Original: English

DRAFT COMPLIANCE SUMMARY TABLES

This working document has been drawn up by the Secretariat on the basis of information received before 23 October 2015¹. A list of submissions received after that date will be supplied to the COC Chair for his information. Each table shows the concerns raised at the 2014 Commission meeting, the action taken at that time and any response to the letter sent by the Commission and COC Chairs, as well as possible cases of non-compliance.

Please note that this is a working document and the cases of potential non-compliance issues indicated here are not definitive. Following examination of the tables and other supporting documents by the Compliance Committee, elements may be added or removed.

Copies of letters sent in direct response to concerns raised in 2014 are contained in COC-309/15.

¹ Note: for Statistical data this date is **14 October 2015**.

Draft Compliance Summary Tables

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
ALBANIA	Annual Reports/ Statistics: No reporting summary table received with Part II of Annual Report.		Lift identification. Letter of concern regarding incomplete reporting but recognizing improvement.	Annual Reports/ Statistics: No Task II Catch & Effort or size data received.		
	Conservation and Management Measures: Rec. 13-07: monthly catch reports received late.	-		Conservation and Management Measures: 14-04: Fishing plan received after the deadline.		
	Quotas and catch limits: No Compliance tables received.			Quotas and catch limits: Compliance tables received late.		
	Other issues: Reply to letter of Identification received late, but Task I data for 2011 received and confirmation of null BFT catches for 2012 confirmed in March 2014.			Other issues: no reply to letter of concern.PNC reports contained in COC-305.		

		2014			2013	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken by COC	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken by COC
ALGERIA	Annual Reports/ Statistics:	Attributed incorrect BCD number to use of 3-letter ISO code issue. A full investigation of the ROP PNCs took place. Video evidence shows live fish being thrown back rather than dead discards. There was also a logbook issue - this was simply the logbook number not being clearly visible to the observer. Full details at COC 305.	No action	Annual Reports/ Statistics:		
	Conservation and Management Measures: Rec. 11-20: wrong unique identification number.			Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: ROP-BFT: PNC reports and explanation contained in COC-305.			Other issues: PNC reports and explanation contained in COC-305.		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
ANGOLA	Annual Reports/ Statistics: No summary table of requirements received with Annual report.	Until 2012, little attention was paid to data collection as there were no tuna fishing activities. Since that time, prior data submission has been made a requirement to get a license. This has caused discrepancies between newly submitted and older data. Reiterated request for ICCAT assistance/training.	Lift identification in recognition of improvement. Send letter of concern regarding reporting issues and to request information on access agreement.	Annual Reports/ Statistics: No Annual Report submitted. No Task 1 Fleet Characteristics or size data submitted.		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: No compliance tables received.			Quotas and catch limits: no Compliance tables received.		
	Other issues: Rec. 11-16: no information on Access Agreements (reported by Cape Verde). No reply to letter of identification.	No information on Access Agreement.		Other issues: no reply to letter of concern. No response to letter of prohibition regarding 2013 catches.		

CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
BARBADOS	Annual Reports/ Statistics:			Annual Reports/ Statistics: Part I of Annual report received late		
	Conservation and Management Measures: Rec. 13-02: No swordfish fisheries development or management plan submitted.			Conservation and Management Measures: Rec. 13-02: No N. Swo management plan received in 2015		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues: No response to letter of prohibition regarding 2013 catches.		

		2014			2015	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance-2015	Response / explanation by CPC	Actions Taken
	_	Confirmed application of ICCAT requirements in national waters.	Letter of concern to request additional information regarding report of port inspector harassment and actions to taken to address the issue.	Annual Reports/ Statistics: Reporting summary Part II received late. Task II size data submitted in incorrect format		
	Management Measures: Rec. 98-08: very minor delay in submission of N. Alb list.	Delay in N. Albacore was an internal process issue. Will endeavor to improve this in the future. A payback plan for swordfish has been submitted. Drastic reduction in catch and effort expected in 2015. Spanish port infraction described as a logbook that was not bound. Could not reply at this time to reports of inspection interference. Will review and reply at a later		Conservation and Management Measures: Rec. 13-13/14-10 & Rec. 12- 06: Vessels reported for changes/updates on P20m & Carriers lists with start dates more than 45 days prior to notification. No N. Swo management plan received in 2015		
	Quotas and catch limits: Continued overharvest of SWO and BUM. Payback plan for SWO submitted.	time.		Quotas and catch limits: Some data of the Compliance tables to be clarified.		
	Other issues: EU-Spain inspection at port of 2013 concerning a vessel from Belize. ROP_transhipment: PNC reports and explanation contained in COC-305.			Other issues: ROP_transhipment: PNC report contained in COC-305.		
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estructuring and Letter created some regard	C	Potential issues of non- compliance-2015 Annual Reports/ Statistics: Part	Response / explanation by CPC	Actions Taken
created some regard			CPC	
created some regard	ter of concern	Annual Danauta/Statisticas Dout		
created some regard	ter of concern			
0		-		
	U	I summary table of Annual Report		
*	-	received late. No Reporting Table		
noting	U	received with Part II. No Task I		
impro	provement. H	Fleet characteristics or size data		
	S	submitted.		
		C		
on their need to	r	measures: 13-13/14-10 & 14-01:		
ordfish plan, which	F	Retroactive registration of vessels		
ish, at panel 4.	((P20m lists and/or TROP list),		
fish is harvested in	i	including one inactive of one year		
bycatch.	lr.	prior. Rec. 13-02: No N. Swo		
	r	management plan received in		
		-		
estructuring and	(Quotas and catch limits:		
created some		Compliance tables received late.		
ys.	2	2013 and 2014 data to be		
	c	clarified.		
	(Other issues: no summary report		
		<u> </u>		
n fddidi		improvement. they will be seeking a on their need to vordfish plan, which fish, at panel 4. If is harvested in a bycatch. restructuring and a created some ays.	they will be seeking a on their need to vordfish plan, which fish, at panel 4. dfish is harvested in a bycatch. They will be seeking and dicreated some The will be seeking and the provided and the provided and the seeking and the provided and the seeking and the provided and	they will be seeking non their need to cordfish plan, which fish, at panel 4. If ish is harvested in subcatch. The structuring and dicreated some ays. The structuring and dicreated some ays. The structuring and the seeking non their need to cordfish plan, which fish, at panel 4. The structuring and the seeking non their need to cordfish plan, which fish, at panel 4. The structuring and the seeking non their need to cordfish plan, which fish, at panel 4. The structuring and the seeking non their need to consider the seeking the subcatch in the sub

		2014			2013	
CPC	Potential issues of non-	Response /	Actions Taken	Potential issues of non-	Response /	Actions Taken
	compliance-2014	explanation by CPC		compliance-2015	explanation by CPC	
CANADA	Annual Reports/		No action	Annual Reports/		
	Statistics:		110 401011	Statistics:		
	Conservation and	BCD errors related to		Conservation and		
	Management	artisanal harvesters		Management		
	Measures: <i>Rec.</i> 98-08:	utilizing unused BCD		Measures: Compliance		
	List of N-ALB vessels	forms from previous		tables received late.		
	received late; Rec.11-	years despite being		Incomplete data in the		
	20: wrong unique	issued current year		BCDs and incorrect		
	identification	forms. Will continue		unique identification		
	numbering. BCD	to educate artisanal		number, Rec. 11-20.		
	_	harvesters. Hopeful eBCD				
	catch and trade is not	implementation will				
	always completed. BCD annual report received	eliminate errors				
		going forward.				
	statistical document data					
	reports.	meeting vessel				
	reports.	submission deadlines				
		in future.				
		in ratare.				
					1	
	Quotas and catch			Quotas and catch		
	limits:			limits:		
	Other issues:	-		Other issues:	4	
	Other issues:			Omer issues:		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
CAPE VERDE	Annual Reports/ Statistics: No reporting summary received with Part II of Annual Report.		Letter of concern regarding reporting issues but noting improvement from previous years.	Annual Reports/ Statistics:		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:	_	

		2014			2013	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
CHINA, People's Rep.	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:PNC ROP_Transhipment reports and explanation contained in COC-305.	Noted that they investigated and found that the issue was likely related to language barrier/misunderstanding rather than actual infractions. Further explanation in COC-305.		Other issues: ROP_transhipment: PNC reports and explanation contained in COC-305.		
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CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
CÔTE D'IVOIRE	Annual Reports/ Statistics: Summary table in part II of Annual Report incomplete.	No further comment	Letter of concern regarding reporting but noting improvements from previous years.	Annual Reports/ Statistics:		
	Conservation and Management Measures: Rec. 13-02: no swordfish fisheries development or management plan submitted.			Conservation and Management Measures:		
	Quotas and catch limits: Compliance tables received late.			Quotas and catch limits: Compliance tables received late		
	Other Issues:			Other Issues: No response to letter of prohibition regarding 2013 catches.		

	Potential issues of non-	Response /	Actions	Potential issues of non-	Response /	Actions
	compliance-2014	explanation by CPC	Taken	compliance-2015	explanation by CPC	Taken
	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics: Reporting summary not received with Part I of annual report		
CURAÇAO	Conservation and Management Measures:			Conservation and Management Measures: <i>Rec. 14-01</i> . Observer reports for 2014 received from CPC, but not for 2015 closure.		
	Quotas and catch limits: Compliance tables received late. Other issues: Information on time/area closure Gulf of Guinea received late.		-	Quotas and catch limits: Compliance tables received late. Other issues:		

CPC	Potential issues of non-	Response / explanation by	Actions Taken	Potential issues of non-	Response / explanation	Actions Taken
	compliance-2014	CPC		compliance-2015	by CPC	
EGYPT	Annual Reports/ Statistics: Part 1 of annual report received late (after SCRS).		Letter of concern regarding reporting issues and to request additional information on swordfish fishing.	Annual Reports/ Statistics: Task 1 data received but with formatting problems. No Task II Catch & Effort or size data received.		
	monthly reports received	Noted difficulty collecting data themselves from artisanal fishers. Feel their reporting has improved overall. Are taking steps to have supporting export authorities report to them on a species by species basis going forward.		Conservation and Management Measures:		
	Quotas and catch limits: Compliance tables received late.			Quotas and catch limits:		
	Other issues: EU reported imports of small quantities of swordfish imported from Egypt in 2013/14, but no Task I for SWO submitted by Egypt.	Delay related to other government authorities collecting the data for little fished species. Explained that they did investigate the potential interference infraction, but no evidence/witness collaboration.		Other issues:PNC reports and explanation contained in COC-305.		

	Potential issues of	Response /	Actions	Potential issues of	Response /	Actions
	non-compliance-	explanation	Taken	non-compliance-	explanation	Taken
	2014			2015		
	Annual Reports/		Letter of	Annual Reports/		
	Statistics: Zero		concern	Statistics:		
	catches reported.		regarding			
	Part 1 of annual		reporting			
	report received late		deficiencies.			
	(after SCRS).					
EL SALVADOR	Conservation and			Conservation and		
	Management			Management		
	Measures:			Measures:		
	Quotas and catch		7	Quotas and catch		
	limits:			limits:		
	Other issues:			Other issues: no		
				reply to letter of		
				concern.		

		2014			2015	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
EQUATORIAL GUINEA	Annual Reports/ Statistics: No Task I or Task II data received. No reporting summary table received with Part II of Annual Report.	Requested	Letter of concern regarding reporting deficiences, noting request for technical training from ICCAT on data collection and submission.	Annual Reports/ Statistics: No Task I Fleet Characteristics, No Task II Catch & Effort or size data received.		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: no Compliance tables received.			Quotas and catch limits: no Compliance tables received.		
	Other issues: no reply to letter of concern received. No explanation for non-reporting in 2013.			Other issues:		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
EUROPEAN UNION	Annual Reports/Statistics: Task 1 FC (fleet characteristics) missing for Spain, Netherlands and Denmark.	No tuna vessels in Denmark. EU did not send the grouped info for Spain, but can.	No action.	Annual Reports/Statistics: Task II catch & effort and size data missing for 2 Member States (all others received).		
	after authorization start date; Rec. 12-03: EU-PRT changes to BFT sent late due to	numbers related to ISO code errors. eBCD should fix this. Some		Conservation and Management Measures: Some EU Member States submit their BCDs well after the 5 working days validation. Rec. 14-01: no FAD management plan received in 2015. Rec. 12-07: Port inspection reports not received.		
	BCDs sent by EU-France and EU-Italy; EU-Malta and EU-Spain have sent BCDs well after the 5 working days validation. EU-France and EU-Italy statistical documents received late. Quotas and catch limits:			Quotas and catch limits:		
	Potential infringements under Annex 8, Rec- 13-07 (2 inspection reports in EU-France, 1 inspection in EU-Italy). 3) OCEANA: potential non	Investigated in Italy. Impossible to tell from pictures/video if nets on board were drift nets. Could also not confirm swordfish was being targeted at that time. Italy is taking steps regarding driftnet reduction in the Mediterranean.		Other issues: Rec. 13-07: 1) ROP-BFT: PNC reports and explanation contained in COC-305. 2) One vessel reported by Turkey for inclusion on draft IUU list but removed from provision following response from EU.		

CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
FRANCE (St. Pierre & Miquelon)	Annual Reports/ Statistics:		No action.	Annual Reports/ Statistics: No Task II size data submitted.		
	Conservation and Management Measures: Rec. 13-02: N-SWO plan received late. Statistical document bi-annual report received late.	Three day delay due to administrative error.		Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

		2014			2013	
CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
GABON	Annual Reports/ Statistics: No Annual report received. Task I received for foreign flagged vessels. No data for national catches received.		Letter of concern regarding reporting issues.	Annual Reports/ Statistics: No Annual report Part II nor reporting summary of Part II received.		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: no Compliance tables received.			Quotas and catch limits: Letter informing of no catches in 2014.		
	Other issues: no reply to letter of concern received.			Other issues:		

		2014			2013	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
GHANA	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics: Task II size data submitted in incorrect format		
	Conservation and Management Measures: Rec. 11-01. Vessels list BET/YFT fished previous year received late.	Note tropical tuna fishery is a mixed fishery with licenses issued for calendar year.		Conservation and Management Measures: Rec. 14-01: comprehensive and detailed capacity management plan not received. Data from observer trips received for 2014, but no reports for 2015 closure received.		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

CPC	Potential issues of	Response /	Actions Taken	Potential issues of	Response /	Actions Taken
	non-compliance-2014			non-compliance-2015		
GUATEMALA	Annual Reports/		No action	Annual Reports/		No action
	Statistics:			Statistics:		
	Conservation and	Steps will be taken to	_	Conservation and		_
	Management	fix late vessel list		Management		
	Measures: Rec. 11-	submission going		Measures:		
	01. Vessels list	forward.				
	BET/YFT fished					
	previous year received					
	late.					
	Quotas and catch			Quotas and catch]
	limits: Compliance			limits: Compliance		
	tables received late.			tables received late.		
	Other issues:		4	Other issues:		4

		2014			2013	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
GUINEA Rep.	Part 1 of annual report received	Stated they are committed to improving efforts and being in compliance in the future.	Letter of concern regarding reporting deficiencies but noting improvement, and	Annual Reports/ Statistics: Part I of Annual Report received late. No reporting summary received with Part II. No Task I Fleet Characteristics		
	Conservation and Management Measures: No internal actions report 20m+ received.		requesting additional information on access agreement reported by Panam and contained in COC-303.	Conservation and Management Measures:		
	Quotas and catch limits: no compliance tables received.			Quotas and catch limits: no Compliance tables received.		
	Other issues: No information on access agreement with Panama (reported by Panama).	Delegate stated that there is no access agreement with Panama.		Other issues:		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
HONDURAS	Annual Reports/ Statistics: No Task I or Task II data received. No report submitted to SCRS. No Annual Report received.	Submitted a statement to ICCAT reporting zero catches of convention species and pledging to supply any other missing data previously identified.	Lift identification. Send letter of concern regarding continued reporting deficiencies, while recognizing improvement from previous year.	Annual Reports/ Statistics: Annual Report received late.		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: no Compliance tables received.	Pledged to supply any other missing data previously identified.		Quotas and catch limits: Compliance tables received late.		
	Other issues: no reply to letter of identification received. No explanation of non-reporting in 2013.			Other issues: reply to letter of concern received late.		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
ICELAND	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch			Quotas and catch		_
	Other issues: Rec. 13-07: transmission of			Other issues:		

		2014			2015	
CPC		Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken
JAPAN	Annual Reports/ Statistics: Part 1 of annual report received late (during SCRS), without reporting summary.	summary.		Annual Reports/ Statistics:		
	Conservation and Management Measures:		at the annual meeting on this matter and commitment to find a solution	Conservation and Management Measures:		
	Rec. 13-06. Possible	Their season just started in August. This is not the proper time to consider a possible over harvest.	to this issue.	Quotas and catch limits:		
		solution to the 3-ring binder/bound logbook		Other issues: ROP_transhipment: PNC reports and explanation contained in COC-305.		
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CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
KOREA, Rep. of	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
	Conservation and Management Measures:		_	Conservation and Management Measures:		
	=	ROP - Have supplied a response letter to ICCAT.	_	Quotas and catch limits:		_
	Other issues: ROP_BFT; ROP-transhipment: PNC	The vessel in question has been suspended for 60 days of the fishing season. The figure discrepancy was caused by late season catches in one year being actually exported in the following year.		Other issues: ROP_transhipment: PNC reports and explanation contained in COC-305.		

CPC	Potential issues of non-	Response / explanation by CPC	Actions Taken	Potential issues of non-	Response / explanation by CPC	Actions Taken
	compliance-2014			compliance-2014		
LIBERIA	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
	Part I of report received late			Annual Report received late,		
	(joined 2014, no fishing in			both parts after Commission		
	2013).			deadline.		
	Conservation and			Conservation and		
	Management Measures:			Management Measures:		
	Quotas and catch limits:	1		Quotas and catch limits: no		
	Liberia joined ICCAT in 2014.			Compliance tables received.		
	Other issues:			Other issues:		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
LIBYA	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics: No Annual Report received. No Task II Catch & Effort or size data received.		
	Conservation and Management Measures:			Conservation and Management Measures: 14-04, para. 52: Vessels reported for some changes/updates and inclusion (7 cases) on E-BFT-Others lists, were not in accordance with provisions requiring submission 15 days in advance of authorisation. BCD annual report was received late.		
	Quotas and catch limits:			Quotas and catch limits: Compliance tables received late.		
	Other issues: BFT-ROP: PNC reports and explanation contained in COC-305. Potential infringements under Annex 8, Rec. 13-07 (2 inspections reports by Tunisia: 1 inspection by EU). OCEANA: potential non compliance: IUU vessels.	.Reported on investigations conducted, which are summarized in COC-305 and COC-307.		Other issues: PNC reports and explanation contained in COC-305.		

		2014			2013	
CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
MAURITANIA	Annual Reports/ Statistics: No reporting summary table received with Part I or II of Annual report.	No national fishery for Tuna or Sharks. Only foreign vessels fishing within Mauritania through access agreements.	Letter of concern regarding reporting deficiencies.	Annual Reports/ Statistics: No Reporting summary for Part II received. No Task 1 or Task II data received.		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: Compliance tables received late (during meeting).	Access agreements are private agreements rather than national agreements.		Quotas and catch limits: Compliance tables not received.		
	Other issues: No reply to letter of concern received.	-		Other issues:- No reply to letter of concern received		

		2014			2013	
СРС	Potential issues of	Response /	Actions Taken	Potential issues of	Response /	Actions Taken
	non-compliance-2014	explanation by CPC		non-compliance-2015	explanation by CPC	
MEXICO	Annual Reports/		No action	Annual Reports/		
	Statistics:			Statistics:		
	Conservation and	BCD number error		Conservation and		
	Management	likely an ISO number		Management		
	Measures: Rec. 11-	error. Have recently		Measures: wrong		
	20: wrong unique identification number.	improved internal IT		unique identification number, Rec. 11-20.		
	Statistical document	system to better handle date compilation and		number, Rec. 11-20.		
	data received late (no	submission.				
	imports).	suomission.				
	imports).					
	Quotas and catch	Have achieved		Quotas and catch		
	•	meaningful reduction		limits:		
	WHM and BUM.	in both species harvest		minus.		
	William Bown	the last 2 years. Have				
		implemented				
		management measures				
		pertaining to observer				
		coverage, release of				
	Other issues:	bycatch, and more that		Other issues:	1	
	other issues.	will further reduce		other issues.		
		harvest.				

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance-2015	Response / explanation by CPC	Actions Taken
MOROCCO	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
	Conservation and Management Measures: Rec. 11-20: BCD wrong identification number.	3-letter ISO code problem, which has been corrected. Looking to eBCD to eliminate problem in the future.		Conservation and Management: Rec. 11- 20 BCD annual report received late. Carry over of cage fish reported through annual report (none).Rec. 13-04 SWO-MED closure and Rec. 13-02 N.SWO plan received late.	SWO requirements sent late due to administrative error, prepared on time but sent to wrong email.	
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: ROP_BFT: PNC reports and explanation contained in COC-305. OCEANA: potential non compliance of Rec. 03-04 in use of driftnet.	observer. Info from driftnet investigation		Other issues:		

CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
NAMIBIA	Annual Reports/ Statistics:	In response to CPC inquiy about VMS, confirmed that their VMS has been implemented since report filed.		Annual Reports/ Statistics:		
	Conservation and Management Measures:			Conservation and Management Measures: Rec. 12-07: Port inspection carried out but reports not received at Secretariat		
	Quotas and catch limits:	_		Quotas and catch limits:		
	Other issues:	-		Other issues:		

		2014			2013	
CPC	Potential issues of non-	Response /	Actions Taken	Potential issues of non-	Response /	Actions Taken
	compliance-2014	explanation by CPC		compliance-2015	explanation by CPC	
NICARAGUA	Annual Reports/ Statistics: No Task I or Task II data received.	Not present	Letter of concern regarding continued reporting deficiencies.	Annual Reports/ Statistics: No Annual Report received. No Task I or Task II data received.		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: no compliance tables received.			Quotas and catch limits: no Compliance tables received.		
	Other issues: no reply to letter of concern received nor written confirmation of 0 catches.			Other issues: no reply to letter of concern received.		

		2014			2013	
CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
NIGERIA	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics: Only summary tables for Annual Report parts I and II.		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

CPC	Potential issues of non-compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance-2015	Response / explanation by CPC	Actions Taken
NORWAY	Annual Reports/ Statistics:		No action required	Annual Reports/ Statistics: Reporting summary of Part I received late (with part II of annual report)		
	Conservation and Management Measures: Rec. 13-07: Weekly catch reports received late (0 catch). Rec. 11-20: wrong identification number.	Thought it wasn't required to send reports of zero catches. Will do so going forward. ISO code error on the BCD item, which has beenaddressed.		Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: 13-07: Transmission of VMS started late.	Despite late start, transmission did pre- date fishing activity by 15 days.		Other issues:		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
PANAMA	Annual Reports/ Statistics: Annual Report received late (during meeting). Conservation and	Cited government change as an issue that created delays. Domestic legislation	Letter of concern regarding reporting deficieinclies, while noting improvement from previous	Annual Reports/ Statistics: Reporting summary not received with Part I of annual report. No Task I Fleet Characteristics. Conservation and		
	list (Note: Secretariat seeking calrification as to whether this is allowed under the Rec.). Rec. 12-	only permits addition to national vessel lists after annual renewal of fishing licence. The deadline for licence reneal in Panama was after the deadline for vessel list submission to ICCAT. Regarding teh port entry issue, observer reported wrong port. The actual port was included on their list of authorized ports.	years.	Management Measures: Rec. 14-04: Vessels sumbitted for E-BFT other list not in accordance with provisions requiring submission 15 days in advance of authorisation. Incorrect VMS messages received for several vessels.		
	Quotas and catch limits: Compliance tables received late (during meeting).			Quotas and catch limits: Compliance tables received late.		
	Other issues:			Other issues:		

		2014			2013	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
PHILIPPINES	Annual Reports/ Statistics: Conservation and Management Measures:		Letter of concern regarding SALB overharvest, recognizing payback plan but requesting information on steps Philippines will be taking to prevent future such overharvests.	Annual Reports/ Statistics: Conservation and Management Measures: Rec. 13-02: No N. Swo management plan received in 2015		
	Quotas and catch limits: Rec. 13-06: Overharvest of S. ALB payback plan submitted. Figures on compliance tables require further explanation.	Payback plan provisionally approved. Committed to complying and preventing future over harvests. Significant potential sanctions have been established for vessel masters who violate in the future.		Quotas and catch limits:		
	Other issues: PNC reports and explanation contained in COC-305.			Other issues: No response to letter of prohibition regarding 2013 catches. See COC-305, PNCs under ROPs.		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-Response / compliance-2015 explanation by CF	Actions Taken
	Annual Reports/ Statistics: Task 1 FC (fleet characteristics) received late. Also received for previous	No tuna targeted fishing occurred.	No action	Annual Reports/ Statistics: Task 1 fleet characteristics received late (and in old format).	
	years. Conservation and Management Measures:			Conservation and Management Measures:	
	Quotas and catch limits:			Quotas and catch limits:	
	Other issues:			Other issues:	

CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
SAO TOME & PRINCIPE	Annual Reports/ Statistics: No Task I or Task II data received. No Annual Report received.	Taking steps to improve internal organization to improve data collection and future compliance. Noted they have an assistance agreement in place with the EU for	Letter of concern regarding reporting deficiencies but but noting Sao Tome commitment at annual meeting to meet future deadlines and to submit past data where possible.	Annual Reports/ Statistics: No Annual Report received. No Task II catch & effort or size data received.		
	Conservation and Management Measures:	assistance and training.		Conservation and Management Measures:		
	Quotas and catch limits: no Compliance tables received.			Quotas and catch limits: Compliance tables received late.		
	Other issues: No reply to letter of concern. No explanation for non-reporting in 2013.			Other issues:		
				Page 38 of 55		

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CPC	-	Response /	Actions Taken	Potential Issues of Non	Response /	Actions Taken
	compliance -2014	explanation by CPC		compliance -2015	explanation by CPC	
SENEGAL	Annual Reports/ Statistics:		Letter of concern regarding reporting deficiencies, but recognizing improvement, and to seek additional	Annual Reports/ Statistics:		
	Conservation and Management Measures: Rec. 13- 02: Rec. 13-02: N- SWO plan received late. Statistical document data report received late.	Apologized for late submission	clarification regarding SWO export - Task 1 discrepancies.	Conservation and Management Measures:		
	Quotas and catch limits: Compliance tables received late.			Quotas and catch limits:		
	Other issues: 2013 exports of swordfish significantly higher than reported catches (715 t exported vs. 221 t Task I report).	Have asked their government authority responsible for export data for explanation. Will follow-up with commission.		Other issues:		

CPC	Potential issues of	Response /	Actions Taken	Potential issues of	Response /	Actions Taken
	non-compliance-2014	explanation by CPC		non-compliance-2015	explanation by CPC	
SIERRA LEONE	Annual Reports/		Letter of concern	Annual Reports/		
	Statistics: No Annual		regarding reporting	Statistics: No Annual		
	Report received. Task		deficiencies.	Report received		
	I for foreign vessels (including previous					
	years) received.					
	years) received.					
	Conservation and			Conservation and		
	Management			Management		
	Measures:			Measures:		
	Quotas and catch	1		Quotas and catch	1	
	limits: no Compliance			limits: no Compliance		
	tables received.			tables received.		
	Other issues: No			Other issues: letter		
	information on access			received after		
	agreements reported			10.10.2015		
	by Chinese Taipei.			concerning Rec. 11-15		
	No written			and some issues raised		
	confirmation of null			in letter of concern.		
	catches in 2012 (Rec.					
	11-15).					

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CPC	V	Response / explanation by	Actions Taken	Potential issues of non-	Response / explanation by	Actions Taken
	compliance-2014	CPC		compliance-2015	CPC	
SOUTH AFRICA	Annual Reports/		Letter of	Annual Reports/		+
	Statistics: Annual			Statistics: Task 1 data		
			concern			
	Report Part 1 received		regarding	sumitted late. No Annual		
	late. Annual Report Part		reporting	Report Part II submitted.		
	II received late (during		deficiencies			
	meeting).		but noting			
	Conservation and	Regarding reporting		Conservation and		
	Management	deficiencies, noted significant		Management		
	Measures:	internal restructuring,	deadlines.	Measures: Rec. 12-07:		
		committed to fully complying		Port inspection reports		
		in future.		not received by		
				Secretariat		
	Quotas and catch			Quotas and catch		
	limits: Compliance			limits: No Compliance		
	tables received late,			tables received.		
	(during meeting).					
	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \					
	Other issues: no	Submitted access agreement		Other issues: no		
		_				
	information received on	info Aug 12. Has		summary report received		
	_	implemented mitigation		on chartering (Rec. 13-		
	UK-OT (St. Helena). No			14). No reply to letter of		
	informatinon seabird	Working with WWF on		concern received. No		
	mitigation measures.	awareness campaign as well		response to letter of		
				prohibition regarding		
				2013 catches.		

CPC	Potential issues of	Response /	Actions Taken	Potential issues of	Response /	Actions Taken
CIC	non-compliance-2014	=	Actions Taken	non-compliance-2015	_	nelions Taken
	non-compilance-2014	explanation by CI C		пон-сотриинсе-2013	explanation by CI C	
ST.VINCENT &	Annual Reports/	Confirmed submission	No action	Annual Reports/		
THE GRENADINES	Statistics:	applies to national		Statistics: No		
	Information submitted	waters as well.		Annual Report		
	relates mainly to high			received.		
	seas fisheries, extent					
	of implementation in					
	national water					
	fisheries unclear.					
	Conservation and	SWO plan in		Conservation and		
	Management	development. Ready		Management		
	Measures: Rec. 13-	in early 2015.		Measures: Rec. 13-		
	02 : no swordfish			02: No N. Swo		
	fisheries development			management plan		
	or management plan			received in 2015		
	submitted.					
	Quotas and catch			Quotas and catch	1	
	limits:			limits: No		
				Compliance tables		
				received.		
	Other issues: No	Noted human resource		Other issues: No	1	
	reply (to Secretariat)	constraints as an		response to letter of		
	to EU 2012	issue.		prohibition regarding		
	allegations. No reply			2013 catches. See also		
	to letter of concern			COC-305, PNCs		
	received.			under ROPs.		

		2014			2013	
CPC	Potential issues of	Response /	Actions Taken	Potential issues of	Response /	Actions Taken
	non-compliance-2014	explanation by CPC		non-compliance-2015	explanation by CPC	
SYRIA	Annual Reports/		Letter of concern	Annual Reports/		
	Statistics: No annual		regarding reporting	Statistics: No Annual		
	report received. No		deficiencies.	Report received. No		
	Task I or Task II data			Task I Fleet		
	received.			Characteristics		
				recieved and no Task		
				II (catch & effort or		
				size) data received.		
	Conservation and	+		Conservation and	+	
	Management Management			Management Management		
	Measures: BFT			Measures: 11-20:		
	fishery prohibited in			BCD annual report not		
	2012 and 2013. Rec,			received. 14-04 :		
	13-07: Late			EBFT Implementation		
	submission of E_BFT			Report not received.		
	catching vessel due to			Report not received.		
	force majeure, but					
	request for Regional					
	Observer received too					
	late to allow fishing in					
	2014.					
	2014.					
	0414-1-	_		0414-1		
	Quotas and catch			Quotas and catch		
	limits: no			limits: Compliance		
	Compliance tables			tables received late		
	received.	_		041		
	Other issues:			Other issues: an e-		
				mail message received		
				after 10.10.2015		
				raising some issues		
				refered in the concern		
				letter.		

		2014			2015	
CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
TRINIDAD & TOBAGO	Annual Reports/ Statistics: Statistical data received late. No Part II of Annual Report received.		Letter of concern regarding reporting deficiencies and continued and increasing billfish overharvests.	Annual Reports/ Statistics: No Task II catch & effort or size data received.		
	Conservation and Management Measures: Rec. 13-02: No swordfish development/manag ement plan received.			Conservation and Management Measures: Rec. 13- 02: No N. Swo management plan received in 2015		
	Quotas and catch limits: Compliance tables received late. Continued overharvest of BUM and WHM.			Quotas and catch limits: overharvest plan/BUM and WHM to be checked by COC/Commission.		
	Other issues: no reply to letter of concern received.			Other issues: Reply to letter of concern received late.		

Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
Conservation and Management Measures: Rec. 11-20: BCD wrong identification number.	Has already worked with Secretariat and resolved issue.		Conservation and Management Measures:		
Quotas and catch limits:		-	Quotas and catch limits:		
Other issues: Rec. 13-07 1. ROP_BFT: PNC reports and explanation contained in COC-305. 2. Potential infringements under Annex 8, Rec. 13-07 (7 inspections reports by EU).	Reiterated their explanation contained in COC-305.		Other issues: PNC reports and explanation contained in COC-305.		
	Conservation and Management Measures: Rec. 11-20: BCD wrong identification number. Other issues: Rec. 13-07 1. ROP_BFT: PNC reports and explanation contained in COC-305. 2. Potential infringements under Annex 8, Rec. 13-07 (7 inspections	Conservation and Management Measures: Rec. 11-20: BCD wrong identification number. Other issues: Rec. 13-07 1. ROP_BFT: PNC reports and explanation contained in COC-305. 2. Potential infringements under Annex 8, Rec. 13-07 (7 inspections	compliance-2014 explanation by CPC Annual Reports/ Statistics: No action Conservation and Management Measures: Rec. 11-20: BCD wrong identification number. Other issues: Rec. 13-07 1. Reiterated their explanation contained in COC-305. 2. Potential infringements under Annex 8, Rec. 13-07 (7 inspections	compliance-2014 explanation by CPC compliance-2015 Annual Reports/ Statistics: No action Annual Reports/ Statistics: Conservation and Management Measures: Rec. 11-20: BCD wrong identification number. Conservation and Management Measures: Rec. 11-20: BCD wrong identification number. Quotas and catch limits: Quotas and catch limits: Other issues: Rec. 13-07 1. Reiterated their explanation contained in COC-305. 2. Potential infringements under Annex 8, Rec. 13-07 (7 inspections	compliance-2014 explanation by CPC Annual Reports/ Statistics: No action Annual Reports/ Statistics: Conservation and Management Measures: Rec. 11-20: BCD wrong identification number. Conservation and Management Measures: Rec. 11-20: BCD wrong identification number. Quotas and catch limits: Quotas and catch limits: Other issues: Rec. 13-07 1. Reiterated their explanation contained in COC-305. COC-305. 2. Potential infringements under Annex 8, Rec. 13-07 (7 inspections)

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance-2015	Response / explanation by CPC	Actions Taken
TURKEY	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: VMS: manual transmission of VMS messages in non-NAF format sent from beginning May 2014 and continuing throughout season. ROP_BFT: PNC reports and explanation contained in COC-305.	were minor - poor		Other issues: PNC reports and explanation contained in COC-305.		

		2011			2013	
CPC	Potential issues of non-compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance-2015	Response / explanation by CPC	Actions Taken
UNITED KINGDOM (OTs)	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics: No Task II size data submitted.		
	Conservation and Management Measures: Rec. 13-02: N-SWO plan received late. Wrong BCD number ("UK.BMU 13-03"/received in December 2013). BCD annual report received late.	Thanked Commission for noting their improvement. Will continue to work to improve compliance.		Conservation and Management Measures: Rec. 13-02: No N. Swo management plan received in 2015		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
UNITED STATES	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:		
	Conservation and Management Measures: Rec. 11-01. Very minor delay in submission of BET/YFT vessel list and in N. Alb list.	Due to national holiday.		Conservation and Management Measures:		
	Rec. 11-20: wrong unique identification number for the re-export certificates. Re-export certificates from 2012 received one year later in 2013.	Have been working with the Secretariat to make necessary corrections to identification numbers. Safeguards in place to ensure errors don't happen going forward. Administration error led to re-export error - sent to Secretariat once aware. Was discussed and resolved with Secretariat prior to 2013 ICCAT meeting.				
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues:			Other issues:		

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CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance-2015	Response / explanation by CPC	Actions Taken
TIDLICULAN	Assural Borontol		No action maning d	Amusel Demontal		
URUGUAY	Annual Reports/ Statistics:		No action required	Annual Reports/ Statistics:		
	Conservation and		_	Conservation and		_
	Management Measures	:		Management Measures	:	
	Quotas and catch limits Compliance tables received late.	:		Quotas and catch limits	:	
	Other issues:	_		Other issues: Information on possible	_	
				port inspection reports being issued in 2015 at Montevideo/Rec. 12-07		
				would be welcomed.		

		2014			2013	
CPC	Potential issues of non- compliance-2014	Response / explanation by CPC	Actions Taken	Potential issues of non- compliance-2015	Response / explanation by CPC	Actions Taken
VANUATU	Annual Reports/ Statistics: Part 1 of annual report received late (after SCRS).		Letter of concern regarding data deficiencies.	Annual Reports/ Statistics: Part I of annual report received late for SCRS without summary table. No sections 4 or 5 received. No Task I Fleet Characteristics or		
	Conservation and Management Measures: Rec. 13-02: No N.SWO management plan received in 2014.			Conservation and Management Measures: 13- 13/14-10 & 14-01: In three cases, retroactive registration of vessels (P20m lists and/or TROP list), of several months prior. Rec. 13-02: No N. Swo management plan received in 2015		
	Quotas and catch limits: Compliance tables received late.			Quotas and catch limits:		
	Other issues:			Other issues: no reply to letter of concern. No response to letter of prohibition regarding 2013 catches.		

CPC	Potential issues of non-compliance- 2014	Response / explanation by CPC	Actions Taken	Potential issues of non-compliance- 2015	Response / explanation by CPC	Actions Taken
VENEZUELA	Annual Reports/ Statistics:		letter of concern or identification), but Chair to send letter asking for information on	Annual Reports/ Statistics: No Part II annual report/reporting summary Part II received. Task I Fleet Characteristics not received.		
	Conservation and Management Measures: Rec.11-01: List of BET/YFT authorised vessels received late. No list of vessels fishing previous year received.			Conservation and Management Measures: Rec. 13-02: No N. Swo management plan received in 2015		
	Quotas and catch limits:			Quotas and catch limits:		
	Other issues: no information received on national measures to reduce ALB and BUM overharvest, as requested by the Commission.			Other issues: No reply received to Chair letter.		

	Potential issues of non- compliance-2014	Response / explanation	Actions Taken	Potential issues of non- compliance-2015	Response / explanation	Actions Taken
	Annual Reports/ Statistics: Zero catches reported. No Annual Report received.		Letter of concern regarding reporting deficiencies.	Annual Reports/ Statistics: Only summary table of Part I of annual report received.		
BOLIVIA	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: No compliance tables received.			Quotas and catch limits: no catches reported under Task I.		
	Other issues:			Other issues: no reply to letter of concern.		

		2011	2014					
	Potential issues of non- compliance-2014	Response / explanation	Actions Taken	Potential issues of non- compliance-2015	Response / explanation	Actions Taken		
	Annual Reports/ Statistics:		No action	Annual Reports/ Statistics:				
CHINESE TAIPEI	Conservation and Management Measures:			Conservation and Management Measures:		_		
	Quotas and catch limits:		_	Quotas and catch limits:				
	Other issues: PNC reports and explanation contained in COC-305.		-	Other issues: PNC reports and explanation contained in COC-305.				

2015

	Potential issues of non-	Response / explanation	Actions Taken
	compliance-2015		
	<b>Annual Reports/ Statistics:</b>		
	No reporting summary for		
	Part I and Part II received.		
GUYANA			
	Conservation and		
	Management Measures:		
	Quotas and catch limits:		]
	Other issues:		

	Potential issues of non- compliance-2014	Response / explanation	Actions Taken	Potential issues of non- compliance-2015	Response / explanation	Actions Taken
	Annual Reports/ Statistics: Task I (only data corresponds to foreign flagged vessels.)		Letter of concen regarding reporting deficiencies.	Annual Reports/ Statistics:		
SURINAME	Conservation and Management Measures:			Conservation and Management Measures:		
	Quotas and catch limits: no Compliance tables received			Quotas and catch limits: Data for Compliance tables received late.		
	Other issues:			Other issues: no reply to letter of concern.		