

**NOTES ON THE ESSENTIAL BUSINESS ON THE CONSERVATION AND MANAGEMENT  
MEASURES COMPLIANCE COMMITTEE (COC) TO BE DISCUSSED VIA CORRESPONDENCE**

**1. Review of CPC implementation of and compliance with ICCAT requirements, focusing on priority issues and/or cases**

**a) Review of and Exchange on Compliance Summary Tables [COC-308] and other information relating to individual CPC compliance:**

Relevant information is also available, inter alia, in:

*COC-301: CPC Annual Reports*

*COC-303: The Secretariat's Report to the Conservation and Management Measures Compliance Committee*

*COC-305: PNCs reported under Regional Observer Programmes and responses*

*COC-302: The Summary Report on domestic legislation to implement the EBFT management plan [Rec. 19-04]*

*COC-309: Responses to Chair's letters arising from the 2019 meeting*

*COC-313: Information on Cooperating non-Contracting Parties*

*COC-312: Available information reported under Rec. 08-09*

*COC-315: Billfish Check Sheet*

*COC-314: Shark Check Sheet*

*PA2-602 and PWG-401: Contain information on the implementation of the ROPs and the alternative measures implemented where applicable. This information should be reviewed also by COC.*

*To replicate, to extent feasible by correspondence, the process normally undertaken by the COC in its review of the compliance summary in COC-308, the following procedures will be implemented:*

- *By **30 October**, all CPCs should send any written responses to potential compliance issues raised in COC-308 to the Chair of the Compliance Committee and the Secretariat.*
- *By **6 November**, the Secretariat will circulate a revised COC-308 with these written responses, along with any other necessary revisions or updates.*
- *By **13 November**, CPCs and the COC Chair may submit comments or questions regarding other CPCs' potential compliance issues, including follow-up questions regarding written responses previously provided by CPCs. The Secretariat will transmit these questions or additional comments to the concerned CPCs without undue delay.*
- *Within **10 calendar days** of receiving questions/inquiries via Secretariat, CPCs may provide a response.*
- *By **30 November (or as close as possible depending on the amount of comments/responses received)**, the Secretariat will circulate a second revision of COC-308 that takes into account, as appropriate, the further exchanges under the preceding step in this process and, either as part of the COC-308 table or as an Appendix, a compilation of the further correspondence.*

**b) Response to Chair's letters arising from the 2019 meeting [COC-309]**

- *The COC-309 compilation of responses to Compliance Letters will be circulated as soon as possible **after 20 October**, the date by which the COC Chair requested letter recipients to respond.*
- *The Committee should take these responses into account in its discussion of COC-308 Compliance Summary Tables and when determining actions to be taken under point 3.*

**c) Compliance Tables [COC-304]**

- By **15 October**, the Secretariat will post COC-304 for review after working, where possible, with CPCs to address any potential issues it has identified.
- By **1 November**, CPCs should notify the Secretariat (with a copy of the COC Chair) of any errors in its Compliance Tables in COC-304, and/or raise any questions or comments about another CPC's Compliance Tables. CPCs may also correspond directly with other concerned CPCs, as appropriate, regarding any potential issues in their Compliance Tables.
- By **14 November**, the Secretariat will aim to circulate a revised COC-304 for review and approval.
- If no objection or additional comments/questions are received within **10 calendar** days of the circulation of the revised COC-304, the COC Chair will conclude that the tables are approved by the COC and forward to the Commission for endorsement.

**d) Any other relevant information and issues, including submissions under Rec. 08-09**

The Committee may wish to take information submitted pursuant to Rec. 08-09 and responses (available in COC-312) into account when reviewing and further developing the Compliance Summary Tables COC-308 and in determining actions to be taken under point 3.

**2. Review of information relating to Non-CPCs**

**a) Response to Chair's letters arising from 2019 meeting**

All responses should be received **by 20 October** and will be published in COC-310. The Committee should take these responses into account when determining actions to be taken under point 3.

**b) Catch and trade data**

The Secretariat will include in its report any information of significance for this item if applicable.

**c) Any other information**

The Secretariat will include in its report any information of significance for this item if applicable.

On the basis of the information above and any comments submitted by CPCs, the Chair will recommend actions with respect to Non-CPCs, which will be deemed approved by the COC if no objection is received by a CPC in the timeframe provided in the Chair's correspondence.

**3. Determination of recommended actions to address issues of non-compliance by CPCs and issues relating to NCPs arising from item 2**

**a) Letters on Compliance Issues, and identifications or other actions under the trade measures Recommendation (Rec. 06-13)**

Based on the reviews undertaken pursuant to agenda items 1 and 2 above, the COC Chair will develop and present a list of recommended actions as an Appendix to COC-308. All CPCs will be given at least **10 days** to comment in writing on these proposed actions. If no objection is received to any action proposed by the specified deadline, this will be taken as assent.

**b) Action under data Recommendations (Recs. 05-09 and 11-15)**

- By **1 November 2020**, the Secretariat will post the list of CPCs that have not provided Task 1 data or for which data or zero catch reports are missing.
- As soon as possible and no later than **1 December 2020**, CPCs should provide their missing data to the ICCAT Secretariat.

- *After this deadline, any CPCs who have not reported their missing data will be automatically prohibited, beginning 1 January 2021, from retaining such species, in accordance with paragraph 3 of Rec. 11-15, until the data deficiency is rectified. At that time, the Secretariat will notify the Commission of all the prohibitions in effect for CPCs as well as when they are rectified.*

**c) Any other actions**

*TBD depending on the discussions through correspondence.*

**4. Review and decisions on Cooperating status renewals and requests**

*Information on CPCs with Cooperating status will be posted as document COC-313 and potential compliance issues will be included in COC-308.*

*Rec. 03-20 provides:*

*“Cooperating non-Contracting Parties, Entities or Fishing Entity status shall be annually reviewed and renewed unless revoked by the Commission due to non-compliance with ICCAT conservation and management measures.”*

*To that end, CPCs may submit comments on cooperating status renewal **by 30 October**. If no CPC objects to renewal for current cooperating non-member, their cooperating status will be renewed. If a CPC objects, the Chair will initiate correspondence to reach a decision by the COC as to whether to recommend that the Commission revoke cooperating status.*

**5. Review of progress made by the online reporting working group and next steps**

*The Chair of this Group, in concert with the Secretariat, will put forward a report on progress, and list any questions which require a Commission consideration.*

**6. Recommendations to the Commission based on findings of above**

*The Chair of the Compliance Committee will provide to the Committee the outcomes of the Committee’s correspondence process that require the endorsement of or adoption by the Commission.*