## DRAFT COMPLIANCE SUMMARY TABLES

		2019			120	
СРС		Response /	Actions taken in	3	Response /	Actions
	compliance 2019	explanation by CPC	2019	compliance-2020	explanation by CPC	taken in 2020
ALBANIA	Annual Reports/ Statistics: Statistical data received late.			Annual Reports/ Statistics:		No action necessary.
	Management Measures: Turtle handling guidelines submitted (in	are binding in		Conservation and Management Measures:		
	Quotas and catch limits:		-	Quotas and catch limits:		
	Other issues: ROP_BFT: See COC- 305/2019 for a PNC and response.			Other issues:		

CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
ALGERIA	Annual Reports/ Statistics: Rec. 16- 14: No national scientific observers deployed. Some species in Task I not reported (blanks shown in COC-303 Appendix 3)	Observer coverage for tuna purse seiners is 100%. The administration controllers, all of whom are fisheries engineers, are capable of carrying out the tasks stipulated in the recommendation. For longline fisheries with a length less than 15 m (the segment with the highest number of vessels is between 4.80 and 9m), without a pontoon, with little space to embark in addition 4 crew members and equipment and an observer (onboard safety issue). Algeria has resorted to an alternative measure. Information collection has been possible through implementation of an awareness programme aimed at fisheries professionals and intervention by fisheries inspectors at landing points.	Letter on implementation of ICCAT requirements on domestic scientific observers, turtles, and reporting of Task II data.	Annual Reports/ Statistics:		Letter on reporting issue: updated Shark Check Sheet submitted, after commencement of 2020 proceedings.

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Conservation and	See response to 2018 letter contained in COC-	Conservation and	
Management	309. In addition to our responses related to	Management Measures:	
Measures: Rec. 10-	compliance issues for Algeria, it should be	Rec. 18-06: No updated	
<b>09/13-11:</b> It is	recalled that within the context of mitigation of	Shark sheet since previous	
unclear if mandatory	sea turtle by-catch, a circular note was sent to	<del>year.</del>	
measures were	all decentralized fisheries services and		
implemented to	representatives of the profession regarding		
reduce by-catch and	compliance. Coastguards, as the maritime		
safe handling of	police, also received this note for compliance on		
turtles; <b>Rec. 11-10</b> :	the field. A copy of this note is attached to this response. It should be noted that regarding		
No information on	participation in SCRS work, in particular data		
	collection and compliance with paragraph 3 of		
progress concerning	Recommendation 11-10, Algeria presented at		
the reduction of by-	the 2016 Intersessional Meeting of the Sharks		
catch and discards.	Species Group, a paper with reference		
	SCRS/2016/186, on identification of shark		
	species existing in Algeria "Preliminary		
	inventory of sharks species found in Algerian		
	waters".		
	waters.		
Quotas and catch		Quotas and catch limits:	
limits:			
Other issues: PNC		Other issues: See COC-	
concerning the ROP-		305 for PNCs reported	
BFT presented in		under ROP-BFT and	
document COC-		responses. See Annex 9 to	
305/19, together		COC-303 for Port Inspection	
with the responses.		Reports with possible	
with the responses.		infringements and action	
		9	
		taken	

		2019			2020	
CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
ANGOLA	Annual Reports/ Statistics: Annual Report submitted late (14 November). No Task II data received. Rec. 16-14: No ST11 or ST09 (scientific observer information/data). No T1 fleet characteristics. Some species in Task I not reported (blanks shown in COC-303 Appendix 3)  Conservation and Management Measures: Rec. 18-05: No billfish check sheet received; Rec. 18-06: No updated shark check sheet received; Rec. 16-01: Quarterly reports of BET catches in 2018 and 2019 not submitted. Rec. 12-07/18-09: No list of designated ports. Rec. 14-07: No submissions of requirements regarding access agreements.		of designated ports (Rec. 18-09), and implementation of	Annual Reports/ Statistics: Annual Report received late, in incorrect format and without explanation of 'not applicable'. Fleet characteristics and Task 2 data received late, but all data relate to foreign fleets. No statistical data for national fleet received. Rec. 16-14: No information on observer programmes.  Conservation and Management Measures: Rec. 18-05: No billfish check sheet received; Rec. 18-06: No shark check sheet received; Rec. 16-01: No quarterly reports of BET catches submitted. Rec.18-09: No list of designated ports. Rec. 14-07: No notification of access agreements but catch data was reported.		Letter on recurring reporting issues, no list of designated ports (Rec. 18-09), and implementation of ICCAT requirements on domestic scientific observers.
	Quotas and catch limits: No compliance tables received.  Other issues: No reply to COC Chair letter			Quotas and catch limits: No compliance tables received.  Other issues: No reply to COC Chair letter		

СРС	Potential issues of non-	Response /	Actions taken in	Potential issues of non-	Response /	Actions taken in
	compliance-2019	explanation by CPC	2019	compliance-2020	explanation by CPC	2020
BARBADOS	Annual Reports/ Statistics: Rec. 16-14: No observer programme yet in place so no data / information sumbitted  Conservation and Management Measures: Full implemenation of turtle measures unclear (e.g. whether best handling practices are obligatory). Rec. 18-09: Unclear if entry of foreign fishing vessels is prohibited generally or on a case by case basis.	See response to 2019 letter contained in COC-309.	no domestic scientific observer program in place;	Annual Reports/ Statistics: Rec. 16-14: No observer programme yet in place so no data / information sumbitted. Barbados is examining options to comply with Rec. 16-14.  Conservation and Management Measures:		Letter on no domestic scientific observer program in place and continued marlin overharvest.
	Quotas and catch limits: Continued overharvest of BUM. Overharvest of WHM in 2018.  Other issues:			Quotas and catch limits: Overharvest of Blue Marlin and White Marlin. Some differences between Task 1 and Compliance tables for historical data.  Other issues:		

СРС	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
BELIZE	Annual Reports/ Statistics: Unclear from Annual Report if Belize is implementing ICCAT requirements in a binding manner for vessels fishing in Belize's national waters. Rec. 16-14: not clear that minimum % observer coverage being met. Some species in Task I not reported (blanks shown in COC-303 Appendix 3).		Letter on implementation of Rec. 16-14 on domestic scientific observer program, > 45 day retroactive vessel notification (Rec. 13-13/14-10), and on implementation of ICCAT requirements in waters under Belize's national jurisdiction, while noting			No action necessary.
	Conservation and management measures: Rec. 13-13/14-10 Vessel reported for inclusion on ICCAT Record more than 45 days retroactively.		Belize's response on the latter matter in its 2019 COC response letter.	Conservation and management measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:		-	Other issues:		

		2019		2020			
CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020	
BRAZIL	Unclear if scientific observer coverage	Brazilian scientific observer program was restarted in 2018, with a level of coverage of less than the 5% required on boats that do not pose an extraordinary safety concern. In order to increase coverage, electronic monitoring system will need ICCAT funds to support the implementation of scientific observer programs, particularly regarding guidance on electronic monitoring systems.		Annual Reports/ Statistics:		Letter on late BET quarterly reports, and missing compliance table size limits.	
	Conservation and Management Measures: Rec. 18-05: Billfish check sheet received late. Rec. 16- 01: Some quarterly BET catches received late.			Conservation and Management Measures: Rec. 16-01: Some quarterly BET catches received late.			
	Quotas and catch limits: Size limits not completed on compliance tables.			Quotas and catch limits: Size limits not completed on compliance tables.			
	Other issues:			Other issues:			

CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
CABO VERDE	Annual Reports/ Statistics: Annual report received late (9 Oct). Rec. 16-14. No ST11 or ST09 (observer programme information / data) received. No T1 fleet characteristics. Some species in Task I not reported (blanks shown in COC-303 Appendix 3).		Letter on reporting issues, no port inspection reports, implementation requirements on domestic scientific observers.	Annual Reports/ Statistics: Annaul report summary table received late. Rec. 16-14: No scientific observer programme implemented, no observer data received		Letter on reporting issues, implementation requirements on domestic scientific observers.
	Conservation and Management Measures: Rec. 16-01: Third and fourth quarterly catch reports combined for 2018, but no information reported for 2019; Rec. 18-05: Late submission of billfish check sheets; Rec. 18-06: Late submission of shark check sheets; Rec. 14-07: No submission of access agreement requirements.			Conservation and Management Measures: Rec. 18-05: No billfish check sheet received		
	Quotas and catch limits:			Quotas and catch limits: No compliance tables received		
	Other issues:			Other issues:		

	2017							
CPC	Potential issues of non-	Response /	Actions taken in	Potential issues of non-	Response / explanation by CPC	Actions taken in		
	compliance-2019	explanation by CPC	2019	compliance-2020		2020		
Canada	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		No action necessary		
	_ ′		necessary.	,				
	Conservation and			Conservation and	It was not possible to provide			
	Management Measures:			Management Measures:	notice of this small adjustment			
				Rec, 19-01: Tropical fishing	until after the set deadline, as			
				plan received late.	Canada's consultation with			
					relevant stakeholders and			
					indigenous partners occurs			
					after January 3.			
				Quotas and catch limits:				
				Some differences between				
				Task 1 and compliance				
				tables.				
				Other issues:				

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
CHINA, People's Rep.	Annual Reports/ Statistics: Some species in Task I not reported (blanks shown in COC 303 Appendix 3).  Conservation and Management Measures: Rec. 18-09 (formerly Rec. 12-07): no list of designated ports.		Letter on implementation of Rec. 18-09 requirements on designation of ports, while noting positively information provided on actions taken thus far and planned as detailed in China's 2019 COC response letter.			Letter on implementation of Rec. 18-09 requirements on designation of ports and late reporting on implementation of eastern bluefin measures.
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: ROP_Transhipment: See COC- 305 for list of PNCs and responses.			Other issues: ROP_Transhipment: Response to PNCs under ROP-transhipment (see COC-305) contained in COC- 305, Addendum 1 to Appendix 1.		

СРС	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
CÔTE D'IVOIRE	Annual Reports/Statistics: Rec. 16-14. No ST11 or ST09 (observer programme information /data) received. Annual Report reporting summary tables received late.	trs/ Statistics: Rec.  1 or ST09 (observer formation /data)  1 all Report reporting  Letter on reporting issues, implementation of requirements on national scientific observers, on scientific observers,	Annual Reports/ Statistics: No Annual Report received. Rec. 16-14: No information on scientific observer programme received.		Letter on reporting issues, implementation of requirements on national scientific observers.	
	Conservation and Management Measures: Rec. 16-01: BET quarterly catch reports received for 2018, but missing 2nd quarter for 2019; Rec. 01-22: SDP data received late. Rec. 16-15: Report on transhipment received late and without the comprehensive evaulation report. Rec. 14-07: No information from access agreements submitted (no new agreeements in 2018 according to Annual Report).			Conservation and Management Measures: Rec. 18-05. No billfish check sheet received. Rec 18-06: No updated shark check sheet received.		
	Quotas and catch limits: "Sizes" and "adjustment" sheets missing in COC sheets.			Quotas and catch limits: Some differences between Task 1 and compliance tables.		
	Other issues: PNC concerning ROP-TRANS in COC-305/19 including response.					

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
CURAÇAO	summary received late; Unclear whether ICCAT requirements are implemented in Curaçao's domestic waters or only on	are applicable in Curaçao's domestic waters, but there is currently no fishing licences have been issued for the EEZ.  Statistics:  reporting issues.  Statistics:  reporting issues.  Statistics:	- ·		No action necessary.	
	Conservation and Management Measures: Rec. 16-01: Some quarterly reports for 2019 received late.			Conservation and Management Measures:		
	Quotas and catch limits: Compliance tables received late		-	Quotas and catch limits: Some differences between Task 1 and compliance tables.	This is the result of different methods used for counting said categories: Task 1 reflects estimates by Captains on board the vessels while Compliance tables reflect real numbers reported once the fish have been offloaded at a port or factory.	
	Other issues:			Other issues:		

		2017			2020	
CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
EGYPT	Annual Reports/ Statistics: No ST09 received. Some species in Task I not reported (blanks shown in COC 303 Appendix 3)		Letter on implementation of requirements on national scientific observers, while noting positively Egypt's outreach to Secretariat to seek assistance at the 2019 meeting and encouraging follow-up on this matter.	Annual Reports/ Statistics:		No action necessary.
	Conservation and Management Measures:			Conservation and Management Measures: Rec. 18-05: Billfish check sheet received late.	Egypt has mentioned in its annual report that there is no recorded fishing activities for these species as we do not have these species up till now.	
	Quotas and catch limits:			Quotas and catch limits:	ICCAT Compliance Reporting Table was sent on 2020/08/08.	
	Other issues: No reply to letter from COC Chair.			Other issues:		

		2017			2020	
CPC	Potential issues of non-compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
EL SALVADOR	Annual Reports/ Statistics: Annual report received late. Some statistical Task II data received late.  Conservation and Management Measures: Rec. 16-15: transshipment report received late: Rec. 01-21 and 01-22: SDP data received late: Rec. 06-13: Trade data received late: Recs 10-09/13-11: Unclear if measures are fully implemented / legally binding. Rec. 18-05 and 18-06: No billfish check sheet or updated shark check sheet received. Rec. 16-01, para. 4(a) BET harvest (2,634 t) indicate possible insufficient measures to maintain annual catch below 1,575 t"	A written response was received in advance from El Salvador: As regards this issue, I would like to inform you that since June 2019 a new democratically elected Government, took office. Last August, I was appointed Director of Fisheries and a series of cases of non-compliance concerning different international bodies were observed. This legacy was left to us by the public officials of the previous administration. The best efforts are being made to update the submittal of information to ICCAT corresponding to 2018, and we commit to reply within the established deadline included in the Chair's letter of concern that we received a few days ago.	recurring and significant reporting deficiencies, and BET harvest level that indicates significant deficiency of implementation of measures in this	Annual Reports/ Statistics: Annual Report received late.  Conservation and Management Measures: Rec. 19-01: Fishing and FAD plans submitted late (with previous notification).	a. The missing document was submitted on 7 October last. Although this is 21 days after the established deadline, it is because of the special circumstances we are experiencing this year worldwide due to the COVID-19 pandemic and because the report had to be reviewed by the International Fisheries Panel of my country, designated as part of the remedial plan to ensure compliance with ICCAT requirements.  As to the fishing and FAD plans submitted by my country on 29 April 2020, described as late submission, my country would like to point out that, while Recommendation 19-02 entered into force following the declaration to this effect by ICCAT, with the greatest will and resolve to comply, even in the difficult context of the pandemic that we have been experiencing, the submission of the plans was prepared, processed and reviewed, so that the Commission can be sure that my country has not only developed the plans, but has also committed to comply with them, in accordance with the relevant provisions of the current Recommendation, as from its	Letter on reporting issues, while noting improvement, and BET harvest level (2.452 t) that indicates deficiency of implementation of
	Quotas and catch limits: Compliance tables received late.			Quotas and catch limits:	entry into force.	
	Other issues:			Other issues:		

СРС	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance-2020	Response / explanation by CPC	Actions taken in 2020
EU	Annual Reports/ Statistics: Some statistical data received late (see PLE-105).		while noting positively	Annual Reports/ Statistics: Some statistical data received late or in incorrect format-(see PLE-105).	Some statistical data was indeed late but we observed some improvement compared to 2019. All other data was submitted on time. We are not aware of any missing data. [Sec note: data from Lithuania not received on first sending due to email problems, but had been sent].	

Conservation and Management Measures: Rec. 18-02: Some caging declarations received late, EU-Portugal and EU-Greece BFT Other/Catching vessels over 15 m did not report any VMS messages.

Caging declarations: this is a recurrent problem stemming from specific EU farm flag Member States which have to face huge amounts of caging operations. The time necessary to analyse and validate the caging footages, as well as the delay necessary to adapt the eBCD references in collaboration with the catching flags EU Member States or other CPCs justify the late transmission of the caging declarations and caging reports. Efforts have been deployed by the EU Member State concerned to address this issue. An extension of the delay to submit the requested documentation should be considered. Regarding VMS, The EU.Portugal vessels are not sending VMS positions because none of these vessels are targeting BFT (only by-catch); EU.Greece has regularly sent those VMS reference to the EU all along 2019. EU committed to send all outstanding VMS data by the end of the meeting.

## **Conservation and Management**

Measures: Rec. 16-05: Late submission of some SWO-MED vessels. Rec. 19-04: Retroactive inclusion of vessels on BFT lists- one case of force majeure without Termination of chartering arrangement not notified.

The closure period adopted for EU vessels for SWO-Med fishery is from 1 January to 31 March, so that the vessels authorised in January before the deadline cannot start their fishing activity before 1 April 2020. Notification of changes (which includes the start of activity) is possible for commercial SWO-Med vessels up to explanatory documentation. Rec. 13-14: 45 days retro-actively (Rec. 13-13 applies mutatis mutandis in this respect). Consequently, the deadline of 15 January is - at least for EU vessels – a pure formality without any practical impact in view of the earliest possible start date of fishing activity on 1 April. See Annex for more detailed explanation.

Two cases where Member States had requested the inclusion of BFT other vessels without respecting the 15 days minimum notice period according to paras 50/1 BFT other vessel (towing vessel): request date: 31.08.2020; authorisation start date: 31.08.2020; documentation provided to ICCAT: 02.09.2020 ESP: 1 BFT other vessel (towing vessel): request date: 28.09.2020; authorisation start date: 28.09.2020; documentation not yet provided to ICCAT; last reminder to ESP sent on 20.10.2020. As case 1 has received an explanatory documentation and case 2 is very recent and the documentation requested still pending, we cannot see a noncompliance at this stage. Justification was sent for the second case on 17 November and circulated to CPCs through Circular 7904-20. In accordance with the Guidelines, the charterers should send the CP05 form and EU.Spain provided the CP06 forms and therefore there is no Potential issue of non-compliance.

Letter on reporting, while noting improvement. Request further updates on EBFT investigations (Tarantelo Operation), while thanking for updates provided to date.

	EU is investigating this and will	·	d catch limits: Some	
	take any necessary measures		s between Task 1 and	
undereporting of	once the investigation has been	complianc	e tables	
WHM as significant	finalised.			
differences between				
compliance tables				
and Task 1 for 2017.				
Other issues:	Some PNCs were sent with the	Other issu	ies: ROP_BFT: See COC-	
ROP_BFT: See COC-	final reports and it was therefore	305/2020	for a list of PNCs and	
305/2019 for a list	difficult to track these. The	responses		
of PNCs and	Consoritum is requested to send			
responses.	PNCs through the usual channels,			
-	in order for these to be			
	investigated.			

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
FRANCE (St. Pierre & Miquelon)	Annual Reports/ Statistics: Late submission of Annual Report (14 October).		Letter on late reporting.	Annual Reports/ Statistics: Annual Report received late.		Letter on late reporting.
	Conservation and Management Measures: Rec. 18-05: Billfish check sheets for the implementation of measures submitted late; Rec. 18-06: Updated shark check sheet submitted late.			Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: No reply to letter from COC Chair.			Other issues:		

СРС	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
GABON	Annual Reports/ Statistics: Responses in Annual Report incomplete/insufficient in some cases. Rec. 16-14: No ST11 or ST09 (observer programme information/data) received.		1 ,	Annual Reports/ Statistics: Annual Report received late, some responese may be incomplete. Task 1 data received late. No fleet characteristics or Task 2 data received. Rec. 16-14: No observer programme information/data received.		Letter on reporting issues.
	Conservation and Management Measures: Rec. 12-07/18-09: No list of designated ports and unclear if foreign fishing vessels prohbited form entering ports. Rec. 14-07: Access agreements not submitted (clarification needed as regards Annual Report: "In 2018, 15 fishing licenses were granted for purse seine vessels flying a foreign flag. Catches amount to 25,689.9 t"). Rec. 14-07: No information from access agreements submitted.			Conservation and Management Measures: Recs 18-05 and 18-06: Late submission of updated bill/shark check sheets.		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: No response to letter from COC Chair (only acknowledgement).		-	Other issues:		

CPC	Potential issues of non-compliance- 2019	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
	Annual Reports/ Statistics: No Annual Report or statistical data were submitted, but The Gambia joined ICCAT in February 2019 (was not a Contracting Party in 2018).		Annual Reports/ Statistics: No Annual Report or statistical data were received.		
	Compliance and mangement measures: The Secretariat has received no responses to the reporting requirements to date, but the Gambia was not a Contracting Party in 2018.		Compliance and mangement measures: The Secretariat has received no responses to the reporting requirements.		
THE GAMBIA			Other issues: One vessel on IUU list, see PWG-405-B, for details.	Currently the vessel has no authorization to fish in The Gambia. The vessel was last licensed to fish in The Gambia waters from 09-10-2019 to 08-01-2020 and operated for only seven days. During the above-mentioned period a fisheries observer was posted on board but after the seven days the fishing vessel left for Dakar Port. However, the Department of Fisheries is in contact with The Gambia Maritime Administration (GMA) as the competent authority for registration of vessels for the procedural measures to delete SAGE from The Gambian Register. This deletion certificate will serve internationally for the arrest and prosecution of the vessel in conduct of IUU fishing.	

CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
GHANA	Annual Reports/ Statistics: Some Task II data received did not pass filter (could not be processed).	Ghana has been using the Task II software recommended by ICCAT and have encountered processing issues.	Letter on reporting issues and procedure followed in submitting vessel to 16-01 tropical list, while noting positively steps taken to rectify these issues.	Annual Reports/ Statistics: Task 2 data received in incorrect format	Ghana has been using the Task II software recommended by ICCAT and has encountered processing issues.	Letter on reporting issues.
	Conservation and Management Measures: Rec. 18-09: Period of designation of ports on ICCAT Record expired.			Conservation and Management Measures: Rec. 01-21 [18-07]: Bigye Statistical Document biannual report received late.		
	Quotas and catch limits: Size data sheet not completed on compliance tables.	Ghana will work with the Secretariat to resolve this.		Quotas and catch limits: Some differences between Task 1 and compliance tables.	Ghana will work with SCRS to resolve the issue.	
	Other issues:			Other issues:		

	2019			2020			
	Potential issues of non-	Response /	Actions taken	Potential issues of non-	Response /	Actions taken in	
	compliance-2019	explanation by CPC	in 2019	compliance-2020	explanation by CPC	2020	
GRENADA	Annual Reports/ Statistics: No Annual Report received, no statistical data received. Rec. 16-14. No ST11 or ST09 (observer programme information/data) received.		Identification due to recurring significant reporting issues (including no Annual Report,	Annual Reports/ Statistics: No Annual Report received. Rec. 16-14: No information on scientific observer programme. Statistical data received late and incomplete - no 2019 data.		Letter on recurring reporting issues including no Annual Report, while noting improvement	
	Conservation and Management Measures: No submissions received in 2019.		no statistical data).	Conservation and Management Measures: Rec. 18-5 and 18-06: No shark or billfish check sheets received. No submissions received in		from previous years.	
	Quotas and catch limits: No compliance tables, (applicability cannot be determined as no other information received).			Quotas and catch limits: No compliance tables received.  Other issues: Prohibited under			
	Other issues: No response to letter from COC Chair. Prohibited under Rec. 11-15.			Rec. 11-15.			

		2019			2020	
CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
GUATEMALA	received late. Information on scientific observer programmes received late (Rec. 16-	Written explanation received from Guatemala: Unfortunately there was an overlap of information and the required information was not sent.	issues, implementation of national scientific observer program, shark, billfish, and	Annual Reports/ Statistics: Annual report received late. Statistical data received late. Rec. 16- 14: No scientific observer data sent and no programme implemented.	As stated in the Annual Report submitted, through the responses to the respective questionnaires, i.e. the Scientific Reporting Summary Table (Item S10), Section 2 on research and statistics, and Section 5, my country complies with the above-mentioned Recommendation 16-14. Even though there is no programme implemented by national civil servants, the services are contracted of a qualified entity with experience in implementation of observers programmes onboard the national fleet, whose management is acknowledged and supervised by Guatemala. In fact, since the start of operations by my country in the Convention area, the services have been contracted of another institution or provider for compliance with the obligations of the national scientific observers programme, which must be understood to endorsed, supervised and implemented by Guatemala as the flag State.	Letter on reporting issues. implementation of national scientific observer program.

Conservation and	Many new staff at		Conservation and	
Management Measures:	the Ministry		Management Measures:	
Rec. 16-01: No quarterly	unfamiliar with the		Rec. 16-01: No quarterly	
BET catch reports	requirements, but		BET catch reports	
received. FAD	will make every		received.	
management plan	effort to provide all			
received late. Rec. 18-05	the information			
and 18-06: Shark and	needed.			
billfish check sheets				
received late. Rec. 10-09				
and 13-11: Unlcear if				
provisions have been				
implemented in a legally				
Quotas and catch limits:		1	Quotas and catch limits:	
			Some differences between	
			Task 1 and compliance	
			tables.	
		]		
Other issues:			Other issues:	

СРС	Potential issues of non- compliance-2019	Response / explanation	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation	Actions taken in 2020
	compnunce-2013	by CPC	2017	compilance-2020	by CPC	2020
GUINEA BISSAU	Annual Reports/ Statistics: No Annual Report received, no statistical data received, Rec. 16-14. No ST11 or ST09 (observer programme information / data) received.  Conservation and Management Measures: No response to any reporting requirement received including no shark sheet, no billfish sheet, no list of designated ports.		Identification due to recurring significant reporting issues, including no Annual Report or statistical data received for three years in a row.	Annual Reports/ Statistics: No Annual Report received or any statistical data were received. Rec. 16-14. observer programme information / data received.  Conservation and Management Measures: No response to any reporting requirement received in 2020.		Maintain identification under ICCAT Rec. 06-13 due to recurring significant reporting issues, including no Annual Report or statistical data received for four years in a row.
	Quotas and catch limits: No compliance tables, applicability cannot be determined).  Other issues: No reply to			Quotas and catch limits: No compliance tables, (applicability cannot be determined).  Other issues: Prohibited		
	COC Chair's letter. Prohibited under Rec. 11- 15.			under Rec. 11-15.		

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
GUINEA EQUATORIAL	Annual Reports/ Statistics: Statistical data received late. Catches shown for 2018 while under prohibition. Rec. 16-14: No information on national scientific observer programme.		Letter on reporting issues, catch in 2018 while under prohibition of retention pursuant to Rec. 11-15.	Annual Reports/ Statistics: Task 1 data received late No Fleet Characteristics or Task 2 data received.	Fleet characteristics not applicable. Equatorial Guinea does not have a national fishing fleet.	No action necessary.
	Conservation and Management Measures: Rec. 16-01: No quarterly BET catch reports for 2018 (or 2019).			Conservation and Management Measures:		
	Quotas and catch limits: No compliance tables received.			Quotas and catch limits:		
	Other issues: Prohibition maintained as 2017 data missing. Reply to letter from COC Chair received during meeting.		-	Other issues:		

CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
GUINEA Rep.	Annual Reports/ Statistics: Annual Reports/ Statistics: No Annual Report received, no statistical data received. Rec. 16-14. No ST11 or ST09 (observer programme information/data) received.		Identification due to recurring significant reporting issues, including no Annual Report or statistical data received for three years in a	Task 1 data received late.		<u>Maintain</u>
	Conservation and Management Measures: Rec. 16-01: No quarterly BET catch reports received; Rec. 18-05: Billfish check sheets note submitted; Rec. 18-06: No update of shark check sheets submitted; Rec. 12-07/18-09: No list of designated ports.		Tow.	Conservation and Management Measures: No response to any reporting requirement received in 2020.		identification due to recurring significant reporting issues, including no Annual Report received for three years in a row, while noting improvements.
	Quotas and catch limits:  Other issues: Prohibited under			Quotas and catch limits:  Other issues:		
	Rec. 11-15. No reply to letter from COC Chair.					

			T	T	2020	T
CPC	Potential issues of non-	Response /	Actions taken	Potential issues of non-	Response / explanation by CPC	Actions taken in 2020
	compliance-2019	explanation by CPC	in 2019	compliance-2020		
HONDURAS	Annual Reports/		Letter on	Annual Reports/ Statistics:		
	Statistics: Annual		recurring	No Annual Report received.		
	Report received late,		reporting	-		
	confirmation of zero		issues,			Letter on recurring reporting
	catch received late.		including late			issues, including late or (in
			submission of			case of 2020) non-submission
			Annual Report			of Annual Report multiple
			multiple years			years in a row.
			in a row.			
	Conservation and			Conservation and		
	Management			Management Measures:		
	Measures:			<b>Recs.</b> 18-05 and 18-06: No		
				billfish or shark check sheets		
				received.		
	Quotas and catch		†	Quotas and catch limits:		
	limits:			<b>Quotas ana outon manos</b>		
	Other issues: No reply		1	Other issues:		
	to letter from COC Chair.					
L	1		1	I	1	1

		2019			2020	
CPC	Potential issues of	Response /	Actions taken in	Potential issues of	Response /	Actions taken in
	non-compliance- 2019	explanation by CPC	2019	non-compliance- 2020	explanation by CPC	2020
ICELAND	Annual Reports/ Statistics:		No action necessary.	Annual Reports/ Statistics:		
						No action necessary
	Conservation and Management		_	Conservation and Management		
	Measures: Rec. 18- 06: Updated shark check sheet received late.			Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:		]	Other issues:		

СРС	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
JAPAN	Annual Reports/ Statistics: Section 3 received late.		No action necessary.	Annual Reports/ Statistics:		No action necessary.
	Conservation and Management Measures: Rec. 18-05: Billfish check sheet received late.	Japan guided by first circular from Secretariat which was later corrected through erratum.	-	Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:Some differences between Task 1 and compliance tables due to fishing year data reported for compliance purposes,	Task 1 data is compiled on a calendar year basis while compliance tables are compiled on a fishing year basis (from August to next July). This causes some differences between Task 1 and compliance tables and should not be regarded as a non-compliance.	
	Other issues: ROP_Transhipment: See COC-305 for list of PNCs and responses.			Other issues: See COC-305 for PNCs under ROP-transhipment and responses.		

СРС	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
KOREA, Rep. of	Annual Reports/ Statistics: Part IV of Annual Report submitted late. Some species in Task I not reported (blanks shown in COC-303 Appendix 3).	New staff at Ministry unfamiliar with report format and had not included section 4 with the rest of the report (which was recieved on time).	No action necessary.	Annual Reports/ Statistics:		No action necessary.
	Conservation and Management Measures:			Conservation and Management Measures: Recs 01-21/01-22 and [18-07] Bigye and Swordfish Statistical Document bi-annual reports received late.		
	Quotas and catch limits:			Quotas and catch limits: Some minor differences between Task 1 and compliance tables		
	Other issues:			Other issues:		

СРС	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
LIBERIA	Annual Reports/ Statistics: No T1 fleet characteristics; no Task II catch and effort. Rec. 16-14: No information on scientific observer programmes or alternative measures for national fleet (canoes). Some species in Task I not reported (blanks shown in COC-303 Appendix 3).  Conservation and Management Measures: Rec. 18-09: No list of designated ports, unclear whether foreign vessels are prohibited.		Letter on reporting issues, implementat ion of domestic scientific observer program (Rec. 16-24), no compliance tables received, no list of designated ports (Rec. 18-09).	Annual Reports/ Statistics: Annual report received late: Rec. 16- 14:No ST-09 received as scientific observer programme currently being established, hence data not yet available. No Task 2 data received.  Conservation and Management Measures: Rec. 18-09 No list of designated ports. Rec 18- 05: No billfish check sheet received; Rec. 18-06: No updated shark check sheet received		Letter on reporting issues, implementation of domestic scientific observer program (Rec. 16-14), no list of designated ports (Rec. 18-09), possible blue marlin overharvest, and to further inquire about information submitted to ICCAT (see document COC- 317/20) indicating loitering by Liberian vessels
	Quotas and catch limits: No compliance tables received, but some cathes reported for N.SWO, N. ALB and BUM in Task I.  Other issues: No reply to letter from COC Chair.			Quotas and catch limits: Some differences between Task 1 and compliance tables. Possible over harvest of blue marlin.  Other issues:		without regional observer coverage.

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CPC	Potential issues of non-compliance-	Response / explanation	Actions taken	Potential issues of non-	Response /	Actions taken in 2020
	2019	by CPC	in 2019	compliance-2020	explanation by CPC	
				•		
LIBYA	Annual Reports/ Statistics: Task	Libya could not have a	Letter on	Annual Reports/	<u>Annual Report was</u>	
	II data in incorrect format. Rec.	certain program, hoping	reporting	Statistics: No Annual	submitted late on	
	<b>16-14:</b> Unclear if national	to establish it in near	issues, on	Report received. Task 1	27/12/2020. Re	
	scientific observer coverage is in	future and might require	implementatio	data received late; No.	Task 2: The	
	line with provisions.	assistance from ICCAT	n of ICCAT	<b>Rec. 16-14:</b> No Task 2	unstable situation	
		Secretariat. Information	requirements	Catch and Effort data.	in the country has	
		has been collected from	on national	informaton on scientific	proved to be a	
		the crew and dead fish.	scientific	observer progrmme.	serious obstacle in	
			observers		the overall collation	
			(Rec. 16-14),		of data - however	
			IMO number		the situation is now	
			requirement		bettering and	
			(Rec. 13-13),		personnel have	
			VMS		been recruited to	
			transmission		handle this task in a	
			(Rec. 18-02).		more reliable	
			,		manner in 2021.	
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Conservation and Management Measures: Recs 10-09-13-11: Unclear if provisions are legally binding, despite infrequent interaction. Rec. 13-13: One vessel without IMO number on ICCAT Record. Rec. 18-02: One BFT vessel did not send VMS messages while operating in Mediterranean, 5-19 June.	The updated local Decree No. 33/2019, Article 26 shows the prohibition of catching sea turtles and to be released and returned to the sea after recorded in logbook, in fishing season 2019 there was no sea turtles and/or seabirds reported by Libyan purse seiners. Libya is working on update of General fishing law No. 14 issued on 1981; ICCAT fishery rules will be included. Rec. 13-13: Vessel owner is currently in correspondence with IHS Markit Rec. 18-02. Following investigation, a technial error was discovered which prevented the data being forwarded to ICCAT from Loqua; the missing data has been sent to the Secretariat.	Conservation and Management Measures: Rec. 18-02/19-04: No regulations and other related documents adopted to implement Rec.	Refer to Doc PA2-10B:2020: The Annual Farming. Fishing & Management Plan (Chapter 4 para.a2) adopted in March 2020 clearly indicates that ICCAT Recs. were transposed into local legislation by way of Decree 33/2019.	Letter on reporting issues. on implementation of ICCAT requirements on national scientific observers (Rec. 16-14), lack of regulations to implement EBFT measure (Rec. 18-02/19-04), no compliance tables
Quotas and catch limits:		Quotas and catch limits: No compliance tables received.	Compliance table was submitted on 30.09.2020	submitted.
Other issues: ROP_BFT: See COC-305/19 for a list of PNCs and responses.		Other issues:		

MAURITANIA  Annual Reports / Statistics: Annual report received late and incorrect format. Rec. 16-14: No information on national scientific observer programme.  Conservation and Management Measures: Rec. 18-05: Billfish check sheets on submitted; Rec. 18-06: No update of shark check sheets submitted; Rec. 14-07: Requirements on Access agreements not submitted.  Quotas and catch limits:  Other issues: No reply to letter  Letter on reporting issues, implementation of requirements on national observer programs, sharks, marlin, access agreements, designation of authorized ports (Rec. 18-09), while noting positively work in 2019 with Secretariat to address data deficiencies for previous years.  Quotas and catch limits:  Other issues: No reply to letter			2017			2020	
programs, sharks, marlin, access agreements, designation of authorized ports  (Rec. 18-09), while noting positively work in 2019 with Secretariat to address data deficiencies for previous years.  Quotas and catch limits:  programs, sharks, marlin, access agreements, designation of authorized ports (Rec. 18-09), while noting positively work in 2019 with Secretariat to address data deficiencies for previous years.  Quotas and catch limits:  Quotas and catch limits:  Quotas and catch limits:  Other issues: No reply to letter	URITANIA A ir	Annual Reports/Statistics: Annual report received late and Annual received late and	Response / explanation by	Letter on reporting issues, implementation of	Annual Reports/ Statistics: Rec. 16-14: No scientific observer data submitted.	Response / explanation by CPC	
Other issues: No reply to letter Other issues:	C M cl 0 si R	Conservation and Management Measures: Rec. 18-05: Billfish heck sheets not submitted; Rec. 18- 16: No update of shark check sheets ubmitted; Rec. 14-07: Requirements on Access		programs, sharks, marlin, access agreements, designation of authorized ports (Rec. 18-09), while noting positively work in 2019 with Secretariat to address data deficiencies for	national observer programme. No fleet characteristics or Task 2 data received.  Conservation and Management Measures: Rec 18-06 Shark check sheet		Letter on reporting issues. implementation of requirements on national observer programs.
	Q	Quotas and catch limits:			Quotas and catch limits:		
		Other issues: No reply to letter rom COC Chair.		-	Other issues:		

CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
MEXICO	Annual Reports/ Statistics: Rec. 16-14: Information on scientific observer programmes received late. Some species in Task I not reported (blanks shown in COC 303 Appendix 3).  Conservation and Management Measures: Rec. 16-01: BET catch reports received for last two quarters of 2018 received late. Rec. 13-13: Some vessels on ICCAT Record missing IMO number. Recs 18-05 and 18-06: Billfish and shark checksheets received late. Rec. 18-13: BCD report received late.	Mexico requested a number in 2015 but the request was rejected at that time as the vessels were less than 100 GT. Following clarification from the Secretariat, Mexico has once again submitted requests for IMO No. (copies of requests sent to Secretariat) and are awaiting the allocation of numbers.	and IMO number requirement, while noting positively the efforts reported to address these issues.	Annual Reports/ Statistics:  Conservation and Management Measures: Rec. 01-22 [18-07]: Swordfish Statistical Document bi-annual report received late.	The Mexican Government reiterates that the Secretariat's work is taken into consideration by the Mexican authorities when complying with the Convention objectives and purposes in support of fishing in accordance with responsibility and sustainability criteria. The Mexican Government is working on the necessary corrective mechanisms. The information was submitted in accordance with the indications on 8 May 2020.	

Quotas and catch limits:	

Quotas and catch	In the section "Initial catch	
limits:Some minor	limits" of the ALBN sheet	
differences between Task 1	there are no catch limits for	
and Compliance tables for	Mexico. Furthermore, the	
historical data.	figures in the section	
	"Current catches (CP13)"	
	have not been reported in the	
	compliance tables because	
	the catches were sporadic	
	and very few in number. That	
	is why in the section "Diff >1"	
	no figures match, even	
	though the Task 1 catch	
	figures have been reported.	
	In the section "Diff >1" of the	
	WHM sheet, for 2018, the	
	difference arises because the	
	figure in the compliance table	
	is incorrect, as 15,894 kg (16	
	t, round figure) were caught.	

Other issu	es:		Other issues:	

CPC	Potential issues of	Response /	Actions taken	Potential issues of non-	Response /	Actions taken in 2020
	non-compliance-	explanation by	in 2019	compliance-2020	explanation by CPC	
	2019	CPC				
MOROCCO	Annual Reports/ Statistics: Summary and Part	Were not informed of the missing parts	No action necessary.	Annual Reports/ Statistics: Some		
		until after the deadline.		statistical data received late.		
						No option negociany
						No action necessary.
	Conservation and			Conservation and		
	Management Measures:			Management Measures:		
			]			<u> </u>

Quotas and catch limits:		Quotas and catch limits:	
ROP_BFT: See COC- 305/19 for a list of PNCs and responses and COC-312/2019 for information	contemplated in Rec. 18-02	Other issues:	

CPC NAMIBIA	Potential issues of non- compliance-2019  Annual Reports/	Response / explanation by CPC	Actions taken in 2019  Identification for	Potential issues of non-compliance- 2020  Annual Reports/ Statistics: Rec. 16-	Response / explanation by CPC	Actions taken in 2020
	Statistics: Rec. 16-14. Information on scientific observer programes received late. Some species in Task I not reported (blanks shown in COC 303 Appendix 3).		significant, recurring overharvest of blue marlin for 3 years in a row (LL of 10 t; reported landings 32 (2016), 57 (2017), 84 (2018)); letter also to note late reporting issues; > 45 day	14: No information on scientific observer programs.		
	Conservation and Management Measures: Rec.13-13/14-10: Two vessels reported for inclusion on ICCAT Record more than 45 days retroactively. Rec. 16-15: Report on transhipment received late.		retroactive vessel notification (Rec. 13-13/14-10).	Conservation and Management Measures: Rec. 16-01: No bigeye quarterly reports transmitted. Rec. 13-14: Termination of chartering agreements not communicated.		

Quotas and catch limits:		Quotas and catch limits:	Namibia collects	<u>Identification for</u>
Overharvest of BUM		Overharvest of blue marlin. Some	statistical data	significant,
		differences between Task 1 and	from its Large	<u>recurring</u>
		compliance tables.	Pelagic fishing	overharvest of
			fleet, through	blue marlin for 4
			information	<u>years in a row</u>
			gathered from the	(landings limit of
			log-sheets	10 t; reported
			supplied to	landings 32 t
			Masters of fishing	(2016), 57 t
			vessels, as well as	(2017), 84 t
			data collected at	(2018) 52.72 t
			sea by Fisheries	(2019); resulting
			Observers. Data	in negative
			provided in the	185.72 t landings
			compliance table	<u>limit; letter also</u>
			are actual	to note reporting
			landings data	issues.
			collected by	Recommend that
			fisheries	the COC and Panel
			Inspectors	<u>4 consider</u> additional
			deployed at all	measures at the
			landing points.	2021 Annual
				Meeting to
				address this
				continuing
				<u>overharvest</u>
Other issues:				<u>overnarvest</u>
ROP_Transhipment: See				
COC-305 for list of PNCs				
and responses. No				
response to letter from COC				
Chair.				

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		2019			2020	
CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance-2020	Response / explanation by CPC	Actions taken in 2020
NICARAGUA	Annual Reports/ Statistics:  Conservation and		Letter on reporting issues (no billfish or shark check sheet).	Annual Reports/ Statistics:  Conservation		Letter on recurring reporting issues (no Billfish Check Sheet (Rec. 18-05) or updated Shark Check Sheet (Rec. 18-06)).
	Management Measures: Rec. 18-05 and 18-06: No billfish or shark check sheet submitted.			and Management Measures: Rec. 18-05: no Billfish Check Sheet received; Rec. 18 06: No updated Shark check sheet received		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: No response to letter from COC Chair.			Other issues:		

CPC	Potential issues of non-compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance-2020	Response / explanation by CPC	Actions taken in 2020
	2019			2020		
NIGERIA	Annual Reports/ Statistics: Annual report incomplete (summary and reporting tables sent).		Letter on incomplete Annual Report, notification of designated ports (Rec. 18-09).	Annual Reports/ Statistics: No Annual Report received.		Letter on reporting issues, including no Annual Report, no notification of designated ports (Rec. 18-09), no Billfish Check Sheet (Rec. 18-05).
	Conservation and Management Measures: Rec. 18-09: Status of Port entry by foreign vessels unclear.			Conservation and Management Measures: Rec. 18-09. No list of designated ports submitted. Rec. 18 05: No billfish check sheet received.		<u>Slieet (Rec. 10-03).</u>
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

CPC	Potential issues of non-compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
NORWAY	Annual Reports/ Statistics:		No action necessary.			No action necessary.
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: ROP_BFT: See COC- 305/19 for a list of PNCs and responses.			Other issues:		

СРС	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
PANAMA	Annual Reports/ Statistics: Annual report received late. Statistical data received late. Rec. 16- 14. ST11 or ST09 (observer programme information/data) received late.	Administration undergoing changes which has caused delays.	Letter on continued late reporting issues (including Annual Report and statistical data received multiple			Letter on reporting issues (no Billfish Check Sheet (Rec. 18- 05) or updated Shark
	Conservation and Management Measures: Rec. 13-13/14-10 Several cases of vessels reported for inclusion on ICCAT Record of vessels more than 45 days retroactively. Rec. 18-05: Billfish check sheet received late (15 Nov).	strenghtening MCS measures including	years in a row); > 45 day retroactive vessel notification (Rec. 13-13/14-10).	Conservation and Management Measures: Rec. 18- 05: No Billfish Check Sheet received; Rec. 18-06: No updated Shark check sheet received.		Check Sheet (Rec. 18- 06)), and no compliance tables submitted, while noting improvement on reporting compared to previous years.
	Quotas and catch limits:			Quotas and catch limits: No compliance tables received.		
	Other issues: Response to Chairs letter received late (15 Nov).			Other issues:		

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
PHILIPPINES	Annual Reports/ Statistics: No Annual Report received. No statistical data received (prohibiton still in place).		Letter on continued reporting issues, including no annual reports or statistical data two years in a row.	Annual Reports/ Statistics: No Annual Report or any statistical data were received.		Letter on continued reporting issues, including no annual reports or statistical data three years in a row, while noting receipt of confirmation of zero catch during 2020
	Conservation and Management Measures: No information received in response to any reporting requirement, including Rec. 18-05 and 18-06: Billfish and shark check sheets. Rec. 18- 09: No list of designated ports.			Conservation and Management Measures: 18-05 and 18-06: No billfish or shark check sheets submitted. Rec. 18-09: No list of designated ports submitted.		proceedings.
	Quotas and catch limits:			Quotas and catch limits:		

Other issues: Prohibited	Other issues:
under Rec. 11-15. No	Prohibition
response to letter from COC	maintained and
Chair.	missing data not
	yet submitted.

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
RUSSIA	Annual Reports/ Statistics: ST09 received late.  Conservation and	Russia has no vessels	Letter on reporting issues, including no billfish check sheet or updates to shark check sheet submitted.	Annual Reports/ Statistics: Some statistical data received late.  Conservation and	Annual Reports/Statistics were sent 14/09/2020, 07/07/2020 respectively. In order to exclude technical failures, additional control over the sent information is organized.	
	Management Measures: Rec. 18- 05 and 18-06: No billfish check sheet received. No updated shark check sheet received.	targeting tuna or tunalike species, any tuna fish is taken as bycatch in other fisheries.		Management Measures: Rec. 18- 05:Billfish check sheet received late. Rec. 18-06: Updated shark check sheet received late.	Billfish check sheet and updated shark check sheet were sent 15/10/2020. In order to exclude technical failures, additional control over the sent information is organized.	
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

CPC	Potential issues of non-compliance- 2019	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
Rec. observed and submed No de 14-0	Annual Reports/ Statistics: No Annual Report, no statistical data; Rec. 16-14: No ST11/ST09 - observer information or data.  Conservation and Management	Letter on reporting issues (including no billfish or updated shark check sheet received), no list of designated ports (Rec. 18-09),	Annual Reports/ Statistics: Annual Report received late and incomplete. Rec. 16-14: No information on observer programmes. No fleet characteristics or Task 2 data received.  Conservation and Management		Letter on reporting issues, while noting improvement from previous years.
	Measures: Rec. 16-01: No response to reporting requirements e.g. Quarterly BET catch reports for the 4th quarter of 2018 and 2019 not submitted. Rec. 18-05: Billfish check sheet not submitted. Rec. 18-06: No update of shark check sheets (not submitted); Rec. 12-07/18-09: No designated list of ports; Rec. 14-07: Requirements on access agreements not submitted.	information on access agreements.	Measures: Rec. 18-05: No billfish check sheet received. Rec. 18-06: No updated shark check sheet received.		
	Quotas and catch limits:	-	Quotas and catch limits: No compliance tables received.		
	Other issues: No response to letter from COC Chair.	-	Other issues:		

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
SENEGAL	Annual Reports/ Statistics: Annual Report received late. Rec. 16-14: No ST11/ST09 - scientific observer programme information / data.	See response to Chair letter (COC-309).	Letter on late reporting, implementation of requirements on national scientific observer	Annual Reports/ Statistics: Rec. 16-14. Some statistical data received late. No ST-09 received as scientific observer programme currently being established, hence data not yet available.		Letter on late reporting. implementation of requirements on national scientific observer program (Rec. 16-14).
	Conservation and Management Measures: Rec. 02-21 and Rec. 02- 22: Late submission of biannual SDP reports (BET and SWO, respectively). Rec. 13-13: One vessel without IMO No. reported for inclusion on ICCAT Record of vessels.		program (Rec. 16-14), incomplete compliance table information, vessel without IMO # (Rec. 13-13), while noting positively information provided in its	Conservation and Management Measures: Rec. 13- 14: Termination of chartering arrangements not communicated.		
	Quotas and catch limits: Size information sheet for compliance tables received late.		2019 COC response letter on steps being taken to address	Quotas and catch limits:Some differences between Task 1 and compliance tables.		
	Other issues:		certain issues.	Other issues: One vessel on IUU list, see PWG-405-B, 412, 415 and 416 for details.	Senegal reiterates its request for the current flag of the vessel <i>Mario 11</i> to be changed from Senegal to unknown on the IUU list, because it is considered [by Senegal] that the elements to enable this change have been provided.	

CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
SIERRA LEONE	Annual Reports/ Statistics: Annual Report received late (during meeting) and incomplete. No ST11/ST09 - scientific observer programme information/data.		Letter on reporting issues and no designation of ports (Rec. 18-09).	Annual Reports/ Statistics: Annual report received late. Rec. 16-14: No scientific observer programmes		Letter on late reporting and no scientific observer program (Rec. 16- 14)
	Conservation and Management Measures: Rec. 18- 09. No list of designated ports.			Conservation and Management Measures:		
	Quotas and catch limits:		1	Quotas and catch limits:		
	Other issues: No response to letter from COC Chair.		-	Other issues:		

		2019			2020	
CPC	Potential issues of non- compliance-2019		Actions taken in 2019	Potential issues of non-compliance-2020	Response / explanation by CPC	Actions taken in 2020
SOUTH AFRICA	Annual Reports/ Statistics: Part II of Annual Report received late. Some size data (T2) received late.	South Africa strives for 100% compliance. Some late reporting in 2019 due to administrative oversight. Task II originally sent within the deadline, but with the wrong format. Corrections were hence sent after the deadlines.	No action necessary.	Annual Reports/ Statistics: Annual Report received late. Fleet characteristics (ST01) received late.		Letter on reporting issues.
	Conservation and Management Measures:			Conservation and Management Measures: Rec. 19-01. Tropical tuna fishing plan received late: Rec. 11-09/07-07: Information on seabird mitigation meaures received late. Rec. 13-14: Termination of chartering arrangements not communicated: Recs. 18-05 and 18-06: Shark / Billfish checksheets received late.		
	Quotas and catch limits:			<b>Quotas and catch limits:</b> Some differences between Task 1 and compliance tables.		
	Other issues:			Other issues:		

CPC	Potential issues of non-compliance- 2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
ST.VINCENT & THE GRENADINES	Annual Reports/ Statistics: No ST09 received. Some species in Task I not reported (blanks shown in COC 303 Appendix 3).  Conservation and Management Measures: Rec. 18-06: No updated shark check sheet received.		Letter on reporting issues, including on implementati on of requirements for national scientific observer program (Rec. 16-14), no updated shark check sheet (Rec. 18-06), and incomplete compliance tables.	Annual Reports/ Statistics:-Fleet Characteristics (ST01) received late. Rec. 16-14. SVG did not deploy any scientific observers in 2019 due to unforeseen late scheduling in the national observer deployment programme and unanticipated delays  Conservation and Management Measures:	Late submission due to administrative oversight	Letter on late reporting issues. implementation of requirements for national scientific observer program (Rec. 16-14), late submission of compliance tables, and overharvest.
	Quotas and catch limits: Size limit sheet of compliance table not completed.			Quotas and catch limits: Compliance tables received late. Overharvest of white marlin (WHM) and possible overharvest of S. SWO. Some differences between Task 1 and compliance tables.	Late submission due to administrative oversight.	
	Other issues: ROP_Transhipment: See COC-305 for list of PNCs and responses. No response to letter from COC Chair.			Other issues:		

СРС	Potential issues of non-compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
SYRIA	Annual Reports/ Statistics: No ST11/ST09 scientific observer programme.  Conservation and Management Measures: Rec. 18-13: No BCD annual		annual report and billfish check sheet not received; implementation of national scientific observer requirements (Rec. 16-14), while noting request for technical assistance in 2019	Annual Reports/ Statistics: Rec. 16-14: No scientific observer programme (only one vessel operated for BFT and the regional observer was on board).  Conservation and Management Measures: Rec. 18-05:		Letter on Billfish Check Sheet not received: implementation of national scientific observer requirements (Rec. 16-14), while noting request for technical assistance in 2019 COC response letter:
	report. Rec. 18-05: No billfish check sheet received. Rec. 18-09: List of port sent on date indicated in Annual Report refers only to BFT, but see response to Chair's letter in COC-309.		COC response letter; and to seek clarification on designated ports for foreign flag vessels with species other than bluefin tuna (Rec. 18-09).	No billfish check sheet submitted. Rec. 19-04: Vessels sumbitted for inclusion on ICCAT Record less then 15 days before start date.  Quotas and catch limits:		vessels submitted for inclusion on ICCAT Record less than 15 days before start date.
	Quotas and catch limits:			Other issues:		
	Other issues: ROP_BFT: See COC-305 for a list of PNCs.					

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance-2020	Response / explanation by CPC	Actions taken in 2020
	Annual Reports/ Statistics: Rec. 16-14: No. ST11/ST09 - observer information or data. Some species in Task I not reported (blanks shown in COC 303 Appendix 3).	Legal framework for the implementation of these requirements almost complete.	n of requirements on scientific observer	Annual Reports/ Statistics: Annual report received late; Task 2 data received late. Rec. 16-14: Domestic observer program not yet implemented in Trinidad and Tobago. An action plan to address the deficiencies in Trinidad and Tobago's legal, administrative and MCS frameworks has been submitted to the Cabinet for consideration.		Letter on reporting issues and implementation of requirements on scientific observer program (16-14).
	Conservation and Management Measures: Rec. 18-09: No list of designated ports.	Have recently ratified FAO PSMA and hope to be able to send the list of designated ports soon.	09), while noting	Conservation and Management Measures: Recs 01-21 and 01-22 [18- 07]: Bigeye and Swordfish Statistical Document bi-annual report received late.		
	Quotas and catch limits: BUM and WHM figures still negative, but actions have been taken; zero catch in 2017-2018.			Quotas and catch limits:		
	Other issues:			Other issues:		

CPC		Dagmanaa /	Actions taken	Potential issues of non-	Description by CDC	Actions taken in 2020
CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	in 2019	compliance-2020	Response / explanation by CPC	Actions taken in 2020
TUNISIA	Annual Reports/Statistics:		No action necessary.	Annual Reports/ Statistics:		Letter on reporting issues and implementation of requirements on scientific observer program (16-14).
	Conservation and Management Measures: Rec. 18-02: Caging operations after 22 August, due to causes of force majeure.			Vessels sumbitted for	4 vessels (other BFT vessels) are concerned. The start of their operations was notified late by the operator due to logistical emergencies. It should also be noted that the our administration functioned slower following the health restrictions due to the COVID-19 pandemic.	
	Quotas and catch limits:  Other issues: ROP BFT: See		-	Quotas and catch limits: Some differences between Task 1 and compliance tables. Other issues: ROP_BFT:	Difference is from bycatches reported and not taken into consideration in the ST02 form (Task 1).	
	COC-305 for a list of PNCs and responses.			See COC-305/2020 for a list of PNCs and responses.		

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
TURKEY	Annual Reports/ Statistics:		No action necessary.	Annual Reports/ Statistics:		No action necessary.
	<b>Rec. 01-21 and 01-22</b> ; Bi-	Some difficulties in 2019 in submitting the information on time due to its being collected from a range of institutions, some of which delayed their submission.		Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: ROP_BFT: See COC-350 for a list of PNCs and responses.			Other issues: See COC 305/2020 for a list of PNCs and responses.		

СРС	Potential issues of non- compliance-2019	Response / explanation by CPC		Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
UNITED KINGDOM (In respect of Overseas Territories for Reporting Period under consideration)	Annual Reports/ Statistics: Rec., 16- 14: No scientific observer programme in place.  Conservation and Management Measures: Rec. 18- 13 BCD Annual Report received late. One vessel without IMO No. reported for inclusion on ICCAT Record of vessels.	UKOT is examinig ways to comply with the requirements and have undertaken a full review and gap analysis in an effort to reach full compliance.  The IMO number has been issued and will be communicated to the Secretariat as soon as possible and before the end of 2019.	implementation of requirements on national scientific observers (Rec. 16-14), late BCD report, vessel without IMO #, while noting positively the undates	Annual Reports/ Statistics:  Conservation and Management Measures:		No action necessary.
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

		2017			2020	
CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
UNITED STATES	Annual Reports/		No action	Annual Reports/		
	Statistics:		necessary.	Statistics:		
						No action necessary.
	Conservation and Management Measures:			Conservation and Management Measures:		
				:		
	Quotas and catch limits:			<b>Quotas and catch limits:</b> Some differences		
				between Task 1 and compliance tables.		
	Other issues:			Other issues:		

СРС	Potential issues of non-compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
URUGUAY	Annual Reports/ Statistics:		No action necessary.	Annual Reports/ Statistics:		
	Management Measures: Recs.	As to non-submission of the shark check sheet during the 2018 meeting, we understood that, since we had not carried out any fishing operation in 2017, it was not necessary to report the measures. This year full information has been reported even though we did not carry out any fishing operation in 2018.		Conservation and Management Measures:		
	Quotas and catch limits:		-	Quotas and catch limits:		No action necessary.
	Other issues: No response to letter from COC Chair.	Regarding reporting of in port inspections, we were not in a position to submit the reports in 2018, since we had to comply with some internal processes. The report that currently have to be submitted are those of vessels for which infringements have been observed. In this regard, we inform that we have not detected any infringements for the vessels inspected in 2018.		Other issues:		

CPC	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
VANUATU [as a CPC until 31 Dec 2020]	Annual Reports/ Statistics: Annual report received late.  Conservation and Management Measures: Rec. 18-09 No list of designated ports. Have responded that Vanuatu is not a coastal State. Rec. 18-05 and 18-06: No billfish or updated shark check sheet received.		Annual Report, no billfish or updated shark check sheets received), no designated ports submitted	Annual Reports/ Statistics:No Annual Report received.  Conservation and Management Measures: Rec. 18-05: No billfish check sheet recevied. Rec 18-06: No updated Shark check sheet received 18-09: No list of designated ports submitted.		Letter on reporting issues (no Annual Report, no billfish or updated Shark Check Sheets received), no designated ports submitted (Rec. 18-09).
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: No response to letter from COC Chair.			Other issues:		

СРС	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
VENEZUELA	Annual Reports/ Statistics: No Annual Report received; No statistical data received. Rec. 16-14: No ST11/ST09 - observer information or data.		Letter on continued reporting issues, > 45 day retroactive authorized vessel submission (Rec. 13-13/14-10), implementation of requirements	Annual Reports/ Statistics: Annual Report received late. Some statistical data received late.		Letter on continued reporting issues, no designated ports submitted (Rec. 18-09), no compliance table received.
	Conservation and Management Measures: Rec. 13-13/14-10: Vessels reported for inclusion on ICCAT Record more than 45 days retroactively. Rec. 18-09. No list of designated ports.		on national scientific observers (Rec. 16-14), no compliance table received.	Conservation and Management Measures: Rec. 18-05: No billfish check sheet received. Rec 18-06: No updated shark check sheet received 18-09: No list of designated ports submitted.		
	Quotas and catch limits: No compliance tables received.			Quotas and catch limits: No compliance tables received.		
	Other issues: No response to letter from COC Chair.			Other issues:		

BOLIVIA	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance-2020	Response / explanation by CPC	Actions taken in 2020
	Annual Reports/ Statistics: Annual report summary text missing. 'Not applicable' responses not adequately explained.		Letter on reporting issues (Annual Report incomplete; billfish and updated shark check sheets received late).			Letter on late reporting issues. Cooperating status renewed.
	Conservation and Management Measures: Rec. 18-05 and 18-06 Billfish and updated shark check sheets received late.			Conservation and Management Measures:		renewed.
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

CHINESE TAIPEI	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non- compliance-2020	Response / explanation by CPC	Actions taken in 2020
	Annual Reports/ Statistics:		No action necessary.	Annual Reports/ Statistics:		No action necessary. Cooperating status
	Conservation and Management Measures:			Conservation and Management Measures:		renewed.
	Quotas and catch limits:			Quotas and catch limits: Some differences between Task 1 and compliance tables.	The reason for such differences might have resulted from whether the amount of discard is counted into catch amount or not, as it seems that there is no common rule for all the	
	Other issues: ROP_transhipment: See COC-305 for PNCs and responses.			Other issues: PNCs reported by ROP observers and responses contained in COC-305.	species.	

COLOMBIA	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
	Annual Reports/ Statistics: Annual Report received late.		
	Conservation and Management Measures: Rec. 18-06: Shark check sheet submitted late.		Letter on late reporting and to seek additional information on access agreements. Cooperating Status not-renewed.
	Quotas and catch limits:		
	Other issues: Clarification on Access Agreement requested.		

		2019		2020			
COSTA RICA	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020	
	Annual Reports/ Statistics: Clarification required regarding Task I data currently under revision. Some answers in Annual Report insufficient (N/A not explained).		Identification for significant, recurring overharvest of white marlin for multiple years (landings limit of 2 t; reported landings 55.24 (2016), 45.00 (2016) 69.20 (2017) 35.10 (2018); current adjusted landings limit for 2019: negative 194.54 t) and	Annual Reports/ Statistics: No Annual Report received. No statistical data received.			
	Conservation and Management Measures: Rec. 18-09: Unclear if foreign vessels are allowed to enter any ports (see response to Chair's letter in COC-309). Rec. 18-05 and 18-06: Billfish and shark check sheets received late.		past overharvest of north Atlantic swordfish for multiple years (Costa Rica does not have a catch limit, and its compliance table reflects the following catches: 27 t (2015), 21.3 t (2016), 32 t (2017), and 2019 response to COC Chair letter reports 40 t for 2019. Letter to also address	Conservation and Management Measures: 18-09: No list of designated ports submitted.		Maintain identification under ICCAT Rec. 06-13 due to failure to submit Annual Report, statistical data, other reporting issues, and past significant overharvest for multiple years of white marlin and north Atlantic swordfish.  Cooperating status renewed for 2021, but	
	Quotas and catch limits: Continued overharvest white marlin and northern swordfish.		reporting issues, including Task I data and no submission of billfish or shark check sheets; incomplete information	Quotas and catch limits: No compliance tables received		letter to note that continuing non-compliance will have bearing on ICCAT decision whether to renew	
	Other issues:		4 5 ,	Other issues:		Costa Rica's Cooperating Non-Party status.	

GUYANA	Potential issues of non-compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
	Annual Reports/ Statistics: Annual Report received late and some answers incomplete; Task I & II data received late; Rec. 16-14: No ST11/ST09 - observer information or data.  Conservation and Management Measures: Rec. 16-01: No quarterly catches of BET reported. Rec. 18-09: No list of designated ports received. Rec. 18-05 and 18-06: Billfish check sheet and updated shark sheet received late. Rec. 14-07: No information from access agreements submitted. Rec. 02-21: Data from Statistical Document data indicates possible exports of southern swordfish. Guyana has no quota for this species.		Identification for significant recurrent WHM overharvest over a number of years (landings limit of 2 t, but current adjusted landings limit of negative 165.26 t); letter also to address recurring reporting issues; no designation of ports (Rec. 18-09); implementation of requirements on national scientific observers (Rec. 16-14); late compliance tables; and noting that noncompliance has a bearing on the			Maintain identification due to past significant recurrent WHM overharvest and potential continued overharvest of WHM and SSWO in 2019; late Annual Report and Compliance Tables. Cooperating status renewed for 2021, but letter to note that non- compliance has a bearing on ICCAT decision on whether to renew Guyana's Cooperating Non- Party status.
	<b>Quotas and catch limits:</b> Compliance tables received late. Overharvest of WHM.		ICCAT decision on whether to renew Guyana's Cooperating Non- Party status.	Quotas and catch limits: Compliance tables received late. Possible overharvest of blue marlin and southern swordfish		
	Other issues: No reply to letter from COC Chair.		,	Other issues:		

	2019				2020	
SURINAME	Potential issues of non- compliance-2019	Response / explanation by CPC	Actions taken in 2019	Potential issues of non-compliance- 2020	Response / explanation by CPC	Actions taken in 2020
	Annual Reports/ Statistics:		No actions necessary.	Annual Reports/ Statistics:		
	Conservation and Management Measures:			Conservation and Management Measures:		No action necessary. Cooperating status renewed.
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		