 to 4, should undertake an overall review of the current reporting requirements, on a stock- by- stock basis, both in relation to Task 1 and Task 2 data contained in the myriad of recommendations, in order to establish whether the reporting obligations in question could be reduced or simplified.</p>	<p>PWG</p>	<p>M</p>	<p>Refer to PWG to undertake this review and present its findings and suggestions to the Panels for their approval.</p>	<p>Such a review will involve many recommendations including proposals developed by virtually all the Panels. PWG is well placed to take a comprehensive look at all these measures. SCRS and the Secretariat could also provide support for this work where appropriate. The Online Reporting Group has also requested that requirements be streamlined and simplified.</p>	<p>Request that, after receiving input from the Online Reporting Working Group by 30 June, the Secretariat circulate to Subsidiary Bodies a list of reporting requirements and how they are used. Work on online reporting currently in progress, and simplification of reporting may be recommended as work progresses.</p>		<p>Ongoing discussion by PWG.</p>
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<p><b>Reporting Requirements</b></p>	<p>87. The Panel recommends that ICCAT consider introducing a provision in new recommendations, whereby the introduction of new reporting requirements would only become effective after a 9 to 12 month period has elapsed. This would assist Developing States to adapt to new requirements. This is particularly relevant where the volume and/or nature of the reporting have changed significantly. The difficulties Developing States encounter in introducing new administrative/</p>	<p>COM - to be considered by all bodies.</p>	<p>S</p>	<p>Refer to all ICCAT bodies that can recommend binding reporting requirements for consideration when developing such recommendations. Commission to coordinate action among the bodies.</p>		<p>A global standard may not be appropriate. Application should be handled on a case-by-case basis rather than a blanket coverage for all recommendations.</p>	<p>This may be taken into consideration in specific measures, but no further action currently required by PWG.</p>	<p>Ongoing discussion by PWG.</p>
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	reporting requirements at short notice, is well documented in the compliance context. The option for Developed CPCs to apply immediately the new reporting requirements may of course be maintained, if those CPCs consider it opportune.							
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<p><b>Confidentiality</b></p>	<p>97. Considers further improvements, for instance by making more of its data and documents publicly available and - as regards documents - explaining the reasons for classifying certain documents as confidential.</p>	<p>COM - referred to PWG</p>	<p>M</p>	<p>Refer the issue to the Commission / PWG and SCRS to begin a review of ICCAT's rules on confidentiality and their application and needed adjustments can be identified, if any.</p>		<p>There is merit in the SCRS reviewing data confidentiality rules and consider processes within other RFMOs. The PWG should consider this recommendation at the annual meeting. <u>A paper, an Amendment to the Rules and procedures for the protection, access to, and dissemination of data by ICCAT (PLE-114A/2021), was provisionally adopted by the Commission at the 2021 Annual Meeting for a period of one year. It will be further considered by the Commission in 2022.</u></p>		<p><u>PWG to lead consideration of this issue in 2022.</u></p>
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	<p>98. Conducts a review of its Rules and Procedures on Data Confidentiality as envisaged in its paragraph 33, taking into account the need for harmonization among tuna RFMOs consistent with Rec KIII-1. As part of this review, it should adopt an ICCAT's Information Security Policy (ISP), where appropriate.</p>	<p>PWG</p>	<p>M</p>	<p>Refer the issue to the PWG and SCRS to begin a review of ICCAT's rules on confidentiality and their application and needed adjustments can be identified, if any.</p>		<p>There is merit in an external review of the Secretariat's current security policies. The PWG should consider this recommendation at the annual meeting.</p> <p><u>A paper, an Amendment to the Rules and procedures for the protection, access to, and dissemination of data by ICCAT (PLE-114A/2021), was provisionally adopted by the Commission at the 2021 Annual Meeting for a period of one year. It will be further considered by the Commission in 2022.</u></p>		<p><u>PWG to lead consideration of this issue in 2022.</u></p>
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<p><b>Capacity building - port State measures</b></p>	<p>110 a) Urges developing CPCs to make the necessary efforts to assist the ICCAT Secretariat in identifying their capacity building needs;</p>	<p>PWG</p>	<p>S</p>	<p>Refer to the PWG where work is already underway through the Port Inspection Experts Group (established per Rec. 16-18).</p>	<p>The Port Inspection Expert Group had developed a two tier questionnaire which has been circulated to all CPCs and responses have been requested. The report of the Port Inspection Expert Group was <u>adopted</u> and Commission agreed to Call for Tender for ICCAT training module and to start with the needs assessments of the two CPs nominated by the Expert Group. Tender for ICCAT module has been awarded and output will be considered by the Port Inspection Expert Group in early 2020. The Manual has been submitted and almost finalized; translation pending to be followed by final review by Expert Group.</p> <p><u>The PIEG met again in June 2022 to discuss further dissemination of the Manual. It will present a report to PWG in 2022.</u></p>	<p style="background-color: yellow;"></p>	<p><u>Ongoing discussion by PWG.</u></p>
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	<p>110 b) Closely coordinates the operation of Rec 14-08 with existing and future capacity building initiatives undertaken by other intergovernmental bodies.</p>	<p>PWG</p>	<p>S/M</p>	<p>Refer to the PWG where work is already underway through the Port Inspection Experts Group (established per Rec. 16-18).</p>	<p>The Port Inspection Expert Group invited an expert (funded by ABNJ) to its last meeting, in order to better learn of initiatives and developments in that RFMO. Discussed at the April 2018 IMM Meeting; Port Inspection Expert Group taking current initiatives into account <u>and will present a report of its 2022 work to PWG.</u> <del>Further consideration may be given to this at the 2020 Port Inspection Expert Group Meeting.</del></p>		<p><u>Ongoing discussion by PWG.</u></p>
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