

## **I. Qualifying Criteria**

Participants will qualify to receive possible quota allocations within the framework of ICCAT in accordance with the following criteria:

1. Be a Contracting or Cooperating Non-Contracting Party, Entity or Fishing Entity.
2. Have the ability to apply the conservation and management measures of ICCAT, to collect and to provide accurate data for the relevant resources and, taking into account their respective capacities, to conduct scientific research on those resources.

## **II. Stocks to Which the Criteria Would be Applied**

3. These criteria should apply to all stocks when allocated by ICCAT.

## **III. Allocation Criteria**

### ***A. Criteria Relating to Past/Present Fishing Activity of Qualifying Participants***

4. Historical catches of qualifying participants.
5. The interests, fishing patterns and fishing practices of qualifying participants.

### ***B. Criteria Relating the Status of the Stock(s) to be Allocated and the Fisheries***

6. Status of the stock(s) to be allocated in relation to maximum sustainable yield, or in the absence of maximum sustainable yield an agreed biological reference point, and the existing level of fishing effort in the fishery taking into account the contributions to conservation made by qualifying participants necessary to conserve, manage, restore or rebuild fish stocks in accordance with the objective of the Convention.
7. The distribution and biological characteristics of the stock(s), including the occurrence of the stock(s) in areas under national jurisdiction and on the high seas.

### ***C. Criteria Relating to the Status of the Qualifying Participants***

8. The interests of artisanal, subsistence and small-scale coastal fishers.
9. The needs of the coastal fishing communities which are dependent mainly on fishing for the stocks.
10. The needs of the coastal States of the region whose economies are overwhelmingly dependent on the exploitation of living marine resources, including those regulated by ICCAT.
11. The socio-economic contribution of the fisheries for stocks regulated by ICCAT to the developing States, especially small island developing States and developing territories<sup>1</sup> from the region.
12. The respective dependence on the stock(s) of the coastal States, and of the other States that fish species regulated by ICCAT.

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1. For the purposes of this document, the term “territories” refers only to the territories of those States that are Contracting Parties to the Convention in respect of those territories alone.

13. The economic and/or social importance of the fishery for qualifying participants whose fishing vessels have habitually participated in the fishery in the Convention Area.
14. The contribution of the fisheries for the stocks regulated by ICCAT to the national food security/needs, domestic consumption, income resulting from exports, and employment of qualifying participants.
15. The right of qualified participants to engage in fishing on the high seas for the stocks to be allocated.

***D. Criteria Relating to Compliance/Data Submission/Scientific Research by Qualifying Participants***

16. The record of compliance or cooperation by qualifying participants with ICCAT's conservation and management measures, including for large-scale tuna fishing vessels, except for those cases where the compliance sanctions established by relevant ICCAT recommendations have already been applied.
17. The exercise of responsibilities concerning the vessels under the jurisdiction of qualifying participants.
18. The contribution of qualifying participants to conservation and management of the stocks, to the collection and provision of accurate data required by ICCAT and, taking into account their respective capacities, to the conduct of scientific research on the stocks.

**IV. Conditions for Applying Allocation Criteria**

19. The allocation criteria should be applied in a fair and equitable manner with the goal of ensuring opportunities for all qualifying participants.
20. The allocation criteria should be applied by the relevant Panels on a stock-by-stock basis.
21. The allocation criteria should be applied to all stocks in a gradual manner, over a period of time to be determined by the relevant Panels, in order to address the economic needs of all parties concerned, including the need to minimize economic dislocation.
22. The application of the allocation criteria should take into account the contributions to conservation made by qualifying participants necessary to conserve, manage, restore or rebuild fish stocks in accordance with the objective of the Convention.
23. The allocation criteria should be applied consistent with international instruments and in a manner that encourages efforts to prevent and eliminate over-fishing and excess fishing capacity and ensures that levels of fishing effort are commensurate with the ICCAT objective of achieving and maintaining MSY.
24. The allocation criteria should be applied so as not to legitimize illegal, unregulated and unreported catches and shall promote the prevention, deterrence and elimination of illegal, unregulated and unreported fishing, particularly fishing by flag of convenience vessels.
25. The allocation criteria should be applied in a manner that encourages cooperating Non-Contracting parties, Entities and Fishing Entities to become Contracting Parties, where they are eligible to do so.
26. The allocation criteria should be applied to encourage cooperation between the developing States of the region and other fishing States for the sustainable use of the stocks managed by ICCAT and in accordance with the relevant international instruments.
27. No qualifying participant shall trade or sell its quota allocation or a part thereof.