

Original: English

**ISSUES OF POTENTIAL NON-COMPLIANCE REPORTED BY
OBSERVERS UNDER THE ICCAT REGIONAL OBSERVER PROGRAMMES**

(ICCAT Secretariat)

Observer reports are contained in electronic Annexes to this document. **Annex 1** contains the reports from observer on vessels under the ICCAT Regional Observer Programme for eastern Atlantic and Mediterranean Bluefin tuna (ROP-BFT); **Annex 2** contains the reports from observer on farms/traps under the ROP-BFT and **Annex 3** contains the reports submitted under the domestic observer programmes for Tropical Tunas (OP-TROP). Reports for the Regional Observer Programme for transshipment are published on the ICCAT web site, as required by Rec. 12-06, on <https://www.iccat.int/Documents/Comply/transshipmentreports.pdf>.

1. ICCAT Regional Observer Programme for Transshipment (ROP-Trans)

A total of sixty-seven potential non-compliance alerts were issued by observers under the Regional Observer Programme for Transshipment during the past year.

Forty-**nine** of these have been confirmed by the CPCs (3 pending reply); these, together with the actions taken are summarized below. Practically all the issues have been resolved by actions taken by the CPCs concerned. Please note that this summary is based on the Secretariat's reading, and a full list of PNCs and responses are contained in **Annex 4** (available electronically in original language only), for review by the Compliance Committee.

<i>CPC</i>	<i>Total No. of PNCs issued</i>	<i>Total No. of responses</i>	<i>Total PNCs confirmed</i>	<i>PNC confirmed</i>	<i>Action taken</i>
Belize	1	0			
China	9	9	2	Logbook not updated	One case confirmed. Oversight due to concern with failure of gear box. Although the foregoing, filling in the logbook is the mandatory requirement for Chinese fishing vessels and we reiterated to the fishing vessels/owners concerned the importance of filling in the logbook every day, we asked them to fill in the logbook whether there are fishing activities or not, in the case of drifting, the vessel position must be recorded in the logbook.
				Call sign not visible	One case confirmed, has been rectified
Japan	41	41	38	Logbook, unbound /not numbered	An electronic logbook system has been developed in cooperation with relevant industries, and its trial use has already been started this year. As the number of vessels which introduce electronic logbooks will be limited for the time being, for other vessels, bound logbooks will be introduced in order to ensure the compliance with ICCAT logbook requirements for the next fishing season.
				Logbook not updated	FAJ instructed that the logbook must be filled in every day (midnight) in accordance with Recommendation 14-01 Annex 1.
				Incorrect vessel markings	One case confirmed, has been rectified

<i>CPC</i>	<i>Total No. of PNCs issued</i>	<i>Total No. of responses</i>	<i>Total PNCs confirmed</i>	<i>PNC confirmed</i>	<i>Action taken</i>
				Fax No. working (authorisation not received)	One case confirmed, has been rectified
				Incorrect LSPLV number	Error confirmed. FAJ instructed the carrier vessel operator to check LSPLV ICCAT record number on the ICCAT website before every transshipment.
Korea	6	6	5	Unbound logbook	Two vessels concerned have been instructed by Ministry to bind their logbooks and to number their pages
				Discrepancy in vessel name on bow	Vessel has been instructed to rectify this
				Lack of marking and out of date life rafts	Ministry has instructed them to rectify this situation when they arrive at port
Philippines*	3	3	2	Unbound logbook	All Philippine flagged fishing vessels operating in the IOTC and ICCAT Convention areas utilize printed formats based on the BFAR approved formats. These are filled up utilizing data coming from the same forms which when originally are filled up are not necessarily clean but may contain erasures. Thereafter, when the data have been clarified, verified and confirmed, these data are transferred to the clean sheets of the same format. After having completed 1 page, these are faxed on a weekly basis so that the Philippine flagged fishing vessel operator will receive the same and can have updated data which are then submitted our office [BFAR] for updating. After these forms are faxed, they are inserted and bound onto the logbook. Please take note that these fishing vessels cannot fax a sheet if the same is already bound in the traditional way meaning sewn onto a book. We utilize this system to ensure that the fishing vessel and their fishing vessel operator's office have the same copies. This office [BFAR] is also provided with updated. BFAR is using this faxed weekly catch report in issuing Statistical Document and authority to transshipment
St. Vincent & the Grenadines	2	0			
Chinese Taipei	5	5	3	Vessel marking different from ICCAT Record	We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. [photo provided]

<i>CPC</i>	<i>Total No. of PNCs issued</i>	<i>Total No. of responses</i>	<i>Total PNCs confirmed</i>	<i>PNC confirmed</i>	<i>Action taken</i>
				Unbound logbook	We have developed the logbook with the original sheet and the carbon-copied sheet with the same series number. Each page of the original sheet of the logbook can be torn off by the captain so that the original sheet can be delivered

* Philippines did not participate in the ROP in 2015.

2. ICCAT Regional Observer Programme for eastern Atlantic and Mediterranean Bluefin tuna (ROP-BFT)

2.1 Vessels

A total of one hundred and eight (108) potential non-compliance alerts were issued by observers under the ROP-BFT in 2015 embarked on purse seine vessels. Following investigation, the CPCs confirmed a total of 37 cases (four still under investigation, and 1 awaiting reply). These, together with the actions taken are summarized below. Please note that this summary is based on the Secretariat's reading, and a full list of PNCs and responses are contained in **Annex 5** (available electronically in original language only) for review by the Compliance Committee. Type of PNC has not been summarized, given the diverse nature of these.

<i>CPC</i>	<i>Number of vessels in ROP</i>	<i>Total number of PNCs reported</i>	<i>Responses received from CPC</i>	<i>Number of PNCs confirmed by CPC</i>	<i>Number of Vessels with confirmed PNCs</i>	<i>Vessels with more than one confirmed PNC</i>	<i>Actions taken by CPC</i>
Albania	1	0	n/a				n/a
Algeria	12	28	28	21	10	8	In most cases PNC were due to administrative delays or errors in completing forms. In at least six cases, prompt action by administration resolved the issue.
Egypt	2	0	n/a				n/a
EU	45	36	36	6 (+ 4 under investigation)	4 (+ 4 under investigation)	1	Economic sanctions in three cases
Libya	15	15	15	7	7	7	Mostly due to errors in form completion which were later rectified
Morocco	1	0	n/a				n/a
Norway	1	0	n/a				n/a
Syria	1	1	0				
Tunisia	25	26	26	15	15	2	Mostly due to errors in form completion or transcription errors which were later rectified
Turkey	20	4	4	1	1	0	Release order issued

2.2. Farms and traps

A total of forty-nine potential non-compliance alerts were issued by observers under the ROP-BFT in 2015 deployed on Bluefin tuna farms or traps. Following investigation, the CPCs have reported that only four non-compliance reports were confirmed, three of which involved number and fish, and were resolved through release orders. Please note that this summary below is based on the Secretariat's reading, and a full list of PNCs and responses are contained in **Annex 6** (available electronically in original language only), for review by the Compliance Committee. Type of PNC has not been summarized, given the diverse nature of these.

<i>CPC</i>	<i>No. of farms/traps in ROP</i>	<i>Total No. of PNCs reports issued</i>	<i>Responses received by CPC</i>	<i>Number of PNCs confirmed by CPC</i>	<i>Number of farms/traps with confirmed PNCs</i>	<i>Farms/traps with more than one confirmed PNC</i>	<i>Actions taken by CPC</i>
EU	14	27	27	2	1	1	One release order and one infringement issued
Morocco	2	2	2	0	0	0	n/a
Tunisia	5	7	7	0	0	0	n/a
Turkey	6	13	13	2	1	1	Release orders