

REPORT OF THE 2013 ATLANTIC SWORDFISH DATA PREPARATORY MEETING

(Madrid, June 3 to 10, 2013)

1. Opening, adoption of the Agenda and meeting arrangements

The meeting was held at the ICCAT Secretariat in Madrid from April 22 to 26, 2013. Dr. Pilar Pallarés, on behalf of the ICCAT Executive Secretary, opened the meeting and welcomed participants (“the Group”).

Dr. John Neilson, swordfish Coordinator, chaired the meeting. Dr. Neilson welcomed meeting participants (“the Group”) and presented the general arrangements of the meeting. Dr Neilson proceeded to review the Agenda which was adopted with some changes (**Appendix 1**).

A list of meeting participants is attached as **Appendix 2** and the list of scientific documents presented at the meeting is attached as **Appendix 3**.

The following participants served as Rapporteurs for various sections of the report:

| <i>Section</i> | <i>Rapporteurs</i> |
|----------------|--|
| 1, 13 | P. Pallarés |
| 2 | I. Andrushchenko |
| 3, 4, 5, 6, 7 | M. Neves dos Santos, R. Forselledo, C. Palma, M- Ortiz |
| 8 | G. Díaz, L. Kell, J. Walter, M. Schirripa |
| 9 | C. Brown, T. Frédou |
| 10 | L. Kell |
| 11, 12 | J. Neilson |

2. Review of historical and new information on biology

No new documents on biology were submitted to the Group. However, two recently published papers pertaining to biology of swordfish were made available to the Group for consideration.

The Group considered revisions to length weight relationships for Atlantic swordfish, based on information presented by the Secretariat. At the present, the SCRS uses several weight - size relationships for the north (N-SWO) and south (S-SWO) stock units. These include particular weight - size relationships for sub-stocks units, as follows: Northwest Atlantic (NWA-SWO), North central Atlantic (NCA-SWO), and North East Atlantic (NEA-SWO) for the N-SWO, and Southeast Atlantic (SEA-SWO) and Southwest Atlantic (SWA-SWO) for the S-SWO. **Table 1** summarizes the relationships currently in use. It was noted that the relationships rely on different types of weight (gutted, dressed or round) and length (lower jaw fork length – LJFL, eye fork length EYFL) measurements. Plots of the predicted weight at size show very similar trends for all power functions, except for the SW-ATL (Amorin et al. 1979) which departs substantially from all other relationships by estimating larger weights for a given length. In some cases, differences in predicted weight could be from 34% to 75% higher (LJFL 50 - 200 cm) (See **Figure 1**). A detailed review of the SW-ATL equation (Amorin et al. 1979) showed that the estimated parameters do not match the plot presented in this document or the authors’ conclusions. Furthermore, in consultation with Brazilian scientists, an updated document was presented in 2001 (Hazin et al., 2001) for the SW-ATL weight size relationship of swordfish (**Table 1**).

When the SW-ATL relationship (Amorin et al. 1979) is excluded, the rest of the Atlantic weight-at-size relationships are in close agreement for fish in the 50-250 cm LJFL size range. Although the relationships are sub-stock and area specific, limitations in information on geographical distribution of the historic catches results in a level of uncertainty in these stratifications. In addition, catch and effort data for the main swordfish fisheries between 1960 and 2011 are reported in overlapping strata, making appropriate sub-stock area-specific division of catch-at-size very difficult. Finally, the mix of different types of weight and length measurements contributes to the difficulty of generating appropriate catch-at-size. Given the issues described above, the Secretariat Statistical Group proposed the following:

- 1-. Consolidate to weight - size relationship for each stock unit and generate one relationship for the north (N-SWO) and one for the south (S-SWO) Atlantic stock, all based on LJFL generated from reliable round weight data.

- 2-. Exclude the SW Atlantic (Amorim et al. 1979) relationship, until it can be verified and updated. The revised relationship for SW Atlantic in Hazin et al. (2002) could be included.
- 3-. Continue to use Atlantic-wide conversion factors for size from Eye-Fork (EYFL) to LJFL (Rey Gonzales-Garces 1978), and Opercula-Fork (OPFL) to LJFL (Rey Gonzales-Garces 1978)
4. Continue to use stock conversion factors for weight (dress or gutted) to (round) for the North (Turner 1987, Mejuto et al. 1988) and South (Mejuto et al. 1988).
5. The proposals above will be considered interim solutions and it is recommended that these morphometric relationships be supplemented with original data and updated with new, more recent data. It is also recommended that estimates of variance for the estimated parameters be provided (see Recommendation section).

Appendix 4 summarizes the actual methods, inputs and output of the above proposal. Briefly, the combined size weight relationship in Item 2 were estimated as the geometric mean of the corresponding available functions N-SWO (Turner 1987 - NWA-SWO; Mejuto et al. 1988 - NCA-SWO; Mejuto et al. 1988 NEA_SWO), and for the south-SWO (Mejuto et al. 1988 - SEA-SWO and Hazin et al. 2001 - SWA-SWO) all in common units of weight and size (round weight kg, LJFL cm). **Figure 2** compares the proposed combined weight size relationships against the individual, sub-stock specific relationships. The proposed combined weight conversion factors (**Table 2**) were averaged from the sub-stock specific weight conversion factors.

3. Review of Task I data

3.1 Overview

Directed surface longline fisheries from Canada, EC-Spain and the United States have operated since the late 1950s or early 1960s in the North Atlantic. The harpoon fisheries have existed at least since the late 1800's in the NW Atlantic. Other directed swordfish fisheries include longline fleets from Brazil, Morocco, Namibia, EC-Portugal, South Africa, Uruguay, and Venezuela, among others. Additionally, some driftnet activities occurred around the Strait of Gibraltar area and in other Atlantic areas (e.g., off the coast of West Africa). The primary by-catch or opportunistic fisheries that take swordfish are tuna fleets from Chinese-Taipei, Japan, Korea and EC-France. The tuna longline fishery started in 1956 and has operated throughout the Atlantic since then, with substantial catches of swordfish in some years that are produced as a by-catch in their fisheries targeting different tuna species. However, in recent years some of the fleets that traditionally caught swordfish as a by-catch, have opportunistically target it.

3.1.1 Total Atlantic

The Atlantic wide total catch of swordfish (North and South, including reported dead discards) estimated for 2011 (23,888 t) represented a slight decline from that in 2010 (24,209 t). 2011 catches should be considered provisional and subject to further revision. **Figure 3** shows the evolution of swordfish overall catches in the Atlantic Ocean, the catches in the Northern and Southern stocks and the respective TACs.

3.1.2 North Atlantic

For the past decade, the North Atlantic estimated catch has averaged about 11,704 t per year (**Table 3** and **Figure 3**). The catch in 2011 (12,834 t) represents a 37% decrease since the 1987 peak in North Atlantic landings (20,236 t). These reduced landings have been attributed to ICCAT regulatory recommendations and shifts in fleet distributions, reduction in fishing effort, including the movement of some vessels to the South Atlantic or out of the Atlantic. In addition, some fleets, including at least the United States, EC-Spain, EC-Portugal and Canada, have changed operating procedures to opportunistically target tuna and/or sharks, taking advantage of market conditions and higher relative catch rates of these species previously considered as by-catch in some fleets. Recently, socio-economic factors may have contributed to the decline in catch.

3.1.3 South Atlantic

The historical trend of catch can be divided in two periods: before and after 1980. The first period was characterized by relatively low catches, generally less than 5,000 t (with an average value of 2,300 t). After 1980, landings increased continuously up to a peak of 21,930 t in 1995, levels that match the 1987 peak of North Atlantic harvest (20,236 t) (**Table 3** and **Figure 3**). This increase of landings was, in part, due to progressive shifts of fishing effort to the South Atlantic, primarily from the North Atlantic, as well as other waters. Expansion of fishing activities by southern coastal countries, such as Brazil and Uruguay, also contributed to this

increase in catches. The reduction in catch following the peak in 1995 resulted from regulations and partly due to a shift to other oceans and target species. In 2011, the 11,055 t reported catches were about 50% lower than the 1995 reported level.

3.2 Fisheries description

During the meeting, national scientists presented short descriptions of recent developments in the swordfish fisheries in their countries.

Brazil: From 2008 to 2011, the Brazilian swordfish catches were: 3407 t (2008), 3386 t (2009), 2926 t (2010), 3033 t (2011). In 2009 catches were kept at the same level as 2008, but decreased slightly (around 400 t) in 2010 and 2011, mainly due to a significant reduction of the fishing activity from the Port of Santos. There are two main fishing grounds which are exploited by the Brazilian fleet: an equatorial area, between 5°N and 5°S, and another one, in the southern coast, around Trinidad Island (~20°S). There was no change in fishing distribution in the recent period, and no change in fish size (range in LJFL was 90 to 260 cm).

Canada: Canadian swordfish are caught by harpoon (10% of national quota) and longline (90% of national quota) from Georges Bank to east of the Grand Banks of Newfoundland from May through November. Over the past decade, total landings (including dead discards) peaked at 1,664 t in 2005. The landings in 2012 (1,488 t) represent a decline since that time, but this total does not yet account for dead discards. The distribution of longline catches has changed since the last stock assessment in 2009. There were fewer trips east of the Grand Banks due to a lack of persistent warm core rings and sharp horizontal temperature gradients indicative of productive fishing, the high cost of fuel and an abundance of swordfish closer to shore. Since 2002 the fishery has been managed under an Individual Transferable Quotas (ITQ) system, which has eliminated the competitive nature of the fishery. Swordfish are caught primarily on the edge of the Scotian Shelf and Grand Banks while tunas (albacore, bigeye and yellowfin) are generally caught south of the shelf edge, in warmer water. According to the Canadian fishing industry, the longline fishery now principally directs for tunas.

EU-Spain: An extensive description of the recent changes in the North and South Atlantic fisheries is included in several paper submitted to the Group (SCRS/105, 106, 107, 108) including North and South nominal catch per effort information for the period 1986-2011. No relevant changes have occurred since the last assessment in the case of the North Atlantic Spanish fleet. Landings in the total Atlantic during the recent period 2010 and 2011 were 9948 t and 9589 t. There were also consolidated changes in the Spanish fisheries operations in most recent period in addition to those produced by regulations. As previously reported, the North Atlantic fleet has kept a multi-species fishery due to changes in quotas and the market (increases in the price of other species). Additionally, most of the vessels have already been using the monofilament gear. Recent studies indicate that the monofilament longline regularly show higher catch rates per hook than the traditional longline style, with an estimated mean efficiency of 2.6, 1.9, 1.3 and 2.0 greater than the traditional longline for *Xiphias gladius*, *Prionace glauca*, *Isurus oxyrinchus* and billfishes, respectively.

EU.Portugal: The Portuguese pelagic longline fishery started in the late 1980's in the North-eastern Atlantic, and gradually expanded to other Atlantic areas (first catches in the Southern Atlantic occurred in 1995). Currently, the fleet catches swordfish over a wide geographic area throughout the Atlantic Ocean. Most of the fishing effort is concentrated in the North-eastern Atlantic, between the Portugal mainland and the Azores. Other important fishing grounds include the North-eastern tropical, the Equator and the southern Atlantic areas. The Portuguese swordfish catches reached a peak of 2092 t in 1996. The landings in 2012 (1,447 t, of which 1,167 were caught above 5°N) represent a decline since that time. The average landings for the last decade (2003-2012) have been of 1352 t. There were some changes in the Portuguese swordfish fisheries since the late 1990's, as reported over the past decade. The fleet has kept a multi-species fishery due to changes in the market (increases in the price of other species, e.g. sharks and tropical tuna) and management regulation. On the other hand, a shift of some vessels out of the Atlantic occurred between 2001-2007, particularly to the Indian Ocean. Additionally, all vessels have switched from the traditional multifilament to monofilament gear since mid-2000's. The fishery has been managed with Individual Quotas for a number of years, but since 2013 an Individual Transferable Quotas system has been implemented.

Japan: In the North Atlantic Japanese longliners primarily target bigeye and bluefin tuna, whereas swordfish is caught as by-catch (being an important component of it). The amount of fishing effort has decreased largely in the 1990s, was and has leveled off since 2000. In the northern region of the north Atlantic (north of 20°N) there is a notable decreasing tendency since 2005. In 2011 fishing effort amounted to only 10% of the 2005 level. In the tropical north Atlantic (south of 20° N), the fishing effort shows a general increasing trend. The fishing effort

was exerted in a wide area of the north Atlantic from the south of Iceland to the central tropical waters off Africa. There was a tendency of higher concentration of fishing effort in the temperate north Atlantic between 25°N and 35°N. The seasonal distribution clearly indicated a high concentration of fishing effort in areas such as the south of Iceland, off east coast of North America, as well as tropical areas (south of 20°N). In the previous two areas, fishing takes place from the 3rd quarter to the 1st quarter, while the tropical fishing grounds are fished all year round. Catches of swordfish show a decreasing trend in recent years. In 2012 the catches amounted to about half of that in 2007, primarily due to the decrease of effort in the western temperate Atlantic where relatively higher CPUE of swordfish is obtained. In the South Atlantic Japanese longliners primarily target bigeye and southern bluefin tuna, with swordfish beings caught as by-catch. In the tropical Atlantic fishing effort has demonstrated an upward trend during the mid-2000s. The fishing effort was exerted in a wide area of the south eastern Atlantic, from tropical waters to off South America. In 2010 and 2011, fishing effort was observed in the waters off Uruguay. The amount of effort peaked in the mid-1990s and showed a sharp decreasing trend to 2000, when it leveled off. The average amount of effort in the 2000s has been about half of the mid 1990s level. Followed by decreasing effort, catch of swordfish also decreased from about 5,000 t in 1993 to 700–800 tons in the first half of the 2000s. These lower catches during the first half of the 2000s were affected by the discards and released activities of the northern stock, as many of the Japanese longliners operated in the stock boundary area. The reported catch increased to 2,150 t in 2007 and then decreased to 900 t in 2011.

Morocco: The Moroccan longline fishery targeting swordfish in the North Atlantic Ocean is relatively recent compared with other tuna fisheries in particular the gillnet and the tuna traps fisheries. This fishery has been operating since the beginning of the last decade (2003), off southern Morocco Atlantic coast between the latitudes 20° and 26° N. This swordfish fishery is conducted by freezer longliners that are equipped with drifting longlines (marrajera). These fishing units primarily target swordfish, but they also capture yellowfin and bigeye tunas, and sharks. The annual average size of swordfish ranged between 126 and 152 cm, with a slight overall decreasing trend during the period 2003-2010. This fishery is relatively recent compared with other traditional tuna and tuna like species fisheries. This fishery has known a remarkable development in recent years in terms of catches. It occurs throughout the year, with higher catches during the third and the fourth quarters. In terms of catches, this fishery has contributed in most recent years with 400 t on average.

Uruguay: After a recent five years period of decreasing catches (2004: 1105 t to 2008: 370 t), the Uruguayan captures of swordfish increased to 501 t in 2009, followed again by a decrease in 2010 (222 t) and 2011 (179 t). The increase in 2009 was due to the reactivation of some boats and the incorporation to the fleet of a new fishing boat targeting swordfish. The decrease in captures after 2009 has been caused by a change in the target species of some boats, together with a reduction in the fishing effort. Decline in the U.S. market demand, the main buyer of fresh Uruguayan swordfish, together with the occurrence of fishermen labor conflicts produced a decrease in the fishing effort, with some boats ceasing their fishing activities after 2009. At the same time, during this period there was an increase in the captures of blue shark, coinciding with an increase in the price of this product, mainly in the Brazilian market. It is expected that this situation will revert starting at the end of 2013, with the incorporation of the new licenses for targeting swordfish and tuna species.

United States: U.S. catches (landings+dead discards) of swordfish peaked in 1989 with a total of 6,411 t. Since then, United States catches followed a declining trend until 2006, when U.S. catches (2,058 t) were at the lowest level since 1977. After 2006, U.S. catches have fluctuated around somewhat higher levels. In 2011, the United States reported 2,888 t of total swordfish catches, an increase of about 20% with respect to the previous year. In 2011, 93% of all United States swordfish catches were from pelagic longline vessels. United States longline vessels operate throughout the western Atlantic including the Gulf of Mexico and Caribbean Sea. The main targets of the U.S. pelagic longline vessels are yellowfin tuna and swordfish. In the mid-1990s, the U.S. pelagic longline fleet consisted of about 400 active vessels. The number of active vessels has decreased since then and only about 112 vessels were active in the pelagic longline fishery in 2011. Management regulations, market conditions, and fuel prices are some of the reasons for the reduction of the fleet. In 2001, a number of time/area closures came into effect for the pelagic longline vessels operating within the United States EEZ. Two year-round closures, one in the Gulf of Mexico and the other in the Florida east coast, were established to reduce longline bycatch including that of undersize swordfish. Three other areas have seasonal closures and they were also established to reduce longline bycatch including that of undersize bluefin tuna. During 2001-2003, areas around the Grand Banks were closed in order to avoid sea turtle bycatch, with the exception of vessels participating in experiments exploring approaches for reducing such bycatch. The area was reopened to all U.S. vessels in 2004 when circle hooks became mandatory for the U.S. pelagic longline fleet, with the aim of reducing sea turtle bycatch mortality. Beginning in 2011, the use of a thinner “weak” hook (designed to straighten when a large fish is hooked) was required for all U.S. pelagic longline vessels operating in the Gulf of Mexico in order to reduce the bycatch of bluefin tuna. These new hook types do not affect the catch rates of

swordfish (SCRS/2013/114). The Deep Water Horizon oil spill had a major impact in the Gulf of Mexico, resulting in substantial reductions in longline effort and swordfish catches beginning in 2010 and continuing into the third quarter of 2011. The United States also has a recreational swordfish fishery. Although recreational swordfish landings are very small compared to the total United States landings (54 t in 2011), this fishery has expanded during the last decade and is projected to continue growing.

3.3 Task I (nominal catches)

The Secretariat presented the nominal catches (Task I) for the period 1950-2011 (**Figure 4**). The Group reviewed in detail the catch distribution by stock, fleet, gear and year and noted the good coverage of the data reported to the Secretariat for 2011. Minor revisions (Argentina, Chinese Taipei, EU.España, Senegal and Trinidad and Tobago) were applied to the 2011 Task I data approved by the SCRS during the 2012 SCRS plenary meeting. There are, however, some uncertainties related to the catch allocation per stock of Senegal and South Korea (Korea only reported dead discards for 2011). The Secretariat will contact the respective statistical correspondents in order to solve these matters. In addition, the Group also agreed to merge the Portuguese (mainland longline fleet only) surface unclassified catches with the “LLHB” into to “LL-surf” (as it was proposed by the Portuguese national scientist). Both series (old and final) are presented in **Table 4**. A revised Task-I table with all these revisions was approved by the Group. The Task-I summary catch table is shown in **Table 4**. The cumulative catches of the major fishing countries per stock are presented in **Figures 4** and **5**. The geographical catch distribution of the swordfish catch for the entire Atlantic (CATDIS estimations, in 5 by 5 degree squares) by major fishing gears and by decade are shown in **Figure 6**. The lower catches in 2011 (23,888 t) compared to 2010 (24,209 t) could be due in part to some socio-economic conditions and/or a change in the targeted species, as discussed in section 3.2.

The Group noted that there were a considerable number of live discards reported by the Japanese fleet over the period 2000 – 2005. These live discards were made to meet a quota overrun situation, which was resolved in 2006. The live discards could be a significant source of uncounted mortality, given the scale of the live discards (**Table 5**), and the unknown post-release survival.

SCRS/2013/102 reported first estimates of the proportion of captured swordfish (*Xiphias gladius*) retained and discarded by the Uruguayan swordfish fishery. The results were based on data obtained by the Uruguayan Observer Program on board of national and Japanese flagged vessels operating in Uruguayan and international adjacent waters. Proportions of the different catch components (retained, retained bitten, discarded dead, discarded bitten, released alive and lost) in relation to the total swordfish capture were presented. The preliminary results suggest that the retained bitten and the non-retained catch of swordfish (most of which is discarded dead) may represent a considerable proportion of the total catch, and the exclusion of this discarded catch from stock assessments could result in underestimations of total fishing mortality. The Group agreed that the inclusion of size data for the non-retained capture can be useful information. The Group requested Uruguay, with the agreement of Japan, to report the CAS for the Japanese fleet that operated in Uruguayan waters during the period 2009–2011. Uruguay agreed to present such information, prior to the 2013 swordfish Stock Assessment Meeting.

3.4 Data submission

The Secretariat highlighted the fact that some important data (including historic time series of size information) were submitted after the deadline established by Circular 1542/13. This deadline was established according to the swordfish work plan and in agreement with the SCRS recommendation on data submission, with the objective of allowing the Secretariat sufficient time to compile the information received and to prepare all the required datasets (CATDIS, CAS, CAA, etc...) in advance of the meeting. **Table 6** shows the Task-II size information received (including reported CAS), processed and merged into ICCAT-DB Overall, about 18% of the whole records of Task 2 size information (219,195 out of 1.1 million registers) for swordfish (1950-2011) had to be updated during the data preparatory meeting. Task-II catch and effort reviews were also presented. For the Secretariat, the only way to comply with the SCRS requirements on statistics is to develop a work plan tied to the deadlines established, particularly if the calendar of meetings is so heavy such as is the case this year. For this reason, any delay in receiving data implies an overload of work for the Secretariat as well as delays in future works or, in its worst case, the impossibility of preparing the datasets requested by the Group.

4. Task II catch/effort

The available Task II catch and effort (T2CE) data =(per stock, year, major gear and flag) for the major fisheries are identified on the respective catalogues (SWO-N: **Table 7**, SWO-S: **Table 8**) with the “a” character within

each Task II row (DS="t2"). Fisheries are ranked according to its overall importance (total weight of the 1980-2011 catches) in Task I.

4.1 North Atlantic

The SWO-N catalogue shows that the 6 most important SWO-N fisheries (EU.España, U.S.A., Canada, Japan, EU.Portugal, Chinese Taipei) have their T2CE data series almost complete in the last twenty years. Some datasets that were recently reported to the Secretariat completed the series above. There are however, some missing T2CE datasets for the earlier years. These missing datasets should be taken into account by National scientists present at the meeting and be recovered and reported to the Secretariat as soon as possible.

The Group noted that the T2CE reported should contain both landings and discards (dead and/or alive) as indicated in the last T2CE electronic forms approved by the SCRS (form ST03-T2CE). Another important issue raised by the Secretariat is related to the inconsistencies found in the Korean T2CE series in the last two/three years. The geographical classification (5 by 5 squares) of the entire sets reported seems to be inconsistent. The Group supported the Secretariat proposal to request a complete revision the T2CE Korean series. This attempt for clarification of the Korean T2CE statistics is recurrent (made several times in the past without any response) and various years have pending clarifications.

4.2 South Atlantic

The SWO-S catalogue shows that, the 6 most important SWO-S fisheries (EU.España, Japan, Brazil, Chinese Taipei, Uruguay, Korea Rep.) are not as complete as the northern stock in the last twenty years. In particular for the Korea Republic who did not report 2010 and 2011 data for the Southern stock. The earlier (prior to 1990) series do have some missing T2CE data. These missing datasets should be taken into account by National scientists present at the meeting and be recovered and reported to the Secretariat as soon as possible.

5. Task II size data

The ICCAT database includes over one half million records of size frequency data of swordfish stocks submitted by CPCs since 1970 (**Figure 7**). However, most of the data was reported after 1980. For SWO-N the size frequency data comprises reports from 19 CPCs, representing over 30 flag-fleet codifications. The main reported gear is longline, 91%, followed by surface gears (harpoon, hand lines, rod & reel, sport and trawl) (5%), gillnets (1%), and others (including Baitboat, mid-water trawls, and unknown) (**Figure 8**). For the south-SWO, the size data comprises reports from 17 CPCs representing over 50 flag-fleets notably the complex Brazilian-other CPCs fleets. Also, almost all size data is from the longline gear (98%) and very few observations from gillnet (**Figure 8**). Maps of the 5°x5° spatial annual distributions of size samples are presented in **Figure 9**. Overall, the spatial coverage of size sampling after 1980 is wide and sufficient for the Atlantic stocks.

Most of the data are reported as length measurements, mainly lower jaw fork length (LJFL), but also eye-fork length and opercula fork length. However, a significant component has also been reported in weight (headed wgt) categories. For analyses, only size measurements were included and all sizes were standardized to LJFL cm using the size conversion factors proposed in Section 2. Separate analyses are presented for the weight frequency data. Preliminary review of size data excludes sizes less than 30 cm and greater than 300 cm. **Figure 10** shows the overall size distribution of swordfish by stock. Overall, the size distribution of swordfish is similar between the north and south stocks, with the majority of the size samples between 75 and 250 cm and a mode around 150 cm. The size distribution by main gear is shown in **Figure 11**. Smaller swordfish were typically caught by gillnet, and for the north-SWO stock larger swordfish were caught by surface gears. However, in the north there is wide overlapping of size distribution among gears, except for the surface gear.

A Review of the size distribution by year (**Figure 12**) showed some trends, particularly in early years. For example, in 1974 there is a noticeable unusual occurrence of small size fish in the north stock which is unexplained. For the north and south stocks, the median size ranged from 150 to 175 cm for most of the time series. There were not clear differences in size distribution by quarter; only to note that in quarter 3 (Aug-Oct) there is a reduction of the smaller size fish distribution in the north-SWO (**Figure 13**).

The review of the weight size distribution data was restricted to the U.S. longline fishery (**Figure 14**). The weight distributions are mainly for the north stock (1978–2011), with few observations from the south (1998–2005). The heavier fish were recorded in the earlier years of the time series, followed by rather stable weight distribution with mean weights about 30–35 kg.

The Group noted an unexplained decline from 1981 to 1982 in the weight frequencies distributions reported by the U.S. The Group requested that National scientists to investigate this decline.

After a review of the size samples for 1974 (See **Figure 11**), the Group noted that the unusual pattern of swordfish between 80 and 100 cm corresponded to size samples from EU-Spain that were originally reported in EYFL measures that likely corresponded to samples from the Mediterranean gillnet fisheries. The Group recommended to exclude these size samples until clear information on the origin of these data is available.

6. Catch-at-size (CAS), Catch-at-age (CAA) and Weight-at-age (WAA)

6.1 Catch-at-size (CAS)

The Secretariat updated the entire catch-at-size (CAS) estimations (from 1978 to 2011) for both stocks taking into account the significant revisions of size frequencies reported by Japan for both stocks, EU-Portugal (SWO-S), Chinese Taipei (both stocks), Ghana (SWO-S), Canada (SWO-N), Morocco (SWO-N), Uruguay (SWO-S), and Venezuela (SWO-N). The revised weight/length relationships (see section 2) were used to adjust the CAS weight equivalent (of the number in the catch composition) catches to Task-I catches. The overall CAS matrices by year and 5 cm lower-jaw fork length are shown in **Tables 9** (SWO-N) and **10** (SWO-S). The mean weights series estimated from the CAS are presented in **Figures 15** (by stock) and **16** (by major flag).

The overall CAS estimations for both stocks utilise two types of size information reported to ICCAT. The first and most important one (in terms of overall weight) is the CAS estimated by National scientists using their own substitution rules. These reported CAS datasets are often adjusted to the Task-I equivalent catch. The second one is the size frequencies samples information. Those samples are directly raised to the equivalent Task-I catch. Whenever, for a given fishery period (fleet/gear/year/stock combination), there is not one of the two types of size data described above, a substitution rule is applied. **Figures 17** (SWO-N) and **18** (SWO-S) show, for the 2001 to 2011 period, the importance (in weight equivalent Task-I catch) of each type of size information reported (“CAS (adjusted)”: CAS reported with possible adjustments to Task-I; “T2SZ(raised)”: size frequency samples raised to Task I) as also as the ratio of the substitutions used by the Secretariat in the CAS estimations. The level of CAS reported is higher in SWO-N (73% on average) than it is in SWO-S (43% on average). The substitution ratio (whether using CAS or T2SZ) is also lower in SWO-N (19% on average, with large variations from 7% to 39%) than it is in SWO-S (25% on average, with large variations from 5% to 39%). These levels of substitutions are considered low, when compared to the majority other ICCAT managed species. But, they can be further improved if the missing size information (see SWO catalogues: **Tables 7** and **8**) is duly recovered and reported to ICCAT.

The Group noted that, the SWO-S catch-at-size has an unusual peak around the 95 cm class bin (1996 to 2007) which could require a future analysis.

SCRS/2013/111 described the catch-at-size of swordfish caught by the Moroccan pelagic longline fishery between 2003-2011. The analysis was based on port sampling data and it showed a decreasing trend of fish mean size until from the beginning of the time series to 2008, followed by a slight increase. Moreover, mean size tended to decrease throughout the year. The Group noted a sharp decrease in the mean size in the early years of the time series, which was attributed to low sampling coverage. It was suggested to gather the data by quarter (instead of month) and the use of box plots, to better display the variance and central tendency of the information.

6.2 Catch-at-age (CAA)

No catch at age estimations were obtained from CAS.

7. Tagging data

The Secretariat presented to the Group the SWO conventional tagging information, noting that no major updates were made since 2012 (only a few recoveries reported by Portuguese scientists). The summary of the tagging (releases/recoveries) is presented in **Table 11**. Maps of overall (all years combined) releases and recoveries density plots and apparent displacement (straight lines from release position to recovery position) are presented in **Figure 19**. The Group identified and discarded some erroneous Northern-to-Southern hemisphere movements

(reported by USA and Canada). The Group considers that the continuous revision process of the conventional tagging information be maintained (a SCRS recommendation) and suggested an increasing participation of National scientists in the revision process.

8. Available modeling approaches

8.1 Surplus Production Models (ASPIC)

Model assumptions:

Catchability is constant; therefore, any changes in catchability have to be modeled within the CPUE series. Recruitment and M are constant over time. There is an immediate response of the stock to F . All ages are fully selected. All fish in the population are mature.

Model Inputs: Catch and CPUE series.

Model outputs: Trajectories of F and B . Trajectories of relative F and B . Catchability q for each CPUE series. Confidence intervals. Carrying capacity K , B_1/K , r . Projections

Diagnostics: Sum of Squares. Residual plots of fits to CPUEs. Retrospective patterns.

Key parameters: B_1/K , r .

Uncertainties:

The Group discussed how uncertainty is handled within ASPIC. It was agreed that this assessment model does not allow for the inclusion of uncertainty of the model inputs (e.g., CV of the CPUE series). In prior assessments, uncertainty in the CPUE series were incorporated by making separate runs using the median and upper and lower 95% confidence intervals, bootstrapping the results, and combining the bootstrap outputs. New approaches to deal with uncertainties within ASPIC have been developed and will be presented to the Group in the near future.

The Group noted that other approaches to deal with uncertainty was by fixing some of the input parameters at different values and assessing the sensitivity of the model results to the different initial condition (e.g., fixing B_1/K at 0.3, 0.4, 0.5, and 0.6). Running the model using different production functions was also deemed as being a way to assess uncertainty.

Model strengths and weaknesses

Because of the limited data requirements, this model is easier to be supported by the Secretariat. ASPIC is easy to use and many national scientists are familiar with its use. It is considered to be useful for data limited situations. ASPIC is Fast to run and facilitates simulation testing. Because of the limited data requirements, it allows the use of longer time series where data from earlier periods are usually poor. It only estimates few parameters but these are typically the ones needed to provide management advice. ASPIC quickly produces diagnostics, bootstrap results, and projections. However, ASPIC does not necessarily reflect the true dynamics of the stock/fishery and it can't take into consideration any variability in recruitment or changes in catchability. The model can't accommodate changes in management regulations, like changes in minimum size, so this needs to be taken into account in the CPUE series. ASPIC often cannot resolve indexes of abundance with conflicting trends.

It was acknowledged by the Group that the surplus production model ASPIC has been used to assess SWO for the past 20 years. One of the reasons was the need for continuity in the assessment methodology after ICCAT implemented the SWO rebuilding plan in 1996 [Rec. 95-11]. The Group discussed the need to apply some caution when using this modeling approach. In particular when considering the assumption of constant catchability at different levels of biomass, and the possibility of hyperstability and hyperdepletion. However, it was pointed out that hyperstability is more related to purse seine fisheries and, therefore, less applicable to the Atlantic swordfish case. The Group also discussed what in the literature is known as a 'One way trip', in other words, when the data used for input in the model only includes the time period when increases in effort resulted in decreases in CPUE. The Group highlighted the simple data requirements that allow the use of relatively long time series of catch which is unusual in stock assessments conducted by the SCRS. The Group recognized the

problems that arise when the available CPUEs have conflicting trends. Although this problem can be alleviated by estimating a combined CPUE (as it was done in previous assessments with ASPIC), this approach can potentially create biased results. Thus, the Group engaged in an extensive discussion on the potential methods that can be used to estimate the combined index, and some of the potential benefits and shortcomings of this type of index. It was pointed out that since all indexes most probably do not have the same selectivity, a combined index could represent the entire stock and be more appropriate for a biomass model. It was acknowledged by the Group that many fleets have operated over a reduced area and fishing season, and that these changes can create problems when trying to estimate a combined index. In addition, the Group agreed that problems with CPUE series, like known changes in catchability over time, have to be dealt outside the model as the model does not have the flexibility to accommodate this type of problems. It was proposed that, as an exploratory tool, ASPIC can be run with a combined index and then one CPUE series at the time to learn what information is provided by each index and how influential to the final results each index is. With regard to weighting of the indexes of applying different weights to different years in the time series, it was pointed out that ASPIC does not allow for different weighting by year, but it does allow to apply different weights to different CPUE series.

The Group agreed that it would be important to use ASPIC in the upcoming assessment, particularly given the need to have a continuity case and, therefore, it recommended its use for both the North and South Atlantic SWO stocks.

8.2 Virtual Population Analysis (VPA)

Model assumptions:

The model assumes that the assessed stock corresponds to one closed population or two intermixing populations. Rapid mixing is assumed throughout the home range of each population or random fishing. The natural mortality rate on each age group is considered to be constant through time. The catch of each age group is assumed to be known with no error. The abundance, mortality, and tagging data, however imprecise, accurately represent the population. The growth curve is known exactly.

Inputs: Catch series, CPUE, Catch-at-age.

Model outputs:

Trajectories of F and B . Trajectories of relative F and B . Catchability q for each CPUE series. Confidence intervals. Projections, F_{MSY} and $F_{0.1}$ related benchmarks can be obtained using additional projection software.

Diagnostics: Plot of fit to indices. Retrospective patterns.

Uncertainties:

Estimated within model by bootstrapping.
Input CVs on the CPUE series.

Key Parameters:

F ratio (ratio of the fishing mortality rate on the oldest age to that of the next younger age) and blocks for estimating, terminal year F s (usually constrained assuming similar vulnerability in last n years). Any benchmarks (status considerations) require using a SRR or proxies.

Strength and weaknesses:

The VPA method has been commonly used by the SCRS for stock assessment purposes, simulation tested, it provides a variety of diagnostic. Fewer assumptions than Statistical Catch at Age (SCA) approaches, *arguably* fewer than biomass dynamics approaches. VPA can handle varying selectivity and, in general, projections can accommodate some of the management issues (size limits, etc). It can accommodate multiple CPUE indices with different selectivities (partial catches).

The method does not explicitly impose productivity estimates on the population like BSP or, as is often the case, SCA when steepness values are fixed or input with restrictive values. It can only estimate uncertainty within the

model through bootstrapping. Assumes CAA is known without error. Requires substantial support from the ICCAT to prepare the CAS and CAA matrices.

VPA has been used in previous SWO assessments (e.g., 2002, 2006, 2009), but not to provide management advice. The Group agreed that because of the high uncertainty of the SWO CAA, VPA is likely not to be the most suitable model to assess SWO. However, the Group also recognized that not using age-specific indexes might help to reduce this particular limitation of VPA. The Group noted that recent revisions to the CAS might affect the performance of VPA for this species. However, the Group did not evaluate this newly available CAS. In the particular case of SWO, other difficulties to apply VPA include that fish are aged only to 5 owing to the inability to reliably age older fish and the year round spawning observed in this species. Thus, VPA uses a 5+ age group that in turn masks much of the dynamics of the stock. This particular issue also results on the VPA being highly sensitive to the F ratios.

The Group deliberated about the need to continue using VPA given the model assumptions that require knowing CAA without error, that this model has not been used to formulate management advice in the past, and that it requires substantial resources from the ICCAT Secretariat. However, it was pointed out that VPA can still be used as an exploratory tool to better understand changes in selectivity or the influence of the different inputs.

After weighting all the strength and weaknesses of the VPA, the Group agreed not to recommend its use in the upcoming assessment.

8.3 Bayesian Surplus Production model 2 (BSP2)

Document SCRS/213/100 presented an update (BSP2) to ICCAT's Bayesian surplus production stock assessment software (BSP). BSP2 offers a new implementation that models process error in the dynamics equations and observation error in predicted states (i.e., a state-space model). The software can accommodate a variety of different priors for key parameters including carrying capacity (K), the maximum rate of population increase (r), and the ratio of stock biomass in the initial year to carrying capacity (B_{init}/K). The software enables Bayesian integration for computation of marginal posterior probability distributions for parameters and management variables and outputs for inclusion in Kobe plots. Bayes factors can be computed to evaluate the relative credibility of different production functions and different model runs (e.g., different priors and catch history scenarios) when different model variants are fitted to the same abundance index data. The software has been simulation tested and found to recover with reasonable accuracy (within plus or minus 20%) the underlying "true" parameters and "true" population states, even when data have been simulated with high imprecision and high process error in state dynamics.

Model assumptions:

A one year lag adequately characterizes the influence of annual stock biomass on future surplus production as in any production model including ASPIC. Abundance indices are related to stock biomass via a constant of proportionality whereby there is no hyperdepletion or hyperstability in the index. Surplus production can be described by either the Schaefer model or the Fletcher generalized production function.

Model inputs:

Catch series. CPUE. Priors for K, r, B_0/K , process error deviates. A fixed value for the prior standard deviation in process error deviates. A CV for each abundance index that is constant over time, and if judged appropriate an additive CV by year for each abundance index. A fixed value for the autocorrelation in process error deviates for years following the last year of data. Specification for the type of surplus production function (Schaefer, Fletcher-Schaefer) and the parameter value for the inflection point.

Model outputs:

Posterior distributions for estimated parameters (r, K, b_0/K , sigma (index)), stock biomass, MSY, annual F, F/F_{MSY} , B, B/B_{MSY} , replacement yield, ln(average weight).

Diagnostics

Plots of posterior median process error deviates by year, together with probability intervals by year. Plots of the fit of the posterior median stock biomass to abundance index data. Plots of post model pre-data distributions, priors, and posteriors. Graphical and numerical diagnostics for importance sampling, as importance sampling is running

Uncertainties:

Uncertainties in estimated parameters, model variables, show in posterior distributions, standard deviations, coefficients of variation, probability intervals. Bayes factors can be computed from the average importance ratio by run and can be used to weight output distributions from different runs to show the uncertainty in stock status and variables of interest resulting from uncertainty in model structure.

Key parameters: r , K , B_0/K , B_{MSY}/K .

Strength and weaknesses:

The model makes no assumptions about vulnerability at age. It uses available life history data to develop a prior distribution for r . BSP2 is highly flexible approach to fit data. Rigorous theoretically consistent methodology to account for uncertainties in data and uncertainty between model forms. State-space production models found to perform acceptably well in estimation of stock biomass and in management procedure evaluations for a recovering stock/ noisy data. Under certain configurations the model is difficult to simulation test. As with any Bayesian method, training is required to run the software proficiently. As with other surplus production models, it may be biologically inaccurate and therefore might not reflect the true dynamics of the stock.

The Group recognized that BSP2 is in essence a surplus production model and as such, it has all the restrictions and advantages of other production models like ASPIC. The Group discussed some of the advantages of using Bayesian modeling approaches, one of them being the capability of obtaining probability statements for outputs of interest in the form of ‘posteriors’. In addition, Bayesian estimation methods enable additional information and data to be brought to bear to form prior distributions for model parameters, and these priors can help to constrain the estimation to enable more useful and biologically accurate results to be obtained. The Group was provided with a presentation that showed that the BSP2 model provides good fits to data, runs fast, and is numerically reliable. The model uses a prior for r that incorporates key biological information. One important factor of BSP2 that the Group identified is that it allows evaluation of the influence of priors and catch inputs on the model outputs. In addition, BSP2 results more rigorously accounts for parameter and structural uncertainties in the evaluation of stock productivity.

The Group acknowledged that the BSP2 model showed a lot of flexibility and it inquired if the model used for the SWO example had the same formulation as the original BSP model or if considerable additional coding had been incorporated. The Group also inquired if the BSP2 model in its SWO formulation has been simulated tested. It was indicated to the Group that the updated state-space model version of BSP2 has been peer-reviewed in recent stock assessments in Canada and it has also been simulation test. BSP2 was found to perform satisfactorily in estimating stock biomass, stock status, and in achieving stock rebuilding from depleted and overfished conditions in situations when there was fairly high autocorrelated stochastic variation in stock biomass and the available abundance indices had quite high CVs, i.e., mostly larger than 0.3.

The Group was concerned about the lack of an updated manual for BSP2 and noted that national scientists are not familiar with its use yet. The Group recommended that a training course be made available for national scientists interested in this particular model approach. The Group agreed that the BSP2 model offers more flexibility and more options than ASPIC and it was recommended to run both models in parallel to compare model behavior and better understand its differences. The Group also asked how the prior for r was developed. Even though this particular prior has been used in the past, the Group recommended that the prior for r be updated using more recently developed methodology for this and recent updates in estimates of swordfish life history parameters.

The Group recommended the use of the BSP2 model in the upcoming assessment for both the North and South Atlantic SWO stocks, and to explore options to incorporate this model to the models already in use for the SCRS.

8.4 Stock Synthesis (SS)

Model assumptions:

The structure of Stock Synthesis (SS) allows for building of simple to complex models depending upon the data available. As a result, the SS modeling framework is designed to allow the user to control the majority of the assumptions that go into the model. SS assumes that the observational data is a random and unbiased sample of the fishery and/or survey it is intended to represent. The overall model contains subcomponents which simulate the population dynamics of the stock and fisheries, derive the expected values for the various observed data, and quantify the magnitude of difference between observed and expected data.

Model inputs:

Stock Synthesis provides a statistical framework for calibration of a population dynamics model using a diversity of fishery and survey data. SS is most flexible in its ability to utilize a wide diversity of age, size, and aggregate data from fisheries and surveys. It is designed to accommodate both age and size structure in the population and with multiple stock sub-areas. Selectivity can be cast as age specific only, size-specific in the observations only, or size-specific with the ability to capture the major effect of size-specific survivorship. While SS can accommodate a multitude of data types two are required, those being a catch time series and an index of abundance. Conversely, a model can be built that incorporates multiple areas, seasons, sexes, growth and growth morphs, as well as tagging data. Environmental data can also be used to modulate most any parameter within the model. Size and age structure, size-at-age, ageing error and bias, and sex ratio can also be incorporated.

Model outputs:

The SS model output is commensurate with the complexity of the model configuration and observational data. All estimated parameters are output with standard deviations. Derived quantities include typical management benchmarks such as MSY, Fmsy and Bmsy, and SPR. Typical matrices of numbers-at-age, growth, age-length keys are also provided.

Diagnostics

Diagnostics are routinely examined through either the graphical and numeric r4SS R package or the accompanying spreadsheet, also graphical as well as numeric. Diagnostics are generally a display of residuals of the fit to the observational data and derived quantities. Numerical output is also available in the form of the Hessian matrix, correlation matrix, and a parameter trace output. When run in the MCMC mode the posteriors are also output.

Uncertainty

Uncertainty can be captured in at least three ways: parameter standard deviation, the creation of bootstrap data files, or through MCMC techniques. The ADMB C++ software in which SS is written searches for the set of parameter values that maximize the goodness-of-fit, then calculates the variance of these parameters using inverse Hessian and MCMC methods. A management layer is also included in the model allowing uncertainty in estimated parameters to be propagated to the management quantities, thus facilitating a description of the risk of various possible management scenarios, including forecasts of possible annual catch limits.

Key parameters:

Key parameters of SS are dependent upon the model configuration created. However, since it is age-structured the rate of natural mortality is most critical. The steepness parameter is also critical as it dictates the rate of compensatory population growth.

Strength and weaknesses:

SS can utilize a great number of different types of data sources to build a custom model within a consistent framework. This is its greatest strength as it allows the user to build a model with flexibility equal to that of the data. Pre-processing of data is less than some other frameworks as it is fully integrated within the model structure. Similar to a BSPM, SS has full Bayesian capability. Unlike VPA, it can be run without a catch-age-matrix by using only lengths or without lengths entirely. Consequently, no age slicing needed. It allows for ways to explain changes in observations data that are due to changes in management or environment. Nearly all parameters can be made time varying in several ways. Forecasting is done within the integrated framework of

the model construction. Some of the limitations of SS include a limited number of proficient users within the SCRS. Furthermore, because of its ability to create very complex models it can be slow to run relative to ASPIC or VPA, but only if it is highly parameterized (i.e. run time depends on model complexity). The framework is capable of many options, so the user must stay aware of model parsimony.

The Group considered that the SS model was probably the most flexible of all models reviewed during the meeting. Perhaps the most useful feature of the SS framework is that it “brings the model to the data” rather than vice versa (i.e., it can be made as simple or complex as the data allows). SS can be configured to run from a simple surplus production model to a fully integrated model. Therefore, data inputs and output are dependent on the model configuration. This model might also allow SCRS to estimate and evaluate the robustness of Limit Reference Points. The Group discussed the need to improve the way that fleets are defined taking advantage of the flexibility of the model. For example, one approach could be to use size samples from the different fleets to grouped fleets that have similar selectivities. It was also discussed that the migration pattern of SWO might deem necessary to split a fleet from a given flag into two or more fleets (e.g., a fleet that fishes on the spawning grounds and also on the feeding grounds where large females are more abundant).

The Group agreed to recommend that SS be used as one of the models in the upcoming assessment for the North Atlantic stock and dependent on available resources for the Southern stock as well.

8.5 State-Space Model

Inputs: Same as VPA. CAA, indexes of abundance, maturity, growth parameters.

Outputs:

Same as VPA, estimates SRR, reference point, uncertainty without bootstrapping. Variance-covariance matrix. Probability distributions and SE of parameters of interest.

Key Parameters: SRR and F

Diagnostics:

Same as VPA, including residual plots. Uses same software package for diagnostics as ADAPT-VPA. Likelihoods, Hessian matrix, variance-covariance matrix. Statistical tests for parameters different from particular values

Strength and weaknesses:

This modeling approach runs quickly. The approach is flexible, and can incorporate of time varying selectivity. It can incorporate the observation error in the catch. Requires less adjustments than VPA, all calculations are integrated. It provides relative F and B with confidence informal. Requires knowledge of how selectivity varies over time. It requires CAA. However, it requires checking too many diagnostics to ensure that the model is properly working. The Group noted that the SCRS is not familiar with this model and does not have the experience to run it. It was not considered to be a simple approach.

The Group indicated that since the VPA was not chosen in part based on the need to use CAA as an input, this model should not be used either. The Group agreed that this particular model approach has potential, but that the SCRS needs time to fully evaluate it in the upcoming years. Therefore, the Group did not recommend the use of this state-space model in the upcoming assessment.

8.6 Discussion on diagnostics

The Group agreed that regardless of the modeling approach used in the upcoming assessment, diagnostics from the different models should include some common elements and be standardized to the extent possible. The Working Group on Stock Assessment Method (WGSAM) recommended that appropriate diagnostics be developed for all assessment models. While it was recognized that these may vary between assessment models, it was also recognized that even though different models and methods may have slightly different diagnostics many diagnostics will be common to all methods. The group discussed the types of diagnostic that are used for stock assessments and considered that they fell into five main categories: 1) exploratory data analyses, 2) fits to

data (e.g. residual plots), 3) likelihoods tests, 4) sensitivity tests, and 5) simulations such as retrospective/cross-validation analyses.

1) Exploratory data analysis

Data input for stock assessments are mainly fisheries dependent indices of catch per unit effort. Such indices may be affected by a variety of factors which the standardization procedures are intended to remove. However, calculation of indices such as that of Gulland (Ref.) could be informative to identify patterns in targeting (e.g., in the Pacific Ocean this approach was extremely useful in confirming targeting shifts towards and away from billfish in the first 25 years of the Japanese longline fishery).

Traditional methods of stock assessment often involve the inclusion of weighted averages of contradictory data, and this generally produces parameter estimates intermediate to those obtained from the data sets individually. Schnute (1993) demonstrated that, when model or data errors are considered, the most likely parameter values are not intermediary to conflicting values; instead, they occur at one of the apparent extremes. Therefore, a comparison of indices (e. g., by correlation analysis) may be useful in helping to developed hypotheses for deciding upon what stock assessment scenarios to run.

2) Fits to data

Inspection of residual plots (e.g. from indices used to calibrate stock trends, or from length composition data) are important in order to check model fits. SCRS/2013/36 presented a variety of methods for residual analysis that can be used within a variety of assessment frameworks (e.g. ASPIC, BSP, VPA, SS, Multifan-CL, SCRS/2013/56, 57, and 58). The intention was not to provide guidelines, but a simple summary of methods that can be used for a range of stock assessment models. The software is available as an R package.

3) Likelihoods tests

Likelihood ratios can be used to compare stock assessment scenarios or to weight multiple runs. Hobbs and Hilborn (2006) discussed ways of evaluating the weight of evidence for multiple hypotheses, multi-model inference, and use of prior information in ecology, such approaches could be used to weight multiple assessment scenarios within the Kobe framework. Also, likelihood profiling by data components (ISC/11/BILLWG-3/01, SCRS/2013/119) is a promising technique that allows assessing the impact of different data sets on key parameters (e.g. r , B_0).

4) Sensitivity tests

As part of a stock assessment it is common practice to run alternative scenarios as sensitivity tests. In CCSBT and WCPFC a grid is used to choose key parameters or options for which there may not be convincing information in data. For example, for two factors corresponding to i) there is no direct data on natural mortality to define its level or shape, but it is known that the parameter is vital both for stock assessment estimates and reference points, or ii) the quality of size data by fishery: what are the relative effective sample sizes. In such a case, there may be several potential levels for each factor and using a grid (i.e., levels of factor i times levels of factor ii) would allow for all main effects and interactions to be explored. However, if many factors are to be considered then this will result in a large number of trials. Therefore, it may be more appropriate to first specify a base case and then factors with levels that represent the main uncertainties. During stock assessment sessions, the main effects can be evaluated by varying one factor at a time. Hopefully, this will allow the stock assessment to bracket the main uncertainty and act as a simple screening experiment to determine the factors that have the greatest influence on the perception of stock dynamics. Based on the identification of the most important factors, a multi-level designed experiment can then be developed for the MSE that includes interactions between factors.

5) Simulations

Simulation techniques are very valuable tools, these include from retrospective analyses to cross-validation since when learning about a method it is convenient to predict outcomes that have already occurred (Gelman and Hill, 2007). It can also be used to see if key parameters such as the steepness of the stock-recruitment relationship can actually be estimated in fishery stock assessment models (Lee et al. 2012).

9. Relative abundance indices

9.1 Relative abundance indices – North

Nine documents describing catch per unit effort (CPUE) series for the north Atlantic were reviewed by the Group. The indices below were standardized using various analytical approaches.

The table developed by the Methods Working Group in 2012 to evaluate the presented CPUE series (Anon 2013) was completed for each CPUE series by the rapporteur and presented to the WG. The Group then revised and modified the values (**Table 12**). It was acknowledged that this work is rather subjective and that it is only an indication as to the nature of the CPUE series how it could be effectively used in the assessments.

Canadian indices of abundance for the north Atlantic swordfish stock were estimated with data from the Canadian pelagic longline fishery (SCRS/2013/059). Nominal and standardized age-aggregated catch time series were developed for round weight and number of swordfish caught per hook (1963 to 2012). Age and gender-specific nominal series of swordfish number per hook are provided for 1999 to 2012, showing a steady increase for ages 3+, though it was noted that age and sex ratios may be outdated. The standardization involved a mixed effects model with effects due to bait, hook type, quarter, shark and tuna caught, trip length and area. The standardized age-aggregated index continues to show an upward trend seen throughout the 2000s, reaching a new high in 2010.

The Group discussed the effects of changes in management structure throughout the history of the fishery and noted that a break in the series in 2002 may be necessary to properly account for the switch from a competitive to an individual transferable quota (ITQ) system; the author agreed to explore this further.

The Group also questioned the tendency for trends based on bait type to deviate rather than track each other and the authors agreed to investigate the source of the deviations.

The standardized CPUE for 1968-2011 from the Chinese Taipei distant-water longline fishery in the North Atlantic Ocean were presented in SCRS/2013/097. Information on operation type (the number of hooks per basket) was included in the models beginning in 1995, when available. Two alternative approaches (generalized linear models, GLMs, and generalized additive models, GAMs) were used to standardize the CPUE. The abundance indices derived from the two modeling approaches were very similar and fairly robust to the inclusion of gear configuration, but somewhat sensitive to the inclusion of target tuna species in the models as explanatory variables. The standardized CPUE of swordfish showed a continuous decreasing trend from 1968 through the late 1980s, but suddenly increased to a higher level during 1990-1997 and sharply dropped in the late 1990s, and then relatively stabilized from 1999 with two higher values in 2006 and 2011.

The evaluation of the Chinese Taipei working paper by the Group was hampered by the fact that the author was not present to answer questions or provide clarification on issues raised by the Group. For instance, there was some concern that the estimated indices may not have been based on a balanced prediction grid equivalent to the SAS lsmeans. If the indices were instead calculated as the yearly averages of the model predicted values for each observation, then it does not accurately standardize for factors in the model. It was also noted that a previous analysis of this data base (Hsu 2012, SCRS/2011/129) identified substantial changes in data collection, selection and levels of aggregations over time, such as changing from 5x5 degree aggregations to daily logbooks and with set type (surface or deep) of daily logbooks only being identified during the most recent period (after 2003). Hsu (2012), therefore developed separate indices for each of four periods to address these substantial differences, and it is unclear how these changes were addressed in the current analysis. Information on gear configuration, which might help account for changes in fishing strategy, was not available before 1995. Given the major changes in fishing strategy known to have taken place in this fishery and in the data, and considering the relatively small catch levels taken by this fleet in the north Atlantic, the Group did not recommend the use of this index for the stock assessment.

Catch and effort data from the Moroccan swordfish longline fleet operating in the north Atlantic Ocean during the period from 2004 to 2008 were analyzed using a GLM modeling approach that assumed a log-normal distribution error (SCRS/2013/099). The standardization considered only the effects of year and quarter. The relative biomass index of abundance exhibited modest fluctuations with a slightly increasing trend.

The inclusion of a year*quarter interaction as a fixed effect is a concern, as this can affect the estimation of the year effect (which is the proxy for the relative abundance). The Group recommended that the author explore modeling the interaction as a random effect. The Group noted that this index was not used for analyses during the 2009 stock assessment due to the brevity of the series, and decided that it now may be of sufficient length for inclusion. It was unclear whether or not the index represents a distinct spatial coverage, as there is limited

description of the fishing area (only that the fleet operates between the latitudes 20 and 26 N°), and there may be considerable effort by the Spanish longline fleet in the same area.

SCRS/2013/104 reported standardized CPUE for swordfish caught by the Portuguese pelagic longline fishery in the North Atlantic during the period 1997-2012. Generalized Linear Mixed Modeling (GLMM) procedures were used to standardize swordfish catch (biomass) and nominal effort (number of hooks) data. As in past analyses, main effects included: year, area, quarter, a nation-operation variable accounting for gear and operational differences thought to influence swordfish catchability, a target variable (categories based on the proportion of swordfish in the catch relative to the combined catches of swordfish and blue sharks) to account for trips where sharks were predominant in the catch or potentially also targeted, and interaction terms for year*area. There is a general increasing trend of both the nominal and standardized index over the time series. Sensitivity analyses were used to test using a constant of 1 instead of 10% mean (response variable become CPUE+1); using a different ratio categorization (10% percentiles instead of 25%), and removing the Year:GearType interaction that is only marginally significant. In general the estimated model parameters were very similar to the original final model.

The Group noted that the approach of adding a constant value to the catch to include unsuccessful trips (trips with no SWO catches) in the analysis that assumed a lognormal error distribution has proved problematic in the past(including issues related to peaked or skewed error distributions) and it has largely been abandoned in SCRS analyses in favor of approaches such as the delta-lognormal. The Group recommended that the authors consider such alternative approaches in the future.

There was also considerable discussion on the appropriateness of the inclusion of an explanatory variable (in this case, the targeting variable of proportion of swordfish relative to combined swordfish and blue sharks) that is derived from (or directly related to) the dependent variable. The concern is that the model will calculate that changes in catch rates are *caused* by changes in level of target category, when in fact changes in abundance may be reflected in catch levels that in turn change the target category, independent of any changes in fishing strategy. As a consequence, the standardization model would tend to adjust high catch rates down, and low catch rates up, masking underlying trends. The alternative point of view was expressed that, in the absence of detailed information on changes in fishing strategy (such as gear configuration and bait), the ratio of swordfish in the catch was the best way to discriminate between effort directed at different species. The Group was unable to reach consensus on this point, noting that various SCRS working groups have followed different practices, and it was recommended that the Methods Working Group take up the question of the appropriateness of using targeting variables that are directly linked to the dependent variable.

In the case of the Portuguese longline index, in response to the Group's concerns related to the use of a catch ratio (SWO/SWO+BSH), as a proxy to account for target species on the fishery, the authors ran a new sensitivity analysis including the removal of this explanatory variable. The analysis showed that the removal of the ratio variable did not produce substantial changes to the general trend of the index for the time series (**Figure 20**).

The standardized catch rates both in weight and number of swordfish for the Spanish surface longline fleet for 1986-2011 in the north Atlantic were reported in SCRS/2013/105. Factors such as area, quarter, gear, and bait were used as explanatory factors in the standardization, as well as a characterization of the fishing strategy/targeting calculated in the same manner as was done for the Portuguese longline indices (SWO/SWO+BSH). The standardized catch rates in number of fish by age (ages 1 to 5+) for the period 1983-2011 are reported in (SCRS/2013/107). The standardization model considered the same explanatory factors as for the index covering all ages.

The Group noted that the standardization procedure for the Spanish longline indices used the same approach to define targeting as was done for the Portuguese longline indices. There were no new sensitivity analyses presented to the Group examining the impact on results if the target variable were not considered. With respect to the age-specific indices presented, the Group noted that there appear to be some patterns consistent with the indices tracking cohorts (some peaks and/or valleys visible in indices for successive ages in successive years). The Group concluded that these age-specific indices may be considered for use in stock assessment models.

Age specific abundance indices and a total biomass index of swordfish caught by Japanese longliners in the north Atlantic were estimated for 1975 – 2012 (SCRS/2013/110). They indicated an apparent increase of the stock in recent years. Japanese longliners discarded/ released swordfish in 2000 – 2006 (live release only in the later period). However, when that information was included in the analysis of CPUE, implausibly large drops of

indices were observed. This would indicate that the information about discards and releases using in this study were insufficient to estimate population trends.

The Working Group agreed not to use the indices in 2000 – 2005 for stock analysis following the explanation of the authors that estimates for these years were flawed due to the problems with the discard/release data. The Group discussed the estimated steady decreasing trend during the 1990s, and it was noted that this could be due to insufficient standardization of gear effect, particularly for the tropical areas. In the tropical areas, Japanese longliners changed their gear configuration frequently in the 1990s due to the rapid improvement of gear materials. It was suggested that the indices in area 5 (temperate area of the northwest Atlantic) would not reflect such large influences as gear configuration has not changed greatly in that area; the use of area 5 indices may also permit starting the indices earlier; however, the full series for area 5 was not available for review during the meeting. The Group requests that the authors provide this full area 5 series, beginning with the earliest year possible. The Group noted that patterns in the age-specific indices generally appeared simultaneously across all ages, and suggested that this may be the result of influential factors not accounted for in the standardization (the effects of which overwhelm any cohort abundance trends) and/or major difficulties in the assigned of ages to the catches used for the indices. Therefore it was recommended that these age-specific indices not be used.

Two papers presented treatments of data from the U.S. Pelagic longline fishery. The first paper (SCRS/2013/114) analyses data from the Pelagic Observer Program and provided indices in weight and numbers of fish for 1987-2003 and 2004-2011 with a break to account for a fleet-wide change in gear configuration in response to regulatory requirements for the use of circle hooks beginning August 2004. This index uses only swordfish targeted trips as determined by the observer program, based upon detailed gear configuration independent of catch composition. For this index, the proportion of positive sets was greater than 0.95 for all years, therefore zero trips were excluded and only a lognormal GLM was used with significant factors of year, region, season, bait type, and lightsticks for CPUE in number and year, bait type, and lightsticks for CPUE in weight.

The Group noted that younger fish constituted a component of the catches tracked by this U.S. longline index. This may to some extent explain the variability in the estimated trends. The Group expressed the potential importance of this index with respect to the prevalence of younger fish over time. However, it was noted that careful consideration should be given to the size distribution tracked by the index when considering how to incorporate it in the models. It was noted during the data preparatory meeting that, due to the sub-setting of the data to SWO targeted trips, the length composition that applies to this index should be for the SWO-targeted trips only. The authors suggested that it may be possible to join the indices if an estimate of the circle hook effect can be calculated and applied. There are some data indicating that circle hooks reduce catch rates of swordfish when squid bait is used, but increase catch rates with mackerel bait (Foster et al 2012). The authors will attempt to make this correction in advance of the assessment meeting.

The second paper (SCRS/2013/116) uses data from the Dealer Landings System which is available for a longer time period (1982-2011) but suffers from an imprecise accounting for the effects of differential targeting on SWO CPUE. A strict update of the index used in the 2009 stock assessment used a categorical variable derived from the fraction of SWO to the total catch to index targeting and was presented to the group. It showed some very high values in the early time series during a period of incomplete reporting of catch and effort and a relatively constant level for much of the time period with some signs of increase in the most recent years. A second index was proposed that was started in 1986 due to the incomplete reporting pre 1986 and which uses the catch rates of YFT, BFT and BET as a categorical variable to determine targeting. This index showed more similarity to the high nominal CPUE in the early part of the time series but divergence above the low nominal values in the most recent years.

The authors were not confident that either method of accounting for targeting was appropriate, and suggested that further analyses or simulation modeling was necessary. The authors instead recommended the use of the observer-based indices for the assessment models, and the Group agreed.

The indices considered suitable for use in the assessment models are summarized in **Table 13**. The indices are illustrated in **Figures 21** and **22**. To facilitate visual comparison of the annual trends, the indices were scaled to the mean of the overlapping years.

9.2 Relative abundance indices – South

Six documents presenting standardized CPUE indices were provided to the Group. The indices were standardized using various analytical approaches. As was the case for the review of the North Atlantic indices, a table developed by the Methods Working Group in 2012 to evaluate the presented CPUE series (Anon 2013) was completed for each CPUE series by the rapporteur and presented to the Group-. The Group then revised and modified the scores (**Table 12**).

Document SCRS/2013/098 presented a standardized CPUE index for the Brazilian tuna fleet in the Southwestern Atlantic Ocean using catch and fishing effort data from 1978 to 2012 that contained information from 88,423 sets. The CPUE series (fish/1000 hooks) was standardized using a Generalized Linear Mixed Models (GLMM) with a Delta Lognormal approach. The factors used in the model were: quarter, year, area, and fishing strategy. The standardized CPUE series showed a significant oscillation over time with a general increasing trend from the end of the 80s to 2007, then a sharp decrease from that year onward possibly due to the removal of over 50% of the Japanese chartered fleet.

The Group acknowledged that using the fleet strategy was an improvement compared the last CPUE index that used a target strategy only (SCRS/2009/119) and would overestimate the CPUE although this method would require some validation for a definitive approval. The interannual variability was reduced in the new index but still remained. This pattern might reflect for the very heterogeneous fleet composition of Brazil instead of the true trend of biomass.

Document SCRS/2013/109 updated the CPUE of the South Atlantic swordfish caught by Japanese longliners for the period 1990-2012 using a similar GLM method to the previous 2009 analysis except for the new area stratification method: GLM-tree. The standardized CPUE sharply decreased in the early 1990s, reaching to a historically low level in the early 2000s, and increasing thereafter.

The Group discussed the bimodal patterns of the CPUE residual which, according to the authors, might be caused by the unexplained effects of the target shift and bycatch. It was also highlighted that the discarded catch was not included in the analysis, but that was not of a concern as the discard levels were minors. The Group noted that drastic changes in gear configurations and fishing zones occurred during the analyzed period were not fully standardized by the model used in this study. The Japanese scientists suggested that the time series should be broken in two separate series 1975-1989 and 1990-2012, since there have been clear operational changes.

The Japanese CPUE presented started at very high level and decreased rapidly. The Japanese scientists informed the Group that the first two years in the time series may not be well represented and should be removed from the series. Additionally, the Group was informed that another time series starting from 1975 was available in the previous stock assessment and should be included. The Group requested that the CPUE time series be re-analyzed in the light of this decision.

Document SCRS/2013/098 contained information on the catch rates of the Chinese Taipei fleet for South Atlantic swordfish. The paper was presented the WG chair of the Group as the authors did not attend this meeting. The CPUE time series was standardized by applying two alternative methods GLM and GAM on two datasets covering the period 1968-2011 (Task II) and 1995-2011 (log-books that included gear configuration information). The factors significant were time, space, gear configuration (i.e. Hooks-per-baskets), interaction terms, and the impact of target species.

As was the case for the North Atlantic analyses presented for the Chinese Taipei fleet, there was a concern noted by the group that the predictions based on the standardizations may not have been made on a balanced prediction grid equivalent to the SAS LSmeans. If the indices were calculated as the average of the predicted values for each observation, then it does not accurately standardize for the effect of the changing fishing areas of the fleet, hence a potential reason why the standardized index shows clear correlation with the four stanzas of spatial fishing effort. The group also noted that during the 2012 ALN data preparatory meeting the CPUE time series of the Chinese Taipei fleet was split into 3 time periods (50-86, 87-96, and 97-2011). It is not clear why the periods considered in the present study were different. Due to the inconsistencies between the previous assessments and now, the group decided not to include this series in the stock assessment models. Given these concerns the group decided to include the Chinese Taipei indices as a sensitivity analysis.

Document SCRS/2013/101 presented an update of the standardized catch rate of swordfish caught by the Uruguayan longline fleet in the Southwestern Atlantic Ocean between 1982 and 2012. As it was suggested and used during the previous swordfish stock assessment, the CPUE series was split into two periods due to a change in the target species in 1992. The standardized index for the first period showed a decrease in the CPUE in the

first four years and then an increase with a relative stabilized tendency up to 1992. For the second period a marked decrease in the CPUE index was observed from 1993 to 2012.

The Group found that the time series might have been affected by the changes in fleet dynamics that occurred after 2010 due to labor conflicts and changes in market demands that resulted in a sharp reduction in the fishing effort. After further discussion, the Group agreed not to include the years 2010 to 2011 and requested the authors to estimate a new CPUE series without those 2 years. The group was concerned that the Uruguayan CPUE trend was in conflict with the other CPUEs from the South Atlantic. The Group suggested that the authors explore the evolution of the ratio SWO/total catch as a way to account for changes in targeting, and to produce the LS mean by area to further explore the area effect in the model.

Documents SCRS/2013/106 and SCRS/2013/108 provided standardized Spanish longline fleet catch rates in number and weight for the directed South Atlantic swordfish using GLM from for a 23 years period (1989-2011). SCRS/2013/106 reports standardized catch rates both in weight and number of fish for the Spanish surface longline fleet in the South Atlantic. The standardized series showed a flat trend for the period. SCRS/2013/108 reports standardized catch rates in number of fish by age from the Spanish surface longline fleet in the South Atlantic. For ages ranging from 1 to 5+, assuming the Gompertz's sex-combined growth model of the North Atlantic swordfish for ageing size data per trip. Standardized series showed fairly stable trends over time. The group decided to include this series in to stock assessment modelling process.

The indices are illustrated in **Figure 23**. To facilitate visual comparison of the annual trends, the indices were scaled to the mean of the overlapping years. After the inspection of the different time series some issues were raised by the group:

10. Limit Reference Points – identification and evaluation

The Group reviewed the work being conducted in other tRFMOs on developing limit reference points (LRPs). IOTC is starting to evaluate reference points using MSE with feedback; initially they have defined interim reference points which will then be evaluated (and modified as appropriate) using MSE. WCPFC have also evaluated reference points using MSE (based on Multifan-CL without feedback) and have recommended the use of a three tier approach i.e.

- FMSY and BMSY, but only when there are reliable and precise estimates of steepness
- FSPR and 20%SSB0 when steepness is uncertain, but M, maturity, selectivity are well known
- 20%SSB0 (and no F based reference point) when key fishery and biological variables are uncertain

In the case of CCSBT, MSE has been used to develop a full Management Procedure rather than reference points alone. Where a management procedure is the combination of pre-defined data, together with an algorithm (which may combine a stock assessment, estimation of reference points and a harvest control rule) to which such data are input to provide a value for a TAC or effort control measure.

IATTC is not using MSE and is considering using the IOTC interim approach to define limit reference points based on percentages of BMSY and FMSY.

In ICCAT, MSE is being used to develop a LRP for North Atlantic Albacore (SCRS/2013/33, 34, 35) and under the GBYP an MSE is being considered to develop a management framework for Bluefin Tuna.

For SWO, it is proposed to first define an interim reference point as a multiple B_0 , e.g. 20% of B_0 as proposed by WCPFC or B_0 times M (Kell et al., 2012) and then to evaluate it using MSE (e.g. SCRS2011/195). This requires a full consideration of sources of uncertainty that affect perception of stock status. One way to do this will be to use a grid (e.g. CCSBT and SPC) where factors correspond to sources of uncertainty and levels reflect the alternative hypotheses.

A potential problem is if scenarios considered within the stock assessment and the MSE differ, i.e. a reference point that appears to be robust based on a stock assessment alone, may later be shown to have undesirable properties.

Therefore, it is proposed to specify a base case and then factors with levels that represent the main uncertainties. In the stock assessment WG, the main effects can be evaluated by varying one factor at a time. Hopefully this will allow the stock assessment to bracket the main uncertainties and act as a simple screening experiment, i.e. to determine the factors have the greatest influence on the perception of stock dynamics. Based on the identification of the most important factors, a multi-level designed experiment can then be developed for the MSE that includes interactions between factors. This approach will be considered by the Albacore WG this year.

11. Recommendations

Participation in the Data Preparatory/Methods Meeting. Of the CPCs that fish North and South Atlantic swordfish, relatively few sent participants to the meeting. In consequence, the Working Group did not have the full advantage of the experience and insight of the experts that could have attended. The Commission needs to reaffirm its obligation and commitment (Rec 11-17) to support the SCRS in this regard, to ensure the best possible scientific products.

Timely submission of Task 1 and 2 data. Considering that a substantial amount of data, (including revisions of many years of historic size information) was received after the deadline and taking into account the time that the Secretariat needs to incorporate, validate and compile to generate the datasets requested, the Group strongly reiterates the need for respecting deadlines and providing the data in the ICCAT standard formats. This recommendation is particularly important as the SCRS moves to incorporate more complex methods than those normally used and for which the request of data is much higher.

Weight-length relationships. The Group recognized that the newly-adopted length-weight relationships for swordfish require validation with new field information. National scientists are requested to collect and submit observed values of length (LJFL) and round weight data to the Secretariat to facilitate this task.

Uncertainty and Limit Reference Points. Development of LRP's require a consideration of uncertainty including that due to the data used in stock assessment models, e.g. in the CPUE series, size measurements and raising procedures involved in creating catch-at-size and catch-at-age for the stock. For example in VPA the CAS and the CAA derived from them are assumed to be known without error. In statistical catch-at-size and catch-at-age models such as SS, SAM, ISCAM and Multifan-CL error in these data is implicit. In contrast, biomass-based methods such as ASPIC and BSP do not require CAS or CAA data. It is recommended that the data requirements for the different methods used in by the WG are evaluated by simulation, i.e. how uncertainty is related to the risk of exceeding limit reference points or not achieving MSY.

BSP2. The Group expressed considerable interest in using the BSP2 modeling approach. However, it was recognized that having an expert available to help guide the work would greatly facilitate progress. Therefore, it is recommended that the Secretariat retain an expert to assist the Group with its modeling work using BSP2.

Targetting Considering that targeting is an important component influencing stock status evaluations, and that accepted practices for identifying targeting have varied within the SCRS, the Methods Working Group should evaluate the appropriateness of incorporating explanatory factors in CPUE standardization models that are derived using the dependent variable (e.g. the proportion of SWO in the catch to identify SWO targeted trips). As recommended by the Methods Working Group in 2009, such an evaluation should be conducted using simulated data.

Sharing of Interim Results To help develop preliminary stock assessment runs between the Data Preparatory and Stock Assessment meetings, it is recommended that electronic tools for inter sessional collaboration are used. This will enable members of the WG to compare different assessment model runs and formulations in advance of the stock assessment. A variety of tools are available, e.g. SharePoint and version control systems such as Git or SVN and the cloud computing cluster set up by the Secretariat, the use of these is encouraged. National scientists who are leading the development of the three main modeling approaches should keep members of the group aware of work being conducted.

12. Other matters

In preparation for September 2013 stock assessment meeting, the Group considered that the following tasks should be completed:

BSP2

BSP2 model runs can be carried out for the 2013 stock assessment of the North and South Atlantic stocks of swordfish. To facilitate having these runs carried out it is proposed that the following actions be taken out prior to the 2013 stock assessment meeting in September 2013.

1) It was recommended at the data preparation meeting that the prior for the maximum rate of increase (r) for Atlantic swordfish be reformulated using the more recent peer reviewed information.

1. the means and CVs for the von Bertalanffy growth parameters, K , L_{inf} , t_0 ,
2. the means and CVs for the rate of natural mortality (M) at age for recruited animals (e.g., either a constant value M for recruited animals or a Lorenzen schedule for M at age),
3. the mean and CV for the length-weight conversion parameters (a, b) (together with units),
4. the means and CVs for parameters for the fraction mature at age (e.g., for the logistic function),
5. a prior mean and CV for the Beverton-Holt steepness parameter that could be applicable for North and South Atlantic swordfish.

These values should be used in a consistent fashion among the three modeling approach. For this reason, it is requested that estimates of these parameters be provided for the North and South Atlantic stocks as soon as possible to the participating scientists so that they can run their software to compute an updated prior for r , which should be prepared as a separate paper. If only point estimates are available for these parameters, it is proposed that default uncertainty CVs for them be considered. These would be CVs of 10% for the growth parameters, 20% for the age at maturity parameters, 10% for the length-weight parameters, 25% for the natural mortality rates, and 20% for steepness. It is recommended that the same values for the above list be used by the three modeling approaches adopted by the working group. The modelers should communicate among themselves to ensure a consistent approach (see **Table 1**).

2) It is recommended that estimates of total catch biomass for both stocks be compiled going back to the year 1950, if possible for both the North and South Atlantic stocks. The catch biomass series (if different to these ones) that are to be applied in the ASPIC runs are also requested to be provided for the BSP2 runs. A secretariat staff person is recommended to assist in providing the compiled catch biomass time series.

3) It is recommended that the standardized catch per unit effort (cpue) abundance indices that are approved for application for stock assessments of the North and South Atlantic swordfish stocks be provided separately by each index time series with the GLM standard error or CV (please indicate which) provided by year for each abundance index estimate in each standardized cpue time series. It is recommended also that where it is agreed that a standardized time series is to be broken due to for example a major change in management (e.g., implementation of ITQ) or a change in gear (e.g., going from J to circle hooks) that these years where the breaks occur are noted for each time series so that they may be implemented in the BSP2 model. It is also recommended that the Atlantic swordfish working group's assessment of the relative reliability of the abundance index as an index for tracking the trends in abundance of the stock also be provided with each abundance index time series. It is recommended that the abundance index time series that is to be inputted into ASPIC also be provided for input for a BSP2 model run that will serve as a comparison run with ASPIC.

Stock Synthesis

A presentation was given to the group that proposed the basic configuration of the SS model. It included a proposed configuration for the fleet structure, pairing of the fishery fleets to the available CPUE time series, and how the selectivities of the proposed fleets would be shared amongst the fleets. The proposed configuration was a one area, one season, eight fleets (seven longline and one "surface other"). Unless future exploration of the data and model suggest otherwise, it is the recommendation of the Group that this be the level of aggregation for the initial model. It is recommended that the Secretariat make the inputs at this level of aggregation available to the modelers.

Such data will include a field for a quarterly time step in the event that time allows for the exploration of such a model configuration. At this stage it seems likely that the data request will include the following fields for landings and discards: species, stock, flag name, SS_fleet, season, gear, retained/discarded/both designation, north/south of the Tropic of Cancer designation. The request for size data will likely include the following fields: species, stock, year, season, SS_fleet, gender, retained/discarded/both designation, and length bin in 5 cm increments, north/south of the Tropic of Cancer designation. If sample of mean weight are available from any of the fleets this will be requested as well. If direct observations of size-at-age from any of the areas are available

these could be very helpful. There are several swordfish scientists with particular biological expertise that may be able to provide assistance with this.

The presentation also provided some preliminary evidence for the hypothesis that the increase Canadian CPUE and a decrease in the southernmost CPUE (as presented by the US) may be due to a pole ward shift and/or expansion of the stock. The SS practitioner would like some guidance as to whether the group wishes to pursue this hypothesis via the SS model. If so, then the SS practitioner would perhaps request to collaborate with the Canadian delegate providing the CPUE data on obtaining the appropriate environmental data at the correct spatial scale to support the hypothesis testing. This might likely be area specific SST data either from buoys or satellites.

It would very beneficial to have a time table of management regulations for each of the fleets. Perhaps the representatives from the fleets or the ICCAT secretariat could help provide these.

ASPIC

The Group recommended updating the biomass combined index to run the continuity scenario from 2009 stock assessment. The Chair will coordinate with the scientific group and reiterate the conditions, and methodologies for use of the data provided by the CPCs. It is requested that the index will be available prior to the stock assessment meeting.

The production model runs should compare the results using the software available for the 2009 stock assessment (ASPIC), with an update software version recently developed (R-version ASPIC, Ref L Kell). The Group also recommends evaluating the selection of the shape parameter of the surplus production function by doing sensitivity analyses with different alternatives. Data catch input data for the SPM models will be the same as for the other assessment models, as well the general biological parameters required as input.

13. Adoption of the report and closure

The Group thanked Dr. Neilson for the excellent work done in preparing and during the meeting. The Group also recognized the work of the Secretariat. The report was revised and adopted and the meeting adjourned.

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Table 1 Summary of the current length-weight, weight-weight and age-at-length relationships for Atlantic swordfish.

| Current Size-Weight relationship Weight = alpha * Size ^{beta} | | | | | | |
|--|----------|---|--------------------|-------------|-----------------|--------------------------|
| Stock | alpha | beta | Weight (kg) | Size (cm) | Size Range (cm) | Reference |
| NW-ATL | 4.59E-06 | 3.137 | Dress | LJFL | | Turner 1987 |
| CN-ATL | 4.20E-06 | 3.2133 | Round | LJFL | 80 - 253 | Mejuto et al. 1988 |
| NE-ATL | 3.43E-06 | 3.2623 | Round | LJFL | 93 - 251 | Mejuto et al. 1988 |
| SW-ATL | 1.24E-05 | 3.04 | Gutted | EYFL | | Amorin et al. 1979 |
| SE-ATL | 4.35E-06 | 3.188 | Gutted | LJFL | 89 - 266 | Mejuto et al. 1988 |
| S-ATL | 5.17E-06 | 3.16 | Gutted | LJFL | | Rey Gonzales-Garces 1978 |
| SW-ATL | 8.00E-07 | 3.4966 | Gutted | LJFL | 75 - 255 | Hazin et al. 2001 |
| Current Weight to Weight relationships Weight_pred = alpha*Weight_inp | | | | | | |
| Stock | alpha | beta | function | Weight_pred | Weight_inp | Reference |
| NW-ATL | 1.33 | | | Round | Dress | Turner 1987 |
| CE-ATL | 1.3158 | | | Round | Dress | Mejuto et al. 1988 |
| SW-ATL | 0.8009 | 1.015 | ln(GWT/alpha)/beta | Round | Gutted | Amorin et al. 1979 |
| SE-ATL | 1.14 | | | Round | Gutted | Mejuto et al. 1988 |
| Med | 1.12 | | | Round | Gutted | Anon 2004 |
| N-ATL | 0.75 | 1.04 | ln(GWT/alpha)/beta | Round | Gutted | Rey Gonzales-Garces 1978 |
| Current Size to Size relationships Size_pred = alpha * Size_inp | | | | | | |
| Stock | alpha | beta | function | Size_pred | Size_inp | Reference |
| N-ATL | 7.821534 | 1.089696 | alpha+beta*Szinp | LJFL | EFL | Rey Gonzales-Garces 1978 |
| N-ATL | 10.30726 | 1.255833 | alpha+beta*Szinp | LJFL | OPFL | Rey Gonzales-Garces 1979 |
| Current Age at length | | | | | | |
| Gender | Stock | Relationship | | | | Reference |
| Male | N ATL | $L_t = \left[300^{3.921} - (300^{3.921} - 0.0001^{3.2678}) e^{-0.00465(3.921)t} \right]^{1/3.921}$ | | | | Arocha et al. (2003) |
| Female | N ATL | $L_t = \left[375.49^{2.976} - (375.49^{2.976} - 0.0001^{2.976}) e^{-0.00734(2.976)t} \right]^{1/2.976}$ | | | | Arocha et al. (2003) |
| Combined | N ATL | $L_t = \left[464.54^{3.2678} - (464.54^{3.2678} - 0.0001^{3.2678}) e^{-0.023(3.2678)t} \right]^{1/3.2678}$ | | | | Arocha et al. (2003) |
| | S ATL | $W_t = 305.56 \times \exp[-4.6335 \times \exp(-0.3058 t)]$ $L_t = 44.2237 \times W_t^{0.29257}$ | | | | Anon. (1989) |

| | | | |
|--------------------------------------|----------------|--|---------------------------------|
| | N-S ATL | $W_t = 305.56 \times \exp[-4.6335 \times \exp(-0.3058 t)]$ $L_t = 44.2237 \times W_t^{0.29257}$ | Anon. (1989) |
| Current Biological Parameters | | | |
| | Stock | Relationship | Reference |
| Maturity | N ATL | 50% of females are mature at 179 cm (5 yrs) | Arocha et al. (1996) |
| | N ATL | 50% of females are mature at 156 cm | Mejuto and Garcia-Cortes (2007) |
| | S ATL | 50% of the females are mature at 156 cm | Hazin et al. (2001) |
| Natural Mortality | N ATL S ATL | 0.2 for all ages | |
| Fecundity | N ATL | 3.9 x 10 ⁶ eggs per female | Arocha et al. (1996) |

Table 2 Atlantic Swordfish conversion factors proposed by the Secretariat (2013).

| Weight-size relationship RWT(kg) alpha * Size(LJFL cm) ^{beta} | | | | | | |
|---|-------------|-------------------|------------------|---------------------------------|--------------------------|--|
| Stock | alpha | beta | Weight (kg) | Size | Size Range (cm) | Reference |
| N-ATL | 4.45373E-06 | 3.203784011 | Round | LJFL | 80-253 | |
| S-ATL | 2.46E-06 | 3.313974115 | Round | LJFL | 89-266 | Mejuto et al. 1988 & Hazin et al. 2001 |
| | | | | | | |
| Size to size conversion factors alpha+beta*Size_inp | | | | | | |
| Stock | alpha | beta | size pred (cm) | size inp (cm) | Reference | |
| ATL | 7.821534 | 1.089696 | LJFL | EFL | Rey Gonzales-Garces 1978 | |
| ATL | 10.307257 | 1.255833 | LJFL | OPFL | Rey Gonzales-Garces 1979 | |
| | | | | | | |
| Weight to Weight conversion factors Weight_pred = alpha* Weight_inp | | | | | | |
| Stock | alpha | Weight pred (kgs) | Weight inp (kgs) | Reference | | |
| N-ATL | 1.324565 | Round | Dress | Turner 1987 & Mejuto et al 1988 | | |
| S-ATL | 1.14 | Round | Gutted | Mejuto et al 1988 | | |

Table 4 Task-I catch series of EU-Portugal (mainland fleet) reclassification of “SURF” unclassified into “LL-surf”, from 1994 to 2011. The entire LLHB catches series (SWO-N and SWO-S) was similarly reclassified into “LL-surf”.

| Year | Stock | Area | old series | | | | new |
|----------|-------|------|------------|---------|------|------|---------|
| | | | LLHB | LL-surf | SURF | UNCL | LL-surf |
| 1994 ATN | NE | | 960 | | | | 966 |
| 1995 ATN | NE | | 1115 | | | | 1115 |
| 1996 ATN | NE | | 998 | | | | 998 |
| 1997 ATN | NE | | 467 | | | | 467 |
| 1998 ATN | NE | | 229 | | | 161 | 390 |
| 1999 ATN | NE | | 286 | | | 217 | 503 |
| 2000 ATN | NE | | 250 | | | 194 | 444 |
| 2001 ATN | NE | | 158 | | | 252 | 410 |
| 2002 ATN | NE | | 127 | | | 134 | 262 |
| 2003 ATN | NE | | 315 | | | 335 | 650 |
| 2004 ATN | NE | | 672 | | | | 672 |
| 2006 ATN | NE | | 303 | | 190 | | 493 |
| 2007 ATN | NE | | 3 | | 211 | | 214 |
| 2008 ATN | NE | | 387 | | | 6 | 393 |
| 2009 ATN | NE | | 218 | | | 293 | 511 |
| 2010 ATN | NE | | 522 | | | 0 | 522 |
| 2011 ATN | NE | | 555 | | | 303 | 858 |

Table 5 Swordfish live discards reported in Task I (quantities not included in the Task-I nominal catches).

| Species | YearC | Status | Flag | Fleet | Stock | Area | GearCode | DataType | Qty(t) |
|---------|-------|--------|------------|-----------------|-------|------|----------|----------|--------|
| SWO | 2000 | CP | Japan | JPN | ATN | NORT | LLHB | DL | 331 |
| SWO | 2001 | CP | Japan | JPN | ATN | NORT | LLHB | DL | 329 |
| SWO | 2002 | CP | Japan | JPN | ATN | NORT | LLHB | DL | 224 |
| SWO | 2003 | CP | Japan | JPN | ATN | NORT | LLHB | DL | 133 |
| SWO | 2004 | CP | Japan | JPN | ATN | NORT | LLHB | DL | 339 |
| SWO | 2005 | CP | Japan | JPN | ATN | NORT | LLHB | DL | 123 |
| SWO | 2006 | CP | Mexico | MEX | ATN | GOFM | LL | DL | 0.653 |
| SWO | 2007 | CP | Brasil | BRA-BRA-SANTOS | ATS | SW | LL | DL | 45.578 |
| SWO | 2007 | CP | Brasil | BRA-ESP-CABDELO | ATS | SW | LL | DL | 1.383 |
| SWO | 2007 | CP | Brasil | BRA-ESP-NATAL | ATS | SW | LL | DL | 2.874 |
| SWO | 2007 | CP | Brasil | BRA-GBR-NATAL | ATS | SW | LL | DL | 0.125 |
| SWO | 2007 | CP | Brasil | BRA-MAR-NATAL | ATS | SW | LL | DL | 4.453 |
| SWO | 2007 | CP | Mexico | MEX | ATN | GOFM | LL | DL | 0.344 |
| SWO | 2008 | CP | Brasil | BRA-BRA-NATAL | ATS | SW | LL | DL | 0.52 |
| SWO | 2008 | CP | Brasil | BRA-ESP-CABDELO | ATS | SW | LL | DL | 0.18 |
| SWO | 2008 | CP | Brasil | BRA-ESP-NATAL | ATS | SW | LL | DL | 0.3 |
| SWO | 2008 | CP | Brasil | BRA-HND-NATAL | ATS | SW | LL | DL | 0.33 |
| SWO | 2008 | CP | Brasil | BRA-MAR-NATAL | ATS | SW | LL | DL | 1.17 |
| SWO | 2008 | CP | Mexico | MEX | ATN | GOFM | LL | DL | 0.46 |
| SWO | 2009 | CP | Mexico | MEX | ATN | GOFM | LL | DL | 0.339 |
| SWO | 2010 | CP | Korea Rep. | KOR | ATS | SOUT | LL | DL | 10.019 |
| SWO | 2010 | CP | Mexico | MEX | ATN | GOFM | LL | DL | 0.542 |
| SWO | 2011 | CP | Mexico | MEX | ATN | GOFM | LL | DL | 0.323 |
| SWO | 2011 | CP | UK.Bermuda | UK.BMU | ATN | NW | LLSWO | DL | 0.12 |

Table 6 Details of (new and updated) Task-II size data received by the ICCAT Secretariat after May 25 2013 for the Swordfish data preparatory meeting. Table details the type and source of data incorporated (values represent the number of records modified, a total of 219,195).

| | | | | | | | | | | | | | | | | | | | | | |
|-------------|------------|------|----------------|-------|-------------|--------|-------|---------|-------------------|--------------|------|-------|----|-------|--------|-----|--------|----|----|----|----|
| SpeciesCode | SWO | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | |
| Sum of recs | SizeInFold | Flag | GearGrpCode | | | | | | | | | | | | | | | | | | |
| | siz | | | | | | | | | | CAS | | | | | | | | | | |
| | Canada | | Chinese Taipei | | EU.Portugal | Japan | Maroc | Uruguay | Venezuela | Canada | | Japan | | Maroc | U.S.A. | | | | | | |
| DateRef | HP | LL | LL | LL | LL | LL | LL | GN | LL | HP | LL | RR | TW | LL | LL | HL | HP | LL | TP | TW | UN |
| 2013-05-27 | 331 2045 | | | | | | | | | 333 2306 2 6 | | | | | | | | | | | |
| 2013-05-29 | 562 3014 | | | | 130924 | | | | | 35133 | | | | | | | | | | | |
| 2013-05-30 | | | | | | | | 3409 | 689 6 5592 4 90 7 | | | | | | | | | | | | |
| 2013-05-31 | | | | 11320 | 12551 | | | | | 2726 7897 | | | | | | | | | | | |
| 2013-06-04 | | | | | | 35 | | | | | 213 | | | | | | | | | | |
| TOTAL | 893 | 5059 | | 11320 | 12551 | 130924 | 35 | 3409 | 2726 7897 | 333 | 2306 | 2 | 6 | 35133 | 213 | 689 | 6 5592 | 4 | 90 | 7 | |

Table 7 Catalogue of SWO-N statistics available by fishery (flag/gear combination, ranked in descending by order of importance) and year, from 1980 to 2011. Only the 30 most important fisheries (representing 99% of Task-I catch) are shown. For each data series, Task I (DSet= “t1”, in tonnes) is visualised against its equivalent Task II availability (DSet= “t2”) scheme. The Task-II colour scheme, combined with a concatenation of characters (“a”= T2CE exists; “b”= T2SZ exists; “c”= CAS exists) represents the Task-II data availability (in ICCAT-DB). The colour scheme pattern, starts with red (“-1”= no Task II available) and ends with dark green (“abc”= all Task II datasets available).

| Species | Stock | Status | FlagName | GearGrp | DSet | 1980 | 1981 | 1982 | 1983 | 1984 | 1985 | 1986 | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | Rank | % | %cum | | | |
|---------|-------|--------|---------------------|---------|------|------|------|------|------|------|------|------|-------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|--------|-------|-------|-------|-------|
| SWO | ATN | CP | EU.España | LL | t1 | 3810 | 4013 | 4554 | 7100 | 6315 | 7431 | 9712 | 11134 | 9600 | 5696 | 5736 | 6506 | 6351 | 6392 | 6027 | 6948 | 5519 | 5133 | 4079 | 3993 | 4581 | 3967 | 3954 | 4585 | 5373 | 5511 | 5446 | 5564 | 4366 | 4949 | 4147 | 4885 | 1 | 41.60% | 41.6% | | | |
| SWO | ATN | CP | EU.España | LL | t2 | abc | ac | ac | ac | abc | abc | ac | a | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | 1 | | | | |
| SWO | ATN | CP | U.S.A. | LL | t1 | 5015 | 3986 | 5271 | 4510 | 4666 | 4642 | 5143 | 5164 | 6020 | 5855 | 4967 | 4399 | 4124 | 4044 | 3960 | 4452 | 4015 | 3399 | 3433 | 3364 | 3316 | 2498 | 2598 | 2757 | 2591 | 2273 | 1961 | 2474 | 2405 | 2691 | 2204 | 2681 | 2 | 27.42% | 69.0% | | | |
| SWO | ATN | CP | U.S.A. | LL | t2 | b | b | b | b | b | b | b | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | 2 | | | | | |
| SWO | ATN | CP | Canada | LL | t1 | 1794 | 542 | 542 | 960 | 465 | 550 | 973 | 876 | 874 | 1097 | 819 | 953 | 1487 | 2206 | 1654 | 1421 | 646 | 1005 | 927 | 1136 | 923 | 984 | 954 | 1216 | 1161 | 1470 | 1238 | 1142 | 1115 | 1061 | 1182 | 1351 | 3 | 7.88% | 76.9% | | | |
| SWO | ATN | CP | Canada | LL | t2 | a | -1 | -1 | -1 | -1 | -1 | -1 | b | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | 3 | | | | |
| SWO | ATN | CP | Japan | LL | t1 | 1167 | 1315 | 1755 | 537 | 665 | 921 | 807 | 413 | 621 | 1572 | 1051 | 992 | 1064 | 1126 | 933 | 1043 | 1494 | 1218 | 1391 | 1089 | 759 | 567 | 319 | 263 | 575 | 705 | 656 | 889 | 935 | 778 | 1062 | 723 | 4 | 6.67% | 83.6% | | | |
| SWO | ATN | CP | Japan | LL | t2 | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | bc | bc | bc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | 4 | | | | |
| SWO | ATN | CP | EU.Portugal | LL | t1 | | | | | 7 | 15 | 448 | 984 | 612 | 292 | 463 | 757 | 497 | 1950 | 1579 | 1593 | 1702 | 902 | 772 | 776 | 731 | 731 | 765 | 1032 | 1319 | 900 | 949 | 778 | 747 | 898 | 1054 | 1202 | 5 | 5.55% | 89.1% | | | |
| SWO | ATN | CP | EU.Portugal | LL | t2 | | | | b | a | ab | ab | b | ab | ab | ab | abc | ac | ab | ab | ab | ab | ab | ab | ab | ab | abc | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | 5 | | | | | |
| SWO | ATN | NCC | Chinese Taipei | LL | t1 | 134 | 182 | 260 | 272 | 164 | 152 | 157 | 52 | 23 | 17 | 269 | 577 | 441 | 127 | 507 | 489 | 521 | 509 | 286 | 285 | 347 | 299 | 310 | 257 | 30 | 140 | 172 | 103 | 82 | 89 | 88 | 192 | 6 | 1.71% | 90.8% | | | |
| SWO | ATN | NCC | Chinese Taipei | LL | t2 | ab | abc | abc | abc | abc | abc | abc | abc | ac | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | 6 | | | | |
| SWO | ATN | CP | Maroc | LL | t1 | 136 | 124 | 91 | 125 | 79 | 137 | 178 | 192 | 195 | 219 | 24 | 92 | 41 | 27 | 7 | 28 | 35 | 239 | | | | 35 | 38 | 264 | 154 | 223 | 255 | 325 | 333 | 229 | 428 | 720 | 963 | 700 | 7 | 1.51% | 92.3% | |
| SWO | ATN | CP | Maroc | LL | t2 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 7 | | | | | |
| SWO | ATN | NCO | Cuba | LL | t1 | 278 | 227 | 254 | 410 | 206 | 162 | 636 | 910 | 832 | 87 | 47 | | | | | | | | | | | | | | | | | | | | | | | 8 | 0.92% | 93.2% | | |
| SWO | ATN | NCO | Cuba | LL | t2 | a | a | a | a | a | ab | ab | a | a | a | a | a | | | | | | | | | | | | | | | | | | | | | | 8 | | | | |
| SWO | ATN | CP | Canada | HP | t1 | | | | 12 | 128 | 34 | 35 | 86 | 78 | 24 | 150 | 92 | 73 | 60 | 28 | 22 | 189 | 93 | 89 | 240 | 18 | 95 | 121 | 38 | 147 | 87 | 193 | 203 | 267 | 258 | 248 | 176 | 208 | 9 | 0.79% | 94.0% | | |
| SWO | ATN | CP | Canada | HP | t2 | | | | -1 | -1 | -1 | -1 | -1 | -1 | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | 9 | | | | |
| SWO | ATN | CP | Trinidad and Tobago | LL | t1 | | | | 21 | 26 | 6 | 45 | 151 | 42 | 79 | 66 | 71 | 562 | 11 | 180 | 150 | 158 | 110 | 130 | 138 | 41 | 75 | 92 | 78 | 83 | 91 | 19 | 29 | 48 | 30 | 21 | 13 | 10 | 0.58% | 94.6% | | | |
| SWO | ATN | CP | Trinidad and Tobago | LL | t2 | | | | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 10 | | | | |
| SWO | ATN | CP | China P.R. | LL | t1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 11 | 0.51% | 95.1% | | | |
| SWO | ATN | CP | China P.R. | LL | t2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 11 | | | | |
| SWO | ATN | CP | Korea Rep. | LL | t1 | 284 | 136 | 198 | 53 | 32 | 160 | 68 | 60 | 30 | 320 | 51 | 3 | 3 | 19 | 16 | 16 | 19 | 15 | | | | | | | | | | | | | | | | 12 | 0.48% | 95.6% | | |
| SWO | ATN | CP | Korea Rep. | LL | t2 | a | a | a | ab | ab | a | ab | ab | ab | ab | ab | ab | a | a | a | a | a | a | | | | | | | | | | | | | | | | | 12 | | | |
| SWO | ATN | CP | EU.España | GN | t1 | | | | | | | 4 | 3 | | 194 | 949 | 646 | 124 | | | | | | | | | | | | | | | | | | | | | | 13 | 0.44% | 96.0% | |
| SWO | ATN | CP | EU.España | GN | t2 | | | | | | -1 | ab | | ac | ac | ac | ab | | | | | | | | | | | | | | | | | | | | | | | 13 | | | |
| SWO | ATN | CP | U.S.A. | GN | t1 | | | | | | | 49 | 54 | 120 | 524 | 535 | 82 | 86 | 92 | 88 | 74 | 78 | 0 | 36 | | | | | | | | | | | | | | | | 14 | 0.41% | 96.5% | |
| SWO | ATN | CP | U.S.A. | GN | t2 | b | b | | | | b | b | b | b | b | b | ab | ab | ab | ab | ab | ab | ab | | | | | | | | | | | | | | | | | 14 | | | |
| SWO | ATN | CP | U.S.A. | HP | t1 | 585 | 532 | 136 | 293 | 60 | 41 | 18 | 29 | 31 | 32 | 8 | 2 | 1 | 0 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 7 | 3 | 1 | | 0 | | | | | | | | 15 | 0.41% | 96.9% | |
| SWO | ATN | CP | U.S.A. | HP | t2 | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | b | bc | bc | bc | | bc | | bc | | bc | bc | bc | | 15 | | | |
| SWO | ATN | CP | Maroc | GN | t1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 16 | 0.29% | 97.2% | |
| SWO | ATN | CP | Maroc | GN | t2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 16 | | | |
| SWO | ATN | CP | Venezuela | LL | t1 | 192 | 24 | 25 | 35 | 23 | 51 | 84 | 86 | 2 | 2 | 4 | 73 | 101 | 68 | 60 | 45 | 74 | 11 | 7 | 9 | 30 | 12 | 25 | 29 | 46 | 48 | 15 | 19 | 5 | 8 | 16 | 13 | 17 | 0.28% | 97.4% | | | |
| SWO | ATN | CP | Venezuela | LL | t2 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | b | b | b | b | b | b | b | b | b | b | b | b | b | b | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | 17 | | | |
| SWO | ATN | CP | EU.France | UN | t1 | 5 | 4 | | | 1 | 4 | 4 | | | | | | | | | | | | | | | | | | | | | | | | | | | | 18 | 0.23% | 97.7% | |
| SWO | ATN | CP | EU.France | UN | t2 | -1 | -1 | | | -1 | -1 | -1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | 18 | | | |
| SWO | ATN | CP | U.S.A. | HL | t1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 19 | 0.18% | 97.8% | |
| SWO | ATN | CP | U.S.A. | HL | t2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 19 | | | |
| SWO | ATN | NCO | NEI (MED) | UN | t1 | | | 12 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 20 | 0.16% | 98.0% | |
| SWO | ATN | NCO | NEI (MED) | UN | t2 | | | -1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 20 | | | |
| SWO | ATN | NCO | NEI (ETRO) | LL | t1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 21 | 0.16% | 98.2% | |
| SWO | ATN | NCO | NEI (ETRO) | LL | t2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 21 | | | |
| SWO | ATN | CP | EU.España | UN | t1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 22 | 0.16% | 98.3% | |
| SWO | ATN | CP | EU.España | UN | t2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 22 | | |
| SWO | ATN | CP | Mexico | LL | t1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 23 | 0.12% | 98.4% |
| SWO | ATN | CP | Mexico | LL | t2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 23 | | |
| SWO | ATN | CP | U.S.A. | RR | t1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 24 | 0.11% | 98.6% |
| SWO | ATN | CP | U.S.A. | RR | t2 | a | a | a | a | a | a | a | ab | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | | 24 | | | |
| SWO | ATN | CP | EU.France | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| Species | Stock | Status | FlagName | GearGrp | DSet | 1980 | 1981 | 1982 | 1983 | 1984 | 1985 | 1986 | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | Rank | % | %cum | | |
|---------|-------|--------|----------------|---------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|-------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|--------|-------|--------|-------|
| SWO | ATS | CP | EU.España | LL | t1 | | | | | | | 66 | | 4393 | 7725 | 6166 | 5760 | 5651 | 6974 | 7937 | 11290 | 9622 | 8461 | 5832 | 5758 | 6388 | 5789 | 5741 | 4527 | 5483 | 5402 | 5300 | 5283 | 4073 | 5183 | 5801 | 4700 | 1 | 36.14% | 36.1% | | |
| SWO | ATS | CP | EU.España | LL | t2 | | | | | | b | ac | a | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | 2 | 19.00% | 55.1% |
| SWO | ATS | CP | Japan | LL | t1 | 2029 | 2170 | 3287 | 1908 | 4395 | 4613 | 2913 | 2620 | 4453 | 4019 | 6708 | 4459 | 2870 | 5256 | 4699 | 3619 | 2197 | 1494 | 1186 | 775 | 790 | 685 | 833 | 924 | 686 | 480 | 1090 | 2155 | 1600 | 1340 | 1314 | 912 | 2 | | | | |
| SWO | ATS | CP | Japan | LL | t2 | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | 3 | | |
| SWO | ATS | CP | Brasil | LL | t1 | 1579 | 654 | 1018 | 781 | 467 | 562 | 752 | 947 | 1162 | 1168 | 1696 | 1312 | 2609 | 2013 | 1571 | 1970 | 1892 | 4100 | 3844 | 4721 | 4579 | 4075 | 2903 | 2917 | 2914 | 3780 | 4120 | 3892 | 3152 | 3132 | 2657 | 2800 | 3 | 18.34% | 73.5% | | |
| SWO | ATS | CP | Brasil | LL | t2 | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | ab | 4 | | |
| SWO | ATS | NCC | Chinese Taipei | LL | t1 | 702 | 528 | 520 | 261 | 199 | 280 | 216 | 338 | 798 | 610 | 896 | 1453 | 1686 | 846 | 2829 | 2876 | 2873 | 2562 | 1147 | 1168 | 1303 | 1149 | 1164 | 1254 | 745 | 744 | 377 | 671 | 727 | 612 | 410 | 424 | 4 | 7.84% | 81.3% | | |
| SWO | ATS | NCC | Chinese Taipei | LL | t2 | ab | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | abc | ab | ab | ab | ab | ab | ab | ab | ab | 5 | 4.56% | 85.9% |
| SWO | ATS | CP | Uruguay | LL | t1 | 92 | 575 | 1084 | 1927 | 1125 | 537 | 699 | 427 | 414 | 302 | 156 | 210 | 260 | 165 | 499 | 644 | 760 | 889 | 650 | 713 | 789 | 768 | 850 | 1105 | 843 | 620 | 464 | 370 | 501 | 222 | 179 | 5 | | | | | |
| SWO | ATS | CP | Uruguay | LL | t2 | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | 6 | 1.87% | 87.7% | | |
| SWO | ATS | CP | Korea Rep. | LL | t1 | 399 | 311 | 486 | 409 | 625 | 917 | 369 | 666 | 1012 | 776 | 50 | 147 | 147 | 198 | 164 | 164 | 7 | 18 | 7 | 5 | 10 | 0 | 2 | 24 | 70 | 36 | 94 | 176 | 223 | 10 | 147 | 70 | 6 | | | | |
| SWO | ATS | CP | Korea Rep. | LL | t2 | a | a | ab | ab | ab | ab | ab | a | ab | ab | ab | ab | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | a | -1 | 5 | 6 | | | |
| SWO | ATS | CP | Namibia | LL | t1 | | | | | | | | | | | | | | 22 | | | | | | 374 | 452 | 607 | 504 | 187 | 549 | 832 | 1118 | 1038 | 518 | 25 | 408 | 366 | 7 | 1.69% | 89.4% | | |
| SWO | ATS | CP | Namibia | LL | t2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Table . 9 SWO-N catch-at-size matrix by year and 5 cm length classes (first and last classes plus groups)

| Σ _{Nt} | YearC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-----------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--|
| Li5cm | 1978 | 1979 | 1980 | 1981 | 1982 | 1983 | 1984 | 1985 | 1986 | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | |
| 40 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 3 | 0 | 58 | 214 | 51 | 63 | 1 | 9 | 0 | 5 | 25 | 51 | 4 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 8 | 6 | 0 | |
| 45 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 12 | 2 | 0 | 0 | 32 | 0 | 38 | 75 | 0 | 23 | 50 | 21 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 120 | 0 | 0 | |
| 50 | 0 | 0 | 0 | 0 | 0 | 19 | 0 | 4 | 8 | 146 | 7 | 0 | 0 | 2 | 42 | 0 | 66 | 92 | 22 | 23 | 0 | 0 | 25 | 15 | 0 | 0 | 1 | 0 | 1 | 0 | 336 | 34 | 48 | | |
| 55 | 0 | 0 | 0 | 0 | 0 | 22 | 0 | 15 | 8 | 2 | 34 | 0 | 0 | 20 | 196 | 92 | 105 | 351 | 299 | 44 | 2 | 0 | 1 | 7 | 27 | 10 | 0 | 25 | 0 | 0 | 3 | 213 | 3 | 0 | |
| 60 | 0 | 3 | 8 | 2 | 34 | 6 | 26 | 65 | 43 | 10 | 29 | 2 | 26 | 251 | 432 | 697 | 393 | 197 | 178 | 195 | 510 | 219 | 492 | 66 | 401 | 22 | 0 | 40 | 8 | 7 | 22 | 252 | 388 | 510 | |
| 65 | 0 | 30 | 42 | 18 | 70 | 65 | 74 | 54 | 110 | 38 | 147 | 146 | 112 | 425 | 760 | 1253 | 596 | 1007 | 1786 | 1642 | 789 | 644 | 730 | 35 | 51 | 13 | 1 | 1 | 2 | 5 | 11 | 142 | 71 | 82 | |
| 70 | 2 | 58 | 234 | 57 | 209 | 89 | 227 | 184 | 240 | 302 | 600 | 256 | 366 | 694 | 1281 | 983 | 1256 | 1998 | 3660 | 3849 | 709 | 1314 | 2009 | 146 | 607 | 25 | 19 | 60 | 10 | 37 | 15 | 92 | 260 | 258 | |
| 75 | 103 | 201 | 452 | 438 | 451 | 418 | 589 | 501 | 1110 | 903 | 1683 | 743 | 987 | 1137 | 1216 | 2380 | 3211 | 2472 | 4290 | 4273 | 1225 | 2813 | 1745 | 330 | 1465 | 137 | 59 | 76 | 35 | 56 | 199 | 489 | 242 | | |
| 80 | 430 | 360 | 644 | 650 | 863 | 729 | 986 | 1039 | 2920 | 3428 | 4576 | 1645 | 3059 | 1670 | 3878 | 4573 | 4108 | 3334 | 6998 | 5960 | 3368 | 3549 | 4273 | 1831 | 4129 | 903 | 1714 | 2916 | 4689 | 1648 | 2485 | 353 | 4193 | 2727 | |
| 85 | 191 | 593 | 1048 | 1017 | 1106 | 1568 | 1927 | 1849 | 6090 | 9477 | 10144 | 4290 | 6742 | 2711 | 2998 | 4090 | 6255 | 6414 | 11500 | 10847 | 3107 | 2627 | 3012 | 3705 | 2396 | 1517 | 1423 | 4254 | 1050 | 801 | 1195 | 397 | 2539 | 1268 | |
| 90 | 696 | 750 | 1993 | 1642 | 1718 | 2221 | 2405 | 2504 | 5223 | 9602 | 10662 | 5609 | 7195 | 3700 | 4493 | 5874 | 7011 | 4965 | 10512 | 9998 | 4927 | 5383 | 7678 | 4402 | 4049 | 2416 | 3319 | 6526 | 4299 | 2826 | 1482 | 1920 | 2408 | 2399 | |
| 95 | 792 | 1372 | 2744 | 2373 | 1757 | 3284 | 2919 | 3064 | 5820 | 10978 | 12221 | 8092 | 7500 | 6598 | 5350 | 9144 | 8477 | 7378 | 11583 | 14391 | 8932 | 10318 | 10469 | 7288 | 5668 | 4296 | 7951 | 7073 | 4008 | 8034 | 3753 | 3751 | 4957 | 7154 | |
| 100 | 852 | 1820 | 4797 | 2937 | 3972 | 4631 | 5290 | 5976 | 8914 | 12404 | 21435 | 12284 | 9492 | 8959 | 8744 | 12090 | 13228 | 11676 | 10806 | 13553 | 8198 | 8327 | 7120 | 4780 | 4543 | 4435 | 3767 | 4113 | 4706 | 5140 | 2274 | 2128 | 4006 | 6533 | |
| 105 | 2003 | 3105 | 6616 | 3714 | 6346 | 7946 | 7629 | 9301 | 10077 | 15605 | 23032 | 21836 | 13130 | 10949 | 9614 | 15466 | 13511 | 13785 | 10566 | 13682 | 10189 | 11327 | 8394 | 5045 | 5435 | 5329 | 5087 | 5137 | 8104 | 9663 | 4746 | 5659 | 9022 | 14753 | |
| 110 | 1872 | 2846 | 6462 | 3589 | 5099 | 8263 | 7271 | 9384 | 12731 | 18018 | 21959 | 15422 | 10578 | 10948 | 12484 | 16441 | 15553 | 14172 | 12771 | 15104 | 12362 | 10912 | 12588 | 8973 | 8005 | 7960 | 9525 | 10405 | 10976 | 10132 | 11158 | 8863 | 13256 | 1770 | |
| 115 | 2173 | 4170 | 7604 | 4965 | 6156 | 11584 | 9191 | 10318 | 17202 | 21143 | 31859 | 24378 | 21029 | 13492 | 14325 | 18205 | 21890 | 18568 | 19149 | 16754 | 19199 | 16011 | 16147 | 12053 | 12152 | 14380 | 14343 | 15400 | 16503 | 18040 | 15801 | 13243 | 16384 | 2512 | |
| 120 | 3395 | 5754 | 9015 | 7192 | 6945 | 10861 | 10950 | 12471 | 21339 | 24599 | 26075 | 24302 | 24421 | 16086 | 18435 | 21161 | 23819 | 23899 | 21298 | 19683 | 25374 | 21833 | 23115 | 17231 | 17466 | 19768 | 19530 | 22724 | 23200 | 23804 | 21207 | 23117 | 18925 | 23126 | |
| 125 | 3879 | 6196 | 9442 | 8507 | 6541 | 11664 | 10805 | 12581 | 20806 | 24102 | 26435 | 22813 | 24914 | 17065 | 19195 | 22131 | 22893 | 26528 | 23176 | 19236 | 25464 | 21994 | 20854 | 18758 | 15692 | 21241 | 16197 | 21146 | 22741 | 22654 | 22845 | 25617 | 19907 | 22273 | |
| 130 | 4226 | 5733 | 10601 | 8088 | 7262 | 11559 | 11857 | 13260 | 18896 | 25247 | 32521 | 24762 | 26806 | 20463 | 22640 | 25368 | 25572 | 32695 | 27628 | 20078 | 28769 | 24729 | 25536 | 20856 | 18683 | 23929 | 23387 | 23327 | 24373 | 25084 | 21736 | 29176 | 30034 | 27079 | |
| 135 | 5498 | 6854 | 18976 | 9436 | 9691 | 12159 | 13005 | 15129 | 20864 | 26223 | 27341 | 24169 | 24719 | 21896 | 22242 | 24728 | 23129 | 28804 | 25686 | 18162 | 22859 | 21103 | 16145 | 15842 | 14755 | 19920 | 21136 | 20744 | 19169 | 20663 | 18555 | 21505 | 17454 | 17278 | |
| 140 | 7153 | 6927 | 15508 | 10245 | 10141 | 12678 | 13892 | 15530 | 21128 | 27180 | 28755 | 23264 | 24685 | 23653 | 22505 | 26261 | 21338 | 26086 | 24723 | 18140 | 20809 | 21211 | 17603 | 13377 | 20119 | 19233 | 20010 | 19343 | 17738 | 16407 | 17386 | 17034 | 17130 | | |
| 145 | 8657 | 7809 | 20002 | 10120 | 11448 | 13256 | 13797 | 16248 | 24405 | 25076 | 28614 | 22216 | 22469 | 22212 | 20276 | 22623 | 20113 | 25246 | 22720 | 16165 | 16125 | 18224 | 18966 | 13829 | 13619 | 17445 | 17190 | 18536 | 14678 | 17443 | 14297 | 16402 | 14397 | 13042 | |
| 150 | 8199 | 8702 | 10709 | 9536 | 10484 | 13971 | 14160 | 17119 | 21669 | 26176 | 29088 | 21964 | 20237 | 29098 | 21180 | 23491 | 18629 | 23070 | 22465 | 15876 | 15717 | 17357 | 16391 | 13701 | 14343 | 18005 | 17404 | 17783 | 14099 | 15908 | 13752 | 14263 | 11943 | 14676 | |
| 155 | 9982 | 8699 | 18994 | 9848 | 11800 | 16097 | 14835 | 17642 | 22567 | 25488 | 23357 | 21185 | 18629 | 22011 | 19704 | 20581 | 18481 | 21911 | 20259 | 18802 | 14354 | 14255 | 13433 | 10787 | 10886 | 13992 | 14340 | 14341 | 12471 | 13352 | 11483 | 11623 | 11410 | 13226 | |
| 160 | 9706 | 8885 | 11983 | 10016 | 11889 | 15341 | 14221 | 17180 | 21193 | 22623 | 19534 | 17998 | 17380 | 17913 | 17701 | 17847 | 16697 | 18139 | 18227 | 13473 | 12384 | 11937 | 12576 | 10242 | 10589 | 12175 | 13414 | 13776 | 12000 | 11572 | 9618 | 11487 | 11223 | 11594 | |
| 165 | 11228 | 8603 | 10726 | 9183 | 11904 | 13225 | 12340 | 15198 | 19061 | 20992 | 18120 | 16061 | 14784 | 13926 | 15458 | 16630 | 14366 | 16371 | 14767 | 11081 | 10146 | 9657 | 9685 | 8335 | 7935 | 10112 | 11679 | 10558 | 9204 | 9605 | 8938 | 8646 | 7824 | 9850 | |
| 170 | 10250 | 10606 | 12067 | 10504 | 13311 | 16948 | 13229 | 14530 | 18166 | 20471 | 14819 | 15136 | 13734 | 13044 | 14931 | 13969 | 12194 | 12785 | 12794 | 10159 | 9304 | 8055 | 7914 | 7472 | 7696 | 8159 | 9577 | 10118 | 8231 | 8384 | 7426 | 7243 | 8064 | 8609 | |
| 175 | 9051 | 8881 | 10093 | 9140 | 11377 | 12319 | 11270 | 11962 | 16006 | 16482 | 15195 | 15172 | 11044 | 10498 | 10669 | 11685 | 10845 | 10776 | 10039 | 7710 | 7074 | 6511 | 6863 | 6056 | 6332 | 7679 | 8657 | 8025 | 10256 | 7247 | 5995 | 6753 | 7263 | 7836 | |
| 180 | 8749 | 8055 | 9504 | 8208 | 11405 | 12356 | 9306 | 10235 | 12900 | 12604 | 11888 | 10275 | 9795 | 9552 | 10400 | 9812 | 9116 | 9607 | 8209 | 7078 | 5937 | 5074 | 5592 | 5617 | 5117 | 5624 | 6178 | 6851 | 5911 | 6801 | 5666 | 5670 | 5823 | 7597 | |
| 185 | 9687 | 8283 | 9304 | 6870 | 8898 | 10471 | 8517 | 9299 | 10949 | 11705 | 8701 | 8233 | 7678 | 7326 | 8235 | 9484 | 7548 | 7799 | 5968 | 6078 | 5061 | 4357 | 4364 | 4363 | 3791 | 4583 | 5322 | 5352 | 4360 | 5332 | 4514 | 4719 | 5080 | 6070 | |
| 190 | 7872 | 7247 | 7339 | 5808 | 7712 | 8216 | 7181 | 7339 | 9215 | 9259 | 6590 | 6695 | 5948 | 4463 | 7140 | 7592 | 6157 | 6038 | 5152 | 5601 | 4639 | 4087 | 3671 | 4695 | 3139 | 4046 | 4383 | 4637 | 4084 | 4895 | 4318 | 4152 | 4173 | 4904 | |
| 195 | 6267 | 6526 | 6789 | 5242 | 5918 | 6560 | 5256 | 5669 | 6890 | 7036 | 6454 | 5257 | 4619 | 4509 | 4892 | 5418 | 5027 | 4794 | 4037 | 3833 | 3300 | 2989 | 2992 | 3099 | 2436 | 3289 | 3682 | 4005 | 3313 | 3929 | 3710 | 3896 | 3461 | 4099 | |
| 200 | 6095 | 5840 | 6544 | 4829 | 5324 | 5656 | 4594 | 4728 | 5968 | 5910 | 4445 | 4200 | 3883 | 3774 | 4395 | 4187 | 4166 | 3838 | 3769 | 3275 | 2561 | 2305 | 2715 | 2242 | 2267 | 2431 | 2844 | 3001 | 2664 | 3115 | 2947 | 3542 | | | |

Table . 10 SWO-S catch-at-size matrix by year and 5 cm length classes (first and last classes plus groups)

| Σ_Nt | YearC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-------|-------|------|------|-------|-------|------|-------|-------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Li5cm | 1978 | 1979 | 1980 | 1981 | 1982 | 1983 | 1984 | 1985 | 1986 | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 |
| 40 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 9 | 5 | 0 | 16 | 0 | 3 |
| 45 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 11 | 2 | 0 | 0 | 0 | 3 |
| 50 | 0 | 0 | 0 | 0 | 19 | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 26 | 0 | 0 | 24 | 2 | 0 | 10 | 0 | 0 | 0 | 0 | 2 | 0 | 90 | 12 | 2 | 1 | 0 | 0 | 0 | 0 | 0 |
| 55 | 0 | 0 | 0 | 0 | 40 | 0 | 0 | 2 | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 3 | 0 | 12 | 5 | 6 | 8 | 6 | 2 | 4 | 114 | 27 | 0 | 0 | 0 | 0 | 3 |
| 60 | 0 | 0 | 2 | 8 | 2 | 1 | 3 | 22 | 5 | 8 | 55 | 24 | 16 | 41 | 56 | 47 | 27 | 23 | 7 | 0 | 25 | 12 | 187 | 17 | 1 | 0 | 4 | 99 | 32 | 28 | 13 | 47 | 56 | 16 |
| 65 | 0 | 0 | 6 | 5 | 3 | 4 | 0 | 2 | 0 | 0 | 2 | 58 | 4 | 40 | 74 | 24 | 36 | 0 | 7 | 0 | 56 | 42 | 230 | 72 | 57 | 21 | 15 | 58 | 42 | 17 | 24 | 17 | 31 | 62 |
| 70 | 0 | 0 | 9 | 53 | 79 | 0 | 4 | 32 | 0 | 1 | 59 | 134 | 108 | 203 | 235 | 41 | 150 | 77 | 44 | 53 | 124 | 128 | 1291 | 144 | 147 | 80 | 177 | 226 | 313 | 226 | 159 | 115 | 189 | 329 |
| 75 | 10 | 0 | 18 | 31 | 14 | 13 | 25 | 53 | 7 | 4 | 15 | 216 | 421 | 235 | 323 | 138 | 194 | 789 | 92 | 190 | 197 | 180 | 1757 | 241 | 177 | 103 | 234 | 295 | 262 | 248 | 213 | 77 | 722 | 274 |
| 80 | 17 | 8 | 18 | 194 | 182 | 52 | 115 | 126 | 16 | 8 | 1194 | 145 | 1089 | 413 | 1415 | 1479 | 1471 | 3313 | 553 | 518 | 413 | 534 | 1932 | 286 | 1831 | 186 | 1003 | 649 | 2161 | 1932 | 956 | 241 | 673 | 782 |
| 85 | 19 | 11 | 32 | 165 | 61 | 55 | 201 | 192 | 63 | 35 | 935 | 2123 | 958 | 1087 | 874 | 2456 | 2707 | 2107 | 1419 | 467 | 548 | 614 | 3343 | 1203 | 1430 | 578 | 1383 | 964 | 1395 | 709 | 1860 | 384 | 422 | 1101 |
| 90 | 43 | 87 | 76 | 320 | 168 | 171 | 719 | 440 | 380 | 108 | 1005 | 1752 | 1381 | 514 | 717 | 1063 | 2434 | 1912 | 1033 | 438 | 378 | 995 | 1680 | 955 | 686 | 325 | 1623 | 1446 | 2062 | 1879 | 2225 | 477 | 299 | 775 |
| 95 | 34 | 68 | 20 | 235 | 95 | 57 | 498 | 326 | 275 | 212 | 1804 | 1373 | 809 | 405 | 1299 | 2586 | 2876 | 4239 | 7731 | 6758 | 4862 | 6269 | 8293 | 5903 | 5894 | 4803 | 4122 | 10436 | 8875 | 6318 | 6198 | 3143 | 1647 | 6344 |
| 100 | 74 | 50 | 172 | 584 | 1269 | 511 | 1265 | 1499 | 1322 | 519 | 1384 | 19491 | 1711 | 697 | 771 | 1435 | 2110 | 3171 | 1433 | 2264 | 1800 | 2470 | 3966 | 2306 | 1381 | 1608 | 2603 | 2538 | 4254 | 2707 | 4406 | 2655 | 1244 | 1639 |
| 105 | 93 | 68 | 161 | 1499 | 1072 | 325 | 1368 | 1360 | 985 | 597 | 4880 | 5499 | 2710 | 2893 | 1708 | 2842 | 4430 | 6624 | 841 | 3553 | 1899 | 2255 | 4095 | 2260 | 2843 | 2678 | 6100 | 2977 | 6374 | 4614 | 6397 | 5240 | 1973 | 3878 |
| 110 | 147 | 275 | 249 | 902 | 1395 | 598 | 1777 | 1935 | 1473 | 967 | 2315 | 8261 | 3118 | 2311 | 1607 | 2547 | 3413 | 4866 | 2486 | 5096 | 4338 | 4727 | 6968 | 4863 | 3103 | 2298 | 5258 | 4759 | 6576 | 6686 | 5584 | 5077 | 3697 | 4524 |
| 115 | 182 | 149 | 218 | 431 | 2064 | 960 | 2016 | 2565 | 1496 | 1323 | 7701 | 13835 | 5371 | 5089 | 4060 | 4522 | 8481 | 7032 | 551 | 9970 | 6011 | 6682 | 10256 | 5905 | 7554 | 3102 | 5804 | 6833 | 6981 | 10959 | 5285 | 6388 | 5870 | 7163 |
| 120 | 350 | 271 | 521 | 1344 | 2800 | 2190 | 4150 | 4097 | 1928 | 2032 | 2131 | 6837 | 510 | 3684 | 5197 | 4825 | 10126 | 14849 | 10482 | 10184 | 10149 | 12380 | 12794 | 10353 | 6775 | 6379 | 2796 | 2553 | 7019 | 11406 | 12671 | 8231 | 8561 | |
| 125 | 27 | 272 | 738 | 1137 | 2758 | 1546 | 2249 | 5404 | 3698 | 2905 | 4399 | 8821 | 6071 | 6839 | 5061 | 5835 | 10937 | 13159 | 14184 | 10797 | 12755 | 14736 | 16989 | 11736 | 10690 | 8241 | 6919 | 5043 | 11153 | 11913 | 10591 | 12237 | 9498 | 11853 |
| 130 | 305 | 470 | 634 | 1233 | 2305 | 2881 | 4398 | 4868 | 3003 | 2454 | 10884 | 16050 | 8535 | 11263 | 8629 | 7163 | 17093 | 22248 | 24694 | 19149 | 16613 | 18491 | 22292 | 19334 | 20273 | 15700 | 18081 | 33971 | 6319 | 17819 | 18869 | 18994 | 18159 | 14911 |
| 135 | 305 | 923 | 907 | 1449 | 6184 | 3534 | 6734 | 6544 | 3845 | 3062 | 6611 | 20309 | 11985 | 9561 | 9060 | 9334 | 17592 | 20822 | 20207 | 14621 | 16718 | 17774 | 22451 | 14506 | 13359 | 12268 | 12011 | 16637 | 16988 | 17560 | 13594 | 17338 | 12263 | 18198 |
| 140 | 267 | 994 | 1091 | 1402 | 2997 | 3118 | 5816 | 5660 | 4228 | 2913 | 9436 | 19337 | 16120 | 11606 | 11917 | 10817 | 21903 | 26591 | 27637 | 22881 | 22278 | 26029 | 28140 | 20812 | 22903 | 17424 | 20787 | 16619 | 20387 | 19999 | 18225 | 21119 | 11772 | 16862 |
| 145 | 337 | 1442 | 1840 | 1572 | 8150 | 5345 | 8949 | 8425 | 4551 | 4744 | 5146 | 21904 | 24363 | 17297 | 17578 | 24257 | 29702 | 20988 | 22842 | 21809 | 22296 | 25312 | 16645 | 18350 | 13533 | 17305 | 16821 | 15270 | 18915 | 16908 | 21544 | 12773 | 16862 | |
| 150 | 280 | 2585 | 1672 | 12121 | 4711 | 4234 | 6973 | 6821 | 4024 | 3910 | 11176 | 24985 | 18079 | 5312 | 21851 | 18102 | 20655 | 27927 | 24211 | 20800 | 21564 | 25228 | 29867 | 20850 | 18881 | 18314 | 18328 | 10158 | 22895 | 20551 | 18334 | 19334 | 14709 | 14818 |
| 155 | 338 | 1760 | 1643 | 1449 | 10001 | 4871 | 8384 | 8005 | 3916 | 3673 | 15014 | 18085 | 25904 | 22057 | 28576 | 22138 | 28750 | 27366 | 20731 | 22873 | 22596 | 19368 | 20694 | 15582 | 17741 | 17071 | 17894 | 15261 | 19158 | 18997 | 13320 | 15022 | 12582 | 14163 |
| 160 | 562 | 1826 | 2299 | 1954 | 6260 | 4465 | 7798 | 10374 | 5015 | 4490 | 17391 | 20332 | 21674 | 21145 | 27779 | 16374 | 23249 | 29292 | 23176 | 23292 | 18051 | 18216 | 21472 | 18889 | 15702 | 18781 | 18660 | 18588 | 16546 | 17490 | 14552 | 14532 | 19387 | 20666 |
| 165 | 491 | 1951 | 2974 | 2006 | 4106 | 3245 | 6784 | 9687 | 4934 | 4622 | 10329 | 20158 | 18666 | 17361 | 18166 | 13424 | 24651 | 27844 | 18488 | 21339 | 17012 | 18873 | 15240 | 14597 | 13415 | 14615 | 14730 | 12294 | 15771 | 16050 | 11853 | 12109 | 10609 | 10770 |
| 170 | 488 | 1869 | 3282 | 2387 | 5913 | 4107 | 10305 | 12357 | 5782 | 5233 | 8493 | 13563 | 16165 | 14753 | 13233 | 13980 | 13779 | 21299 | 20565 | 18914 | 15622 | 13082 | 16249 | 12454 | 16620 | 11699 | 11049 | 12135 | 12286 | 15852 | 11882 | 11128 | 9645 | 9477 |
| 175 | 858 | 1355 | 3379 | 2178 | 4297 | 4790 | 6261 | 10277 | 4638 | 4733 | 16610 | 32030 | 20853 | 14356 | 10606 | 13806 | 18224 | 19376 | 14571 | 17508 | 11600 | 13490 | 11399 | 9348 | 11453 | 10425 | 10100 | 10992 | 11612 | 14199 | 10031 | 8640 | 8835 | 8231 |
| 180 | 982 | 2559 | 2314 | 2756 | 5747 | 5516 | 8592 | 10029 | 4769 | 5971 | 9668 | 14729 | 20834 | 11924 | 11762 | 13890 | 14967 | 17573 | 17658 | 15481 | 9439 | 11208 | 9922 | 10769 | 10933 | 10953 | 9466 | 9882 | 9543 | 13029 | 9414 | 8124 | 7934 | 7302 |
| 185 | 1243 | 2119 | 3787 | 2775 | 5017 | 4823 | 6587 | 9225 | 5289 | 6205 | 12886 | 12354 | 12075 | 8419 | 8539 | 11824 | 12387 | 12637 | 9269 | 2090 | 7846 | 7944 | 7223 | 6759 | 7074 | 6363 | 6680 | 7664 | 6722 | 8054 | 6122 | 5835 | 5500 | 5940 |
| 190 | 1692 | 1635 | 5740 | 2395 | 5445 | 5390 | 7500 | 6530 | 3755 | 5570 | 9141 | 8628 | 8772 | 7617 | 7927 | 10724 | 10987 | 12604 | 23335 | 10203 | 6299 | 8274 | 7840 | 9044 | 7724 | 6030 | 6907 | 7057 | 7634 | 8748 | 6536 | 4890 | 7971 | 4798 |
| 195 | 2849 | 2402 | 7305 | 2307 | 4080 | 4910 | 5296 | 5337 | 3390 | 6004 | 9622 | 7865 | 6925 | 6622 | 6876 | 9357 | 9662 | 9679 | 7345 | 8279 | 4724 | 5751 | 5343 | 5161 | 5260 | 4678 | 5151 | 5535 | 5030 | 6057 | 4671 | 4420 | 4172 | 4041 |
| 200 | 2771 | 2360 | 5845 | 2132 | 3087 | 3510 | 5533 | 3807 | 3116 | 474 | 6535 | 5837 | 9529 | 4880 | 4346 | 8809 | 7303 | 7200 | 7693 | 6273 | 3885 | 4725 | 4628 | 6360 | 555 | 6532 | 3935 | 4159 | 4905 | 5961 | 4577 | 3848 | 4629 | 3202 |
| 205 | 1460 | 1553 | 4704 | 2140 | 2610 | 2429 | 4192 | 2779 | 3465 | 2061 | 4334 | 4880 | 3059 | 2517 | 4100 | 5976 | 4646 | 4680 | 3887 | 4320 | 2934 | 3529 | 3313 | 3588 | 3275 | 2559 | 2410 | 2939 | 2921 | 3764 | 2153 | 2432 | 2233 | 1996 |
| 210 | 1596 | 1875 | 2727 | 1385 | 2256 | 1999 | 3376 | 2520 | 1496 | 2000 | 4345 | 3770 | 3825 | 2945 | 3013 | 5519 | 4831 | 5649 | 4778 | 4613 | 2402 | 2646 | 3269 | 3140 | 3002 | 2367 | 2465 | 2435 | 3536 | 3221 | 2602 | 3061 | 2373 | 1984 |
| 215 | 586 | 1320 | 1662 | 1723 | 1289 | 873 | 2298 | 1780 | 1925 | 525 | 3094 | 1526 | 1731 | 1938 | 3454 | 3997 | 3441 | 2235 | 3250 | 2095 | 2492 | 1929 | 1826 | 1694 | 1812 | 1955 | 2048 | 2288 | 1753 | 2276 | 1510 | 1507 | 197 | |
| 220 | 1772 | 2310 | 1748 | 1711 | 1505 | 1203 | 2223 | 1898 | 1657 | 1322 | 2118 | 1581 | 2679 | 1562 | 1462 | 1021 | 2840 | 3710 | 2730 | 2277 | 1413 | 2129 | 1964 | 2888 | 2208 | 2411 | 2077 | 1823 | 2123 | 2012 | 1888 | 2059 | 2993 | 1573 |
| 225 | 848 | 1598 | 617 | 950 | 671 | 285 | 1252 | 686 | 945 | 640 | 930 | 576 | 371 | 731 | 1097 | 689 | 1812 | 2295 | 1810 | 1934 | 1322 | 1452 | 1517 | 1969 | 1141 | 1584 | 1310 | 1321 | 1218 | 1360 | 1078 | 1254 | 865 | 1173 |
| 230 | 990 | 556 | 837 | 836 | 740 | 501 | 793 | 815 | 407 | 549 | 1186 | 488 | 485 | 641 | 653 | 1029 | 1313 | 1601 | 1229 | 1979 | 1117 | 1182 | 1374 | 1338 | 946 | 8 | | | | | | | | |

Table 11 Number of SWO conventional tagging information (released and recovered fish) available in ICCAT.

| Number of tag Swordfish (<i>Xiphias gladius</i>) | | | | | | | | | | | | |
|--|----------|------------|------------------|-------|-------|-------|-------|--------|-----|-----|-----|-----------|
| | | | Years at liberty | | | | | | | | | |
| Year | Releases | Recaptures | < 1 | 1 - 2 | 2 - 3 | 3 - 4 | 4 - 5 | 5 - 10 | 10+ | 15+ | Unk | % recapt* |
| 1961 | 2 | 0 | | | | | | | | | | |
| 1962 | 1 | 0 | | | | | | | | | | |
| 1963 | 2 | 0 | | | | | | | | | | |
| 1964 | 58 | 2 | | 2 | | | | | | | | 3.4% |
| 1965 | 49 | 1 | | | | 1 | | | | | | 2.0% |
| 1966 | 34 | 1 | | | | 1 | | | | | | 2.9% |
| 1967 | 25 | 1 | | | | | | | | 1 | | 4.0% |
| 1968 | 28 | 8 | 1 | 2 | 2 | 1 | | 1 | 1 | | | 28.6% |
| 1969 | 30 | 2 | | 1 | | | | 1 | | | | 6.7% |
| 1970 | 91 | 11 | 6 | | 1 | | 1 | 3 | | | | 12.1% |
| 1971 | 12 | 0 | | | | | | | | | | |
| 1972 | 7 | 0 | | | | | | | | | | |
| 1973 | 1 | 0 | | | | | | | | | | |
| 1974 | 32 | 2 | | 1 | | 1 | | | | | | 6.3% |
| 1975 | 25 | 2 | | | 1 | | | 1 | | | | 8.0% |
| 1976 | 10 | 0 | | | | | | | | | | |
| 1977 | 55 | 2 | | 1 | 1 | | | | | | | 3.6% |
| 1978 | 178 | 13 | 1 | 3 | 3 | 2 | 4 | | | | | 7.3% |
| 1979 | 118 | 5 | 2 | 1 | | | 1 | 1 | | | | 4.2% |
| 1980 | 490 | 26 | 4 | 6 | 7 | 1 | | 7 | 1 | | | 5.3% |
| 1981 | 267 | 27 | 8 | 10 | 5 | 2 | | 2 | | | | 10.1% |
| 1982 | 166 | 4 | 2 | 2 | | | | | | | | 2.4% |
| 1983 | 162 | 6 | 2 | 2 | 1 | | | 1 | | | | 3.7% |
| 1984 | 168 | 5 | | 2 | | | | 3 | | | | 3.0% |
| 1985 | 204 | 10 | 2 | 2 | 1 | 1 | 3 | 1 | | | | 4.9% |
| 1986 | 404 | 17 | 3 | 3 | 5 | 2 | | 4 | | | | 4.2% |
| 1987 | 411 | 18 | 5 | 6 | 4 | 1 | | 2 | | | | 4.4% |
| 1988 | 475 | 15 | 5 | 4 | 1 | | 2 | 3 | | | | 3.2% |
| 1989 | 217 | 3 | | 1 | | | 1 | 1 | | | | 1.4% |
| 1990 | 531 | 11 | 3 | 2 | 2 | 4 | | | | | | 2.1% |
| 1991 | 1604 | 53 | 12 | 8 | 14 | 12 | 2 | 3 | 2 | | | 3.3% |
| 1992 | 1697 | 56 | 12 | 24 | 11 | 3 | 3 | 3 | | | | 3.3% |
| 1993 | 1542 | 61 | 21 | 11 | 7 | 7 | 4 | 8 | 3 | | | 4.0% |
| 1994 | 1919 | 53 | 15 | 7 | 10 | 5 | 6 | 9 | | | 1 | 2.8% |
| 1995 | 1174 | 37 | 9 | 5 | 9 | 3 | 8 | 2 | | | 1 | 3.2% |
| 1996 | 680 | 25 | 10 | 3 | 7 | 2 | 2 | 1 | | | | 3.7% |
| 1997 | 769 | 27 | 11 | 6 | 1 | 3 | 3 | 3 | | | | 3.5% |
| 1998 | 397 | 21 | 6 | 4 | 5 | 1 | 2 | 2 | | | 1 | 5.3% |
| 1999 | 258 | 8 | 1 | 2 | 1 | 1 | 1 | 2 | | | | 3.1% |
| 2000 | 193 | 12 | 5 | 5 | 1 | | | 1 | | | | 6.2% |
| 2001 | 159 | 2 | | 1 | | | | | | | 1 | 1.3% |
| 2002 | 282 | 11 | 4 | 3 | | | | | | | 4 | 3.9% |
| 2003 | 253 | 9 | 3 | 1 | 2 | | 1 | | | | 2 | 3.6% |
| 2004 | 284 | 19 | 5 | 2 | 3 | 1 | | 2 | | | 6 | 6.7% |
| 2005 | 344 | 11 | 2 | 3 | 1 | 1 | | | | | 4 | 3.2% |
| 2006 | 776 | 17 | 3 | 3 | 1 | | | | | | 10 | 2.2% |
| 2007 | 352 | 12 | 4 | 2 | 4 | | | | | | 2 | 3.4% |
| 2008 | 95 | 5 | 2 | 1 | | | | | | | 2 | 5.3% |
| 2009 | 37 | 1 | | 1 | | | | | | | | 2.7% |
| 2010 | 11 | 0 | | | | | | | | | | |
| 2011 | 9 | 0 | | | | | | | | | | |
| 2012 | 5 | 0 | | | | | | | | | | |
| TOTAL | 17093 | 632 | 169 | 143 | 111 | 56 | 44 | 67 | 7 | 1 | 34 | 3.7% |

Table 12. Summary table with an evaluation of the indices based on standard criteria defined by the Stock Assessment Methods WG.

| | Paper | SUFFICIENCY SCORE EXPLANATION (higher is better) | | SCRS/2013/059 | SCRS/2013/104 | SCRS/2013/110 | SCRS/2013/111 | SCRS/2013/097 | SCRS/2013/105 | SCRS/2013/114 |
|---|---|--|---|--|---------------------------------|---|---------------|---------------|---------------------------------|--|
| | Index | 1 | 5 | CAN LL | PORTUGAL LL | JPN LL | Mor LL | TAIPEI LL | EU-ESP LL | USA LL |
| 1 | Diagnostics | No Diagnostics or assumptions clearly violated | Full Diagnostics and assumptions fully met. | 5 | 4 | 3 | 3 | 3 | 4 | 5 |
| 2 | Appropriateness of data exclusions and classifications (e.g. to identify targeted trips). | Not appropriate | Fully appropriate | 4 | 3[uses SWO/(SWO*BSH), see text] | 4 | 3 | 2 | 3[uses SWO/(SWO*BSH), see text] | 5 |
| 3 | Geographical Coverage | Small localized fishery/survey | Represents geographic range of population | 3 | 4 | 5 (may be reduced if only Area 5 included) | 2 | 5 | 5 | 4 |
| 4 | Catch Fraction | Small | Large | 3 | 3 | 2 | 2 | 1 | 5 | 4 |
| 5 | Length of Time Series relative to the history of exploitation. | Short | Long | 5 | 2 | 4(5 if based upon long term series developed from Area 5, not presented in paper) | 1 | 5 | 3 | 3 |
| 6 | Are other indices available for the same time period? | Many | It is the only available index | 4 | 1 | 4(5 if based upon long term series developed from Area 5, not presented in paper) | 1 | 4 | 3 | 3 (4, if considering that it is the only index of covering the Gulf of Mexico) |
| 7 | Does the index standardization account for Known factors that influence catchability/selectivity? | No | Fully | 4 (Recommendation to split after introduction of individual quotas) | 4 | 4 | 2 | 4 | 3 | 5 |

| | | | | | | | | | | |
|----------------------------|---|--------------------|--|---|---|--|---|---|--|--|
| 9 | Is the interannual variability within plausible bounds (e.g. SCRS/2012/039) | Frequently | Seldom | 4 | 5 | 5 | 5 | 4 | 5 | 3(only relevant if used in surplus production models to reflect total biomass, may be a result of changes in availability by size) |
| 10 | Are biologically implausible interannual deviations severe? (e.g. SCRS/2012/039) | Very Severe | Minimal | 4 | 5 | 5(3, after recommendation to eliminate 2001-2006 values, see text) | 5 | 4 | 5 | 3(comments as above) |
| 11 | Assessment of data quality and adequacy of data for standardization purpose (e.g. sampling design, sample size, factors considered) | Low | High | 4 | 3 | 3 | 2 | 2 | 4 | 4 (sampling fraction typically 5-8%, high coverage in Gulf of Mexico for some periods) |
| 12 | Is this CPUE time series continuous? | Very Discontinuous | Completely | 4 | | 3 | 3 | 5 | 5 | 4 |
| 13 | Were all catches (retained and discarded) considered in the calculation of CPUE | No | Discards (live and dead) accounted for | 4(no, but discards are considered to have been minor and without trend) | 4(no, but discards are considered to have been minor and without trend) | 3 (partially accounted for, depending on time period, see text) | 1 | 1 | 4(no, but discards are considered to have been minor and without trend) | 5 |
| 14 | author present? | No | Yes | 5 | 5 | 5 | 5 | 1 | 5 | 5 |
| additional comments | | | | | | Age-specific indices show similar, simultaneous patterns, without evident cohort progression | | | Age-specific indices do not appear to show simultaneous patterns, some apparent indications of cohort progression SCRS/2013/105 | The size frequency distribution of this index should be taken into account when considering it's application in models. May provide important information on smaller size classes. |

| South Atlantic Stock | | | | | |
|---|---|-------------------------------|--|---|---|
| Paper | SCRS/2013/098 | SCRS/2013/101 | SCRS/2013/106 &SCRS/2013/108 Spain biomass & number | SCRS/2013/109 | SCRS/2013/115 |
| Index | Taiwan LL | Uruguay LL | | Japan LL | Brazil LL number |
| Diagnostics | 3 (residual Trends) | 4 | 4 | 3 (residual presented bimodal distribution) QQ plot residuals and residuals by factors should be provided | 5 |
| Appropriateness of data exclusions and classifications (e.g. to identify targeted trips). | 2 issue on periods chosen: during the ALB meeting different period of time series were defined (50-86 87-96 97-2011). It was not clear why the period changed in this study | 5 | 3 Relevant factors may not have taken into account | 4 | 3 Fleet strategy considered an improvement to the target strategy. The fleet is very heterogeneous. |
| Geographical Coverage | 5 but after 2000 almost no activity in the southern area | 5 | 5 | 3 | 4 |
| Catch Fraction | 3 | | 5 | 3 | 4 |
| Length of Time Series relative to the history of exploitation. | 4 (1968-2011) | 5 (1982-2012) | 3 (1989-2011) | 3 (1990-2012) | 4 (1978-2012) |
| Are other indices available for the same time period? | 3 | 5 | 4 | 5 | 3 |
| Does the index standardization account for Known factors that influence catchability/selectivity? | 3 | 4 | 3 | 3 issue about changes over time that were not accounted for | 4 |
| Is the interannual variability within plausible bounds (e.g. SCRS/2012/039) | 4 | 4 | 5 | 4 | 2 high variations due to the complex fleet dynamic |
| Are biologically implausible interannual deviations severe? (e.g. SCRS/2012/039) | 4 | 4 | 5 | 4 | 1 but the trend presented here is plausible |
| Assessment of data quality and adequacy of data for standardization purpose (e.g. sampling design, sample size, factors considered) | 3 GLM & GAM present a unexpected identical pattern | 4 | 4 | 3 | 3 |
| Is this CPUE time series continuous? | 5 continuous but with a break: in 1995 began a series with HPB information | 5 continuous but with a break | 5 | 5 the authors are sugesting considering breaking it due to regulation and fleet dynamics changes | 5 |
| Discards recorded? | 1 | 1 | 4 but authors don't consider it as a problem (minor) | 2 discard minor no but it's not a problem | 4 partial can have some discontinuity although it should be considered negligible |
| Authors are there? | 1 | 5 | 5 | 5 | 5 |

Table 13 North Atlantic stock CPUE indices in biomass considered suitable for use in the assessment models.

| North Atlantic stock | | | | | | | | |
|----------------------|---------|---------|-------|---------|----------|-------|-----|-----|
| Year | Canada1 | Canada2 | Japan | Morocco | Portugal | Spain | US1 | US2 |

| | | | | |
|------|----------|----------|-------------------|------|
| 1963 | 2,341021 | | | |
| 1964 | 1,088847 | | | |
| 1965 | 0,794455 | | | |
| 1966 | 0,732955 | | | |
| 1967 | 0,889225 | | | |
| 1968 | 0,730939 | | | |
| 1969 | 0,638185 | | | |
| 1970 | 0,784373 | | | |
| 1975 | | 1,305901 | | |
| 1976 | | 0,984153 | | |
| 1977 | | 1,425979 | | |
| 1978 | | 1,133155 | | |
| 1979 | 0,841148 | 1,289097 | | |
| 1980 | 0,804082 | 1,204634 | | |
| 1981 | 0,660005 | 1,121641 | | |
| 1982 | 0,584081 | 1,097991 | | |
| 1983 | 0,452558 | 1,122549 | | |
| 1984 | 0,337774 | 1,073632 | | |
| 1985 | 0,4962 | 1,234123 | | |
| 1986 | 0,567939 | 1,070554 | 1,164693 | |
| 1987 | 0,368862 | 0,932393 | 1,172511 | |
| 1988 | 0,386796 | 1,284151 | 0,9967 | |
| 1989 | 0,350927 | 1,180926 | 1,00846 | |
| 1990 | 0,588863 | 1,087146 | 1,009188 | |
| 1991 | 0,432829 | 1,290073 | 1,026628 | |
| 1992 | 0,514732 | 0,85206 | 1,00906 | 1,07 |
| 1993 | 0,370655 | 0,804327 | 0,893408 | 1,03 |
| 1994 | 0,2481 | 0,648097 | 0,857012 | 0,96 |
| 1995 | 0,292339 | 0,564716 | 0,947046 | 1,13 |
| 1996 | 0,166197 | 0,446683 | 0,799405 | 1,08 |
| 1997 | 0,266633 | 0,37994 | 0,593054 0,790054 | 0,95 |
| 1998 | 0,407123 | 0,383973 | 0,615767 0,851189 | 1,28 |
| 1999 | 0,481254 | 0,405571 | 0,557162 0,952231 | 1,56 |
| 2000 | 0,439406 | | 0,873738 1,196848 | 0,86 |
| 2001 | 0,404731 | | 0,913275 1,044386 | 0,76 |
| 2002 | 0,517124 | | 0,720918 0,897776 | 0,69 |
| 2003 | 0,657016 | | 0,848221 1,027488 | 0,63 |

| | | | | | | |
|------|----------|----------|----------|----------|----------|----------|
| 2004 | 0,71381 | | | 1,058524 | 0,934086 | 0,84432 |
| 2005 | 0,799898 | | 1,065365 | 0,821583 | 0,921388 | 0,991585 |
| 2006 | 0,7993 | 0,392835 | 0,934314 | 0,877103 | 0,856559 | 1,021038 |
| 2007 | 0,839354 | 0,673684 | 0,810937 | 0,90991 | 0,984185 | 1,197756 |
| 2008 | 1,142455 | 0,860124 | 0,874319 | 0,947484 | 1,135806 | 1,237027 |
| 2009 | 1,062345 | 1,135086 | 1,223322 | 1,246114 | 1,043368 | 1,01122 |
| 2010 | 1,274575 | 1,099165 | 1,055109 | 1,167321 | 1,014706 | 0,697055 |
| 2011 | 1,082074 | 1,231941 | 1,036634 | 1,030484 | 1,043988 | 0,84432 |
| 2012 | 1,056965 | 1,243057 | 1,326424 | 1,280884 | | |



Figure 1 The size-weight relationships for Atlantic swordfish currently employed by the SCRS (prior to the 2013 Data Preparatory meeting). Northwest Atlantic (NW-ATL), central Atlantic (CN-ATL), northeast Atlantic (NE-ATL), southwest Atlantic (SW-ATL, SW-ATL2) (Amorin et al and Hazin et al), and southeast Atlantic (SE-ATL).



Figure 2. Proposed length - wgt relationship for north and south Atlantic swordfish stock units compared with those adopted by the Group and shown in Figure 2.1.

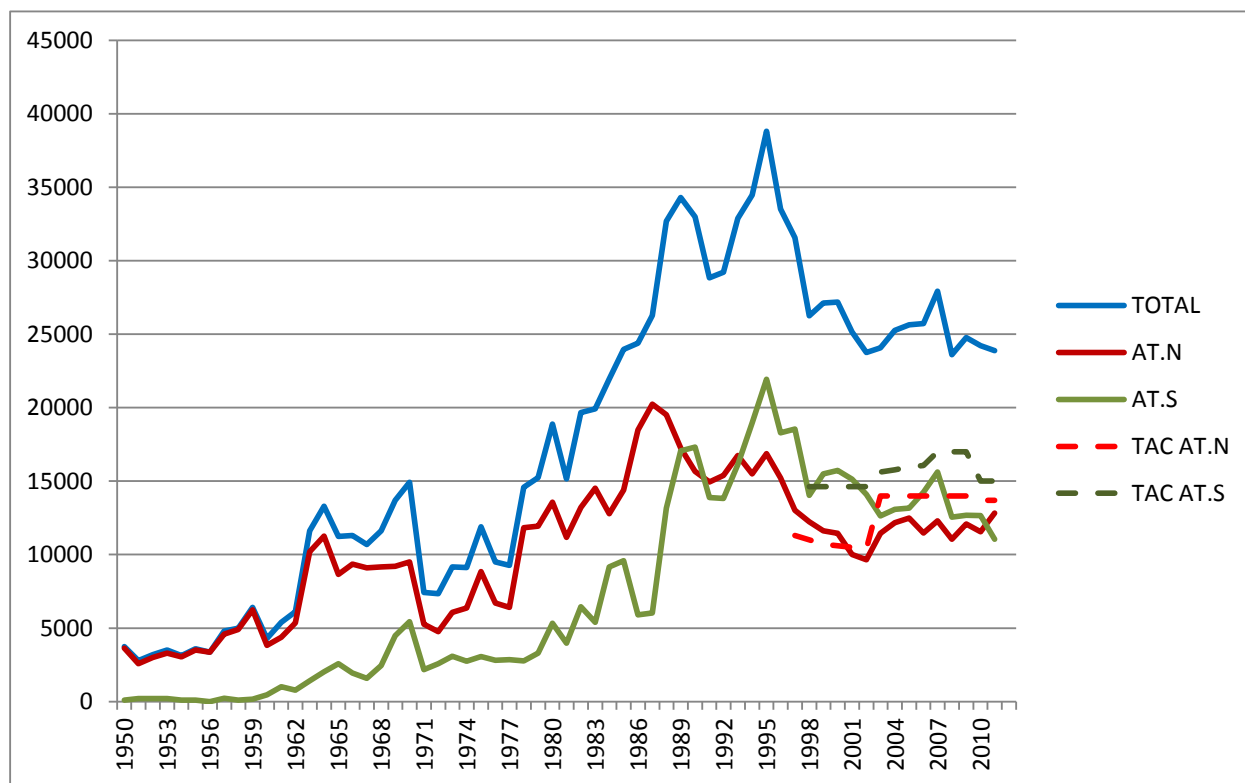


Figure 3 Total catch (Task I) and Total Allowable Catches of Atlantic swordfish by stock (1950 - 2011).

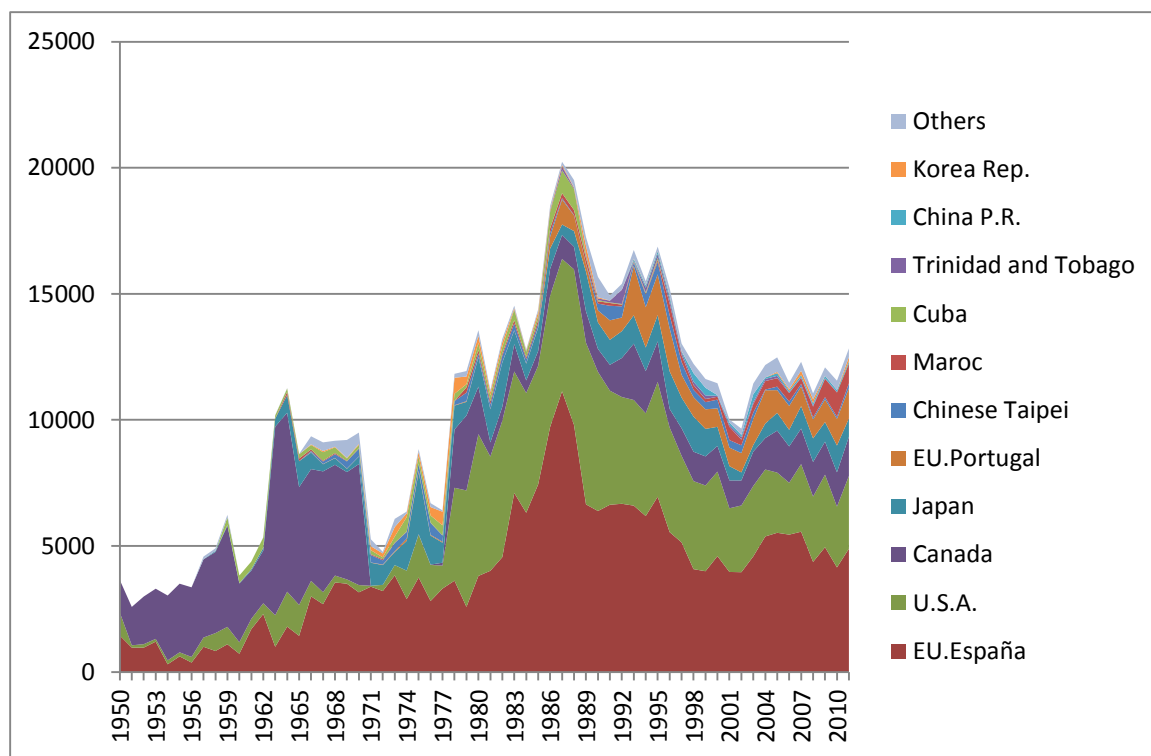


Figure 4 North Atlantic swordfish catch by main flags (1950 - 2011).

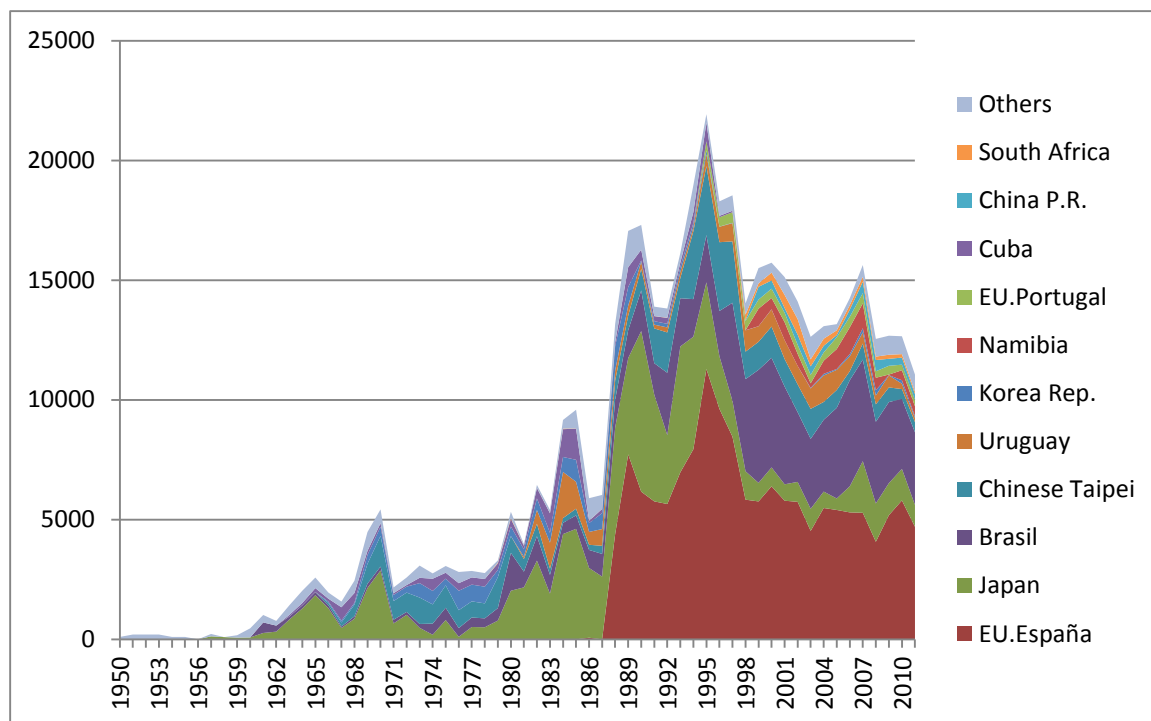


Figure 5 South Atlantic swordfish catch by main flags (1950 - 2011).

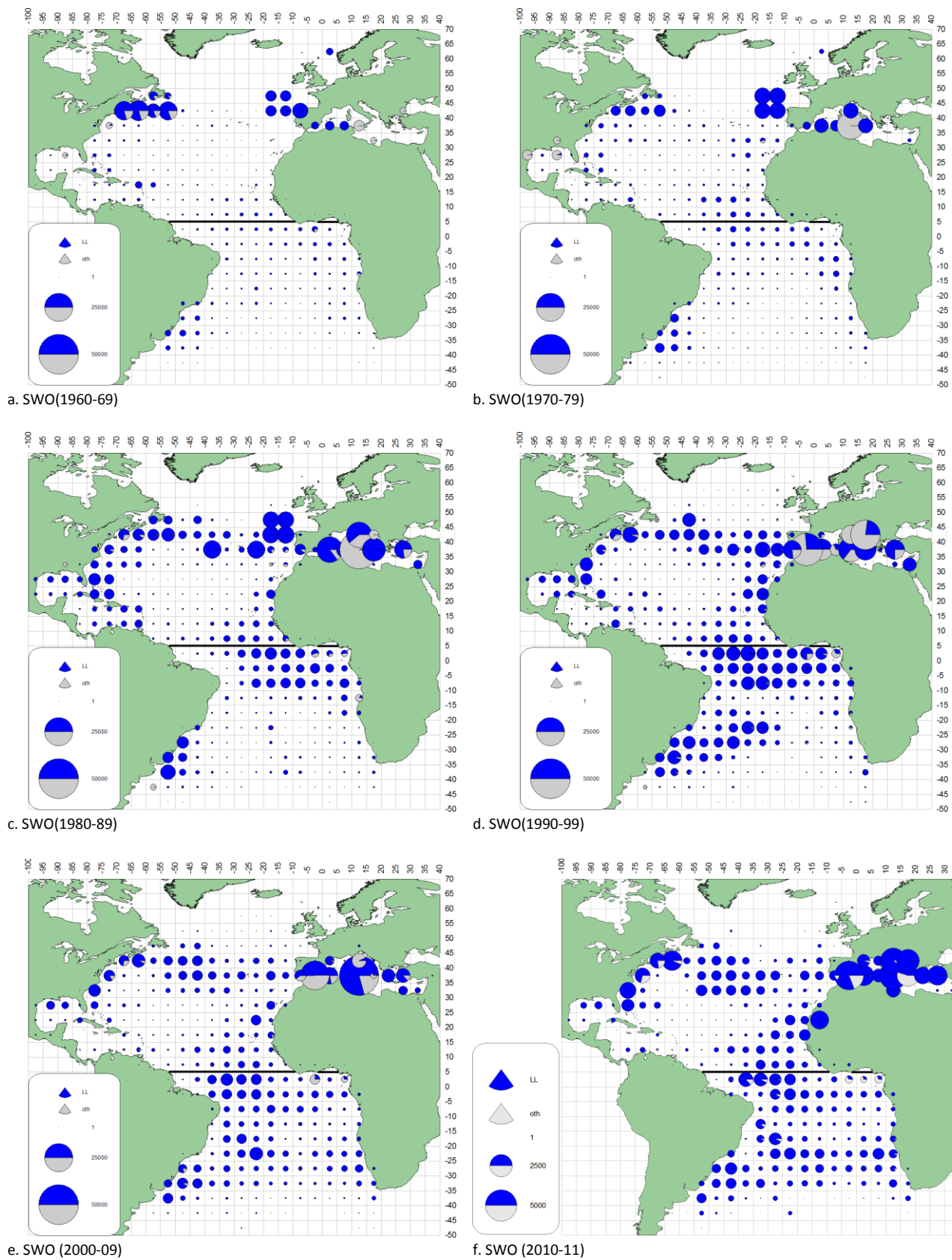


Figure 6 Geographical distribution of Atlantic swordfish (1950-2011) by major gears and decades.

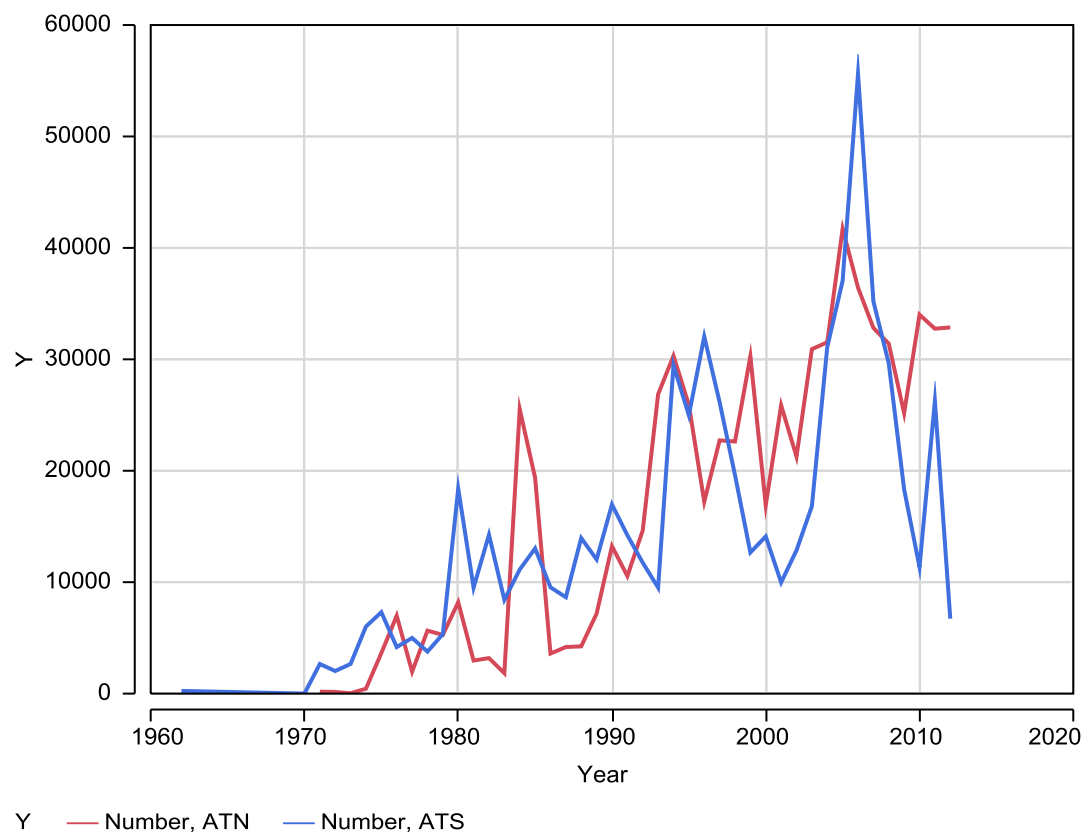
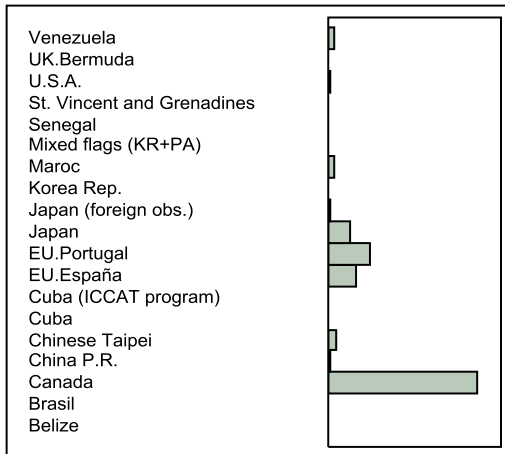


Figure 7 Total number of size measurements of swordfish reported by stock since 1970.

Reporting flag



Type of Gear

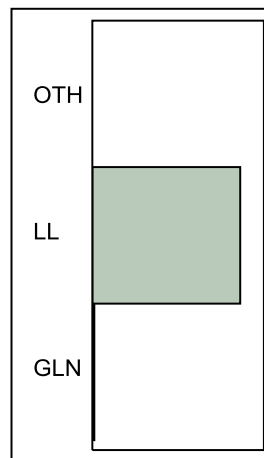
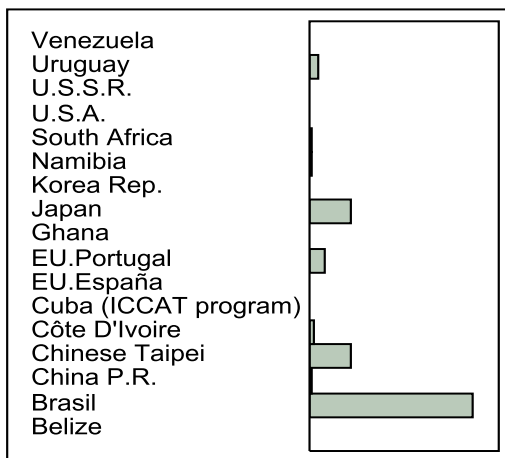
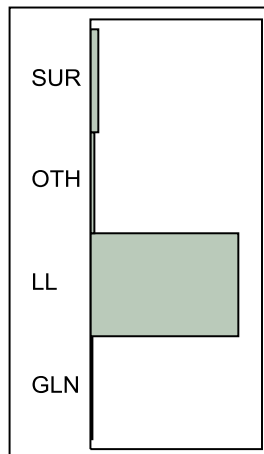


Figure 8 Distributions of swordfish size sample numbers reported by Flag CPCs and type of gear for each stock (on top: North Atlantic stock; on the bottom: South Atlantic stock). Gear type: LL: longline; SUR: surface gears (harpoon, hand lines, rod & reel, sport and trawl); GLN: gillnets; OTH: others (baitboat, mid-water trawls and unknown).

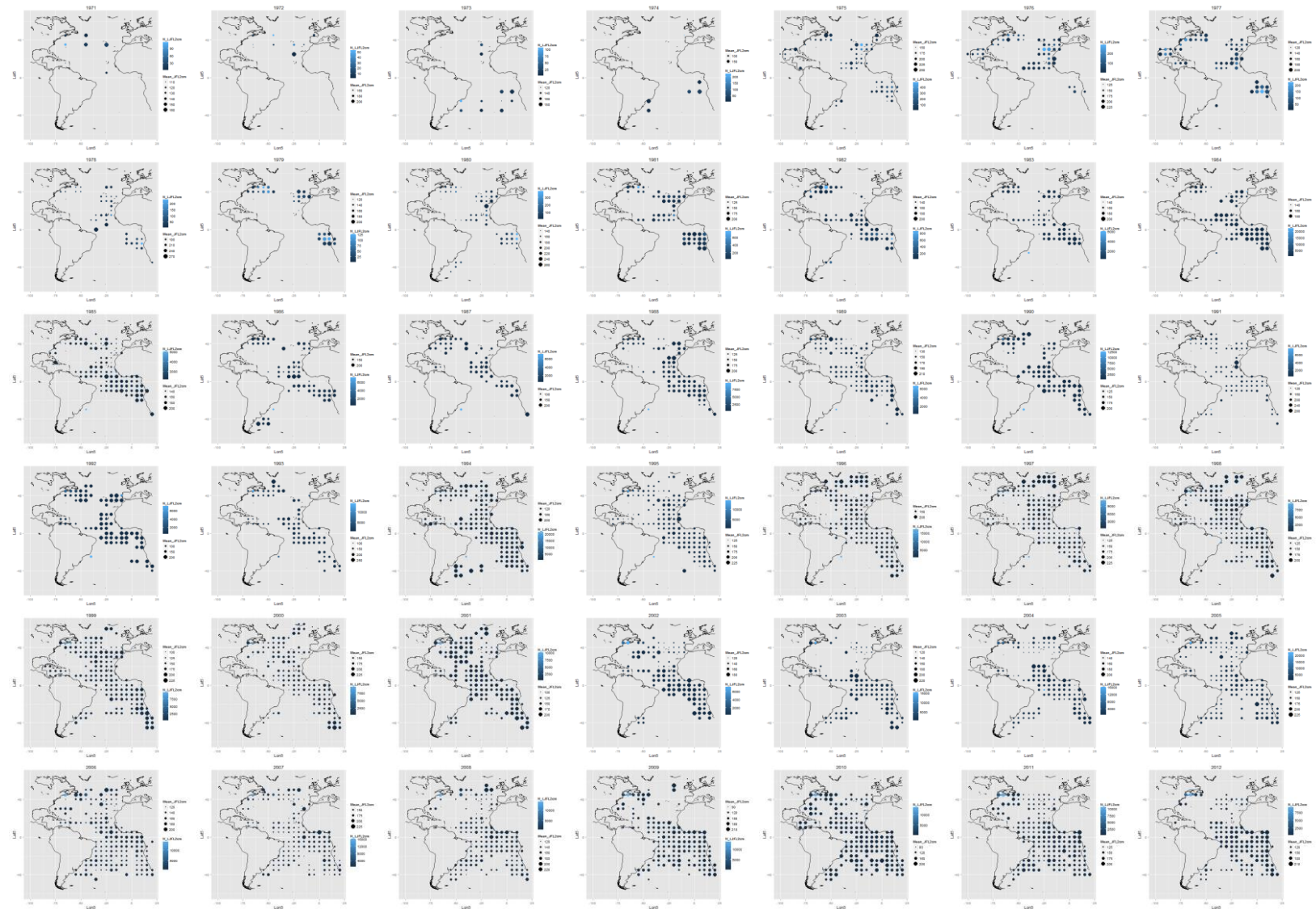


Figure 9 Annual spatial distribution of 5 x 5 degrees of size samples of swordfish from 1971 (top left) to 2011 (increase year by row). Size of the marker is proportional to mean size of the size sample, color shade of the marker is proportional to the number of fish samples by year.

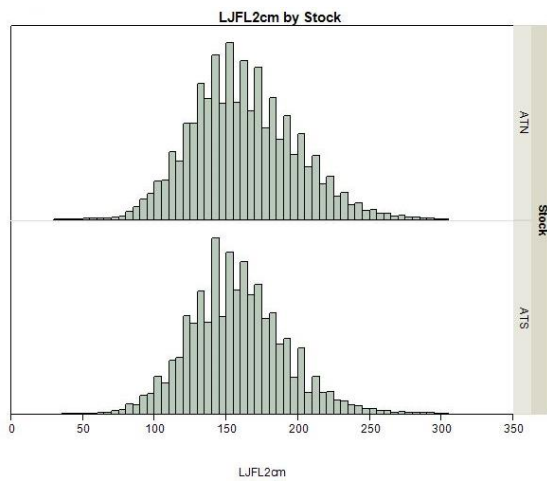
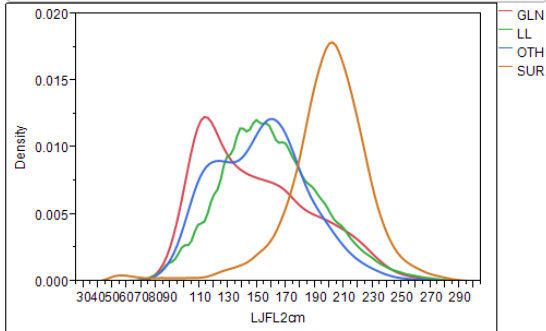


Figure 10 Size (LJFL in cm) frequency distribution of swordfish by stock

Oneway Analysis of LJFL2cm By Gear2 Stock=ATN

Freq Number
Missing Rows 248
Excluded Rows 149427

Compare Densities



Oneway Analysis of LJFL2cm By Gear2 Stock=ATS

Freq Number
Missing Rows 440
Excluded Rows 3329
No Weight Rows 440

Compare Densities

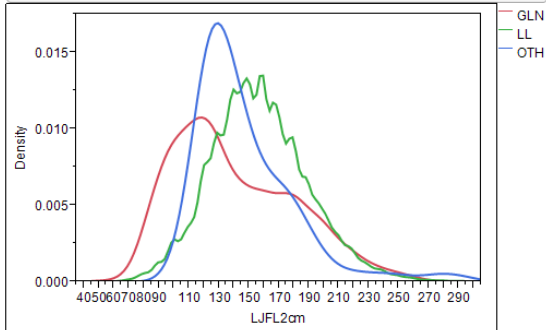


Figure 11 Size (LJFL in cm) density distribution of swordfish by stock and by gear (LL: longline; SUR: surface gears; GLN: gillnets; OTH: others).

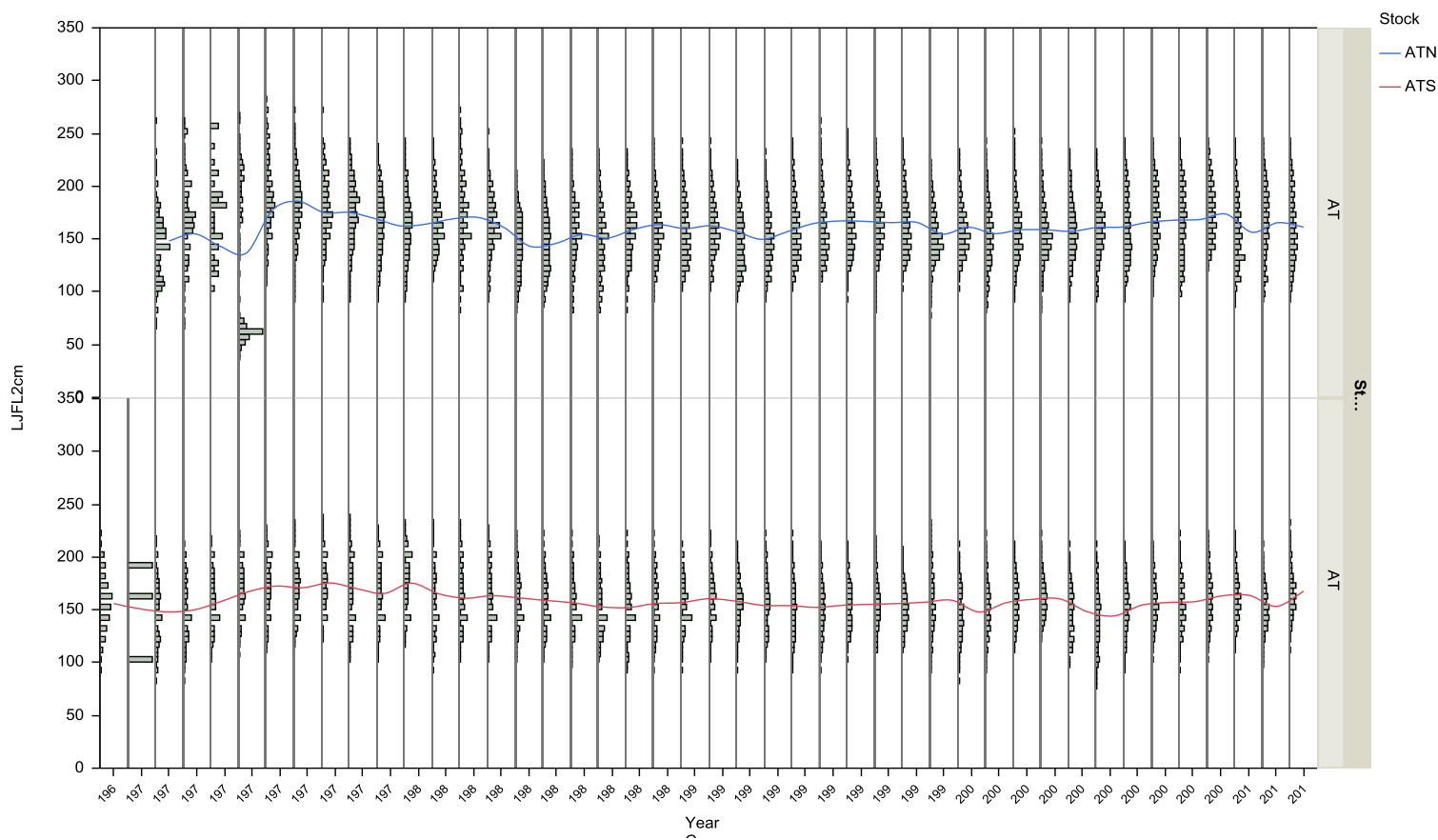


Figure 12 Annual size (LJFL in cm) distribution of Atlantic swordfish by stock. Solid lines represent smoother trends of the data.

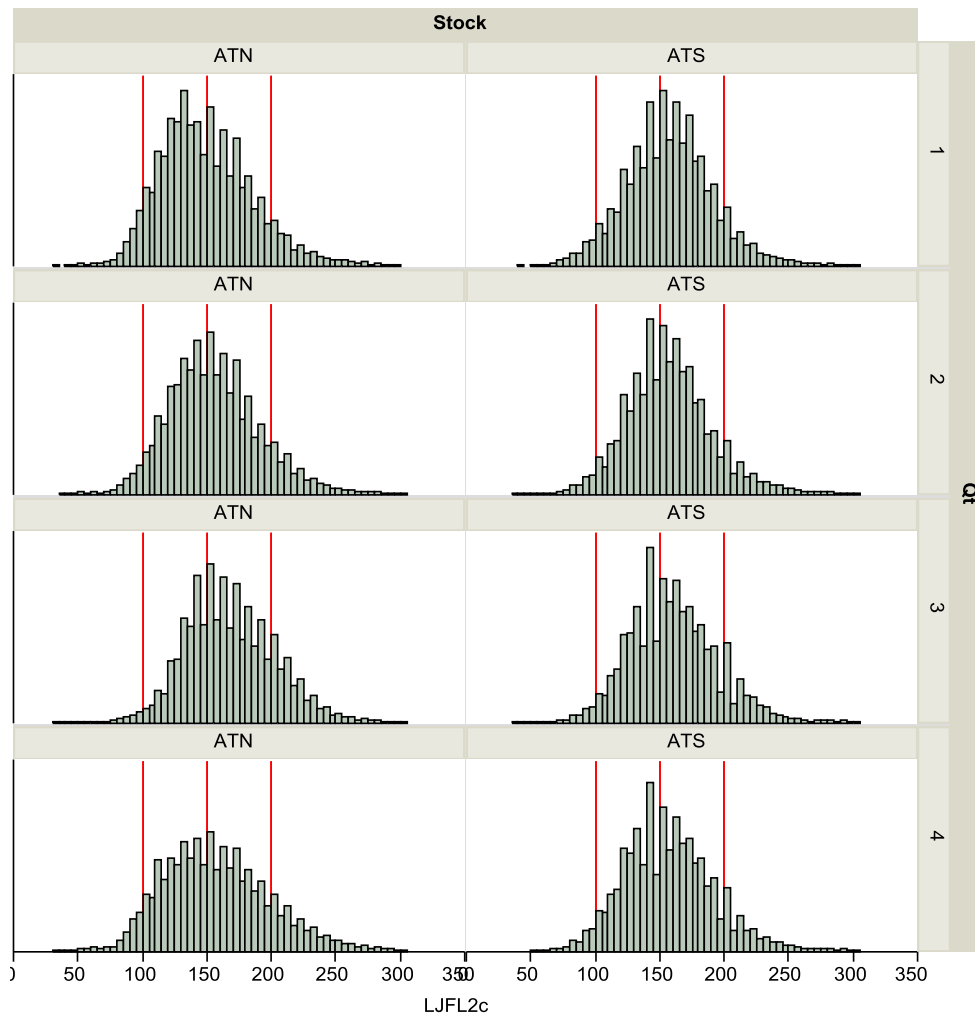


Figure 13 Size frequency distributions of swordfish by stock and calendar quarter.

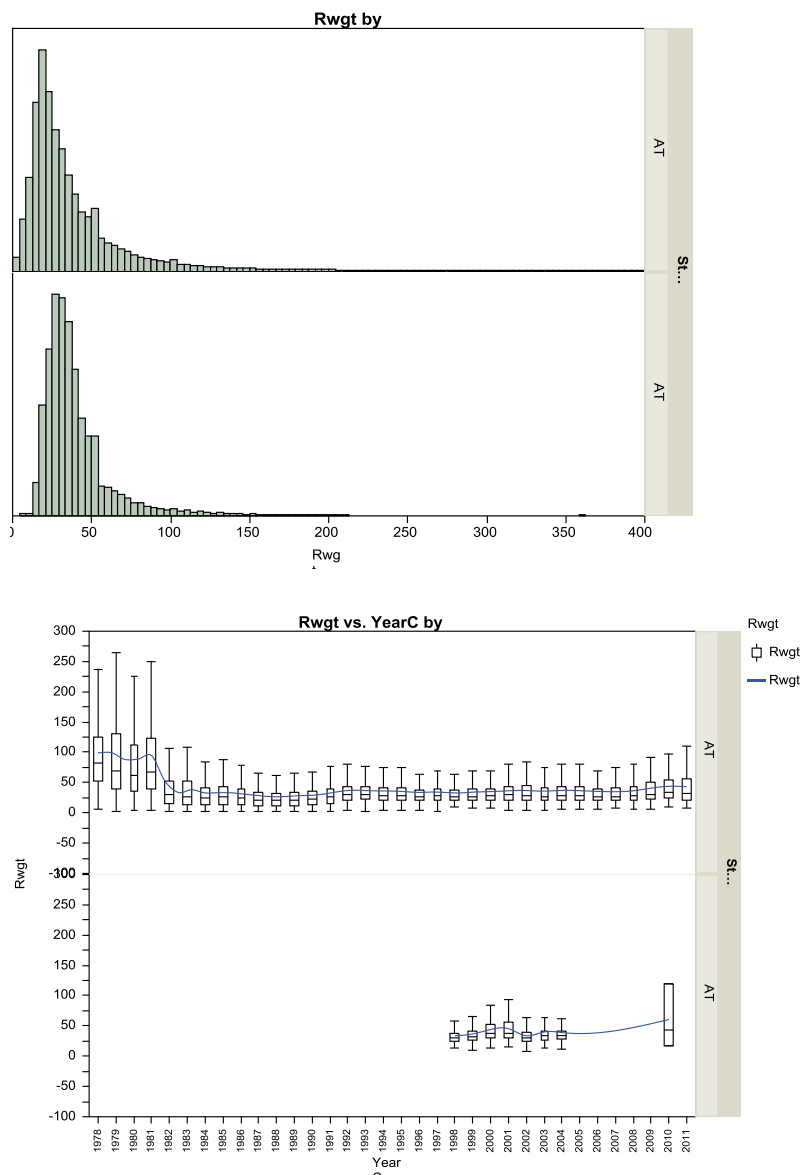


Figure 14 Weight frequency distributions for swordfish from the US-LL fisheries for Atlantic swordfish. Solid line on boxplot shows the smoothed trend of the data.

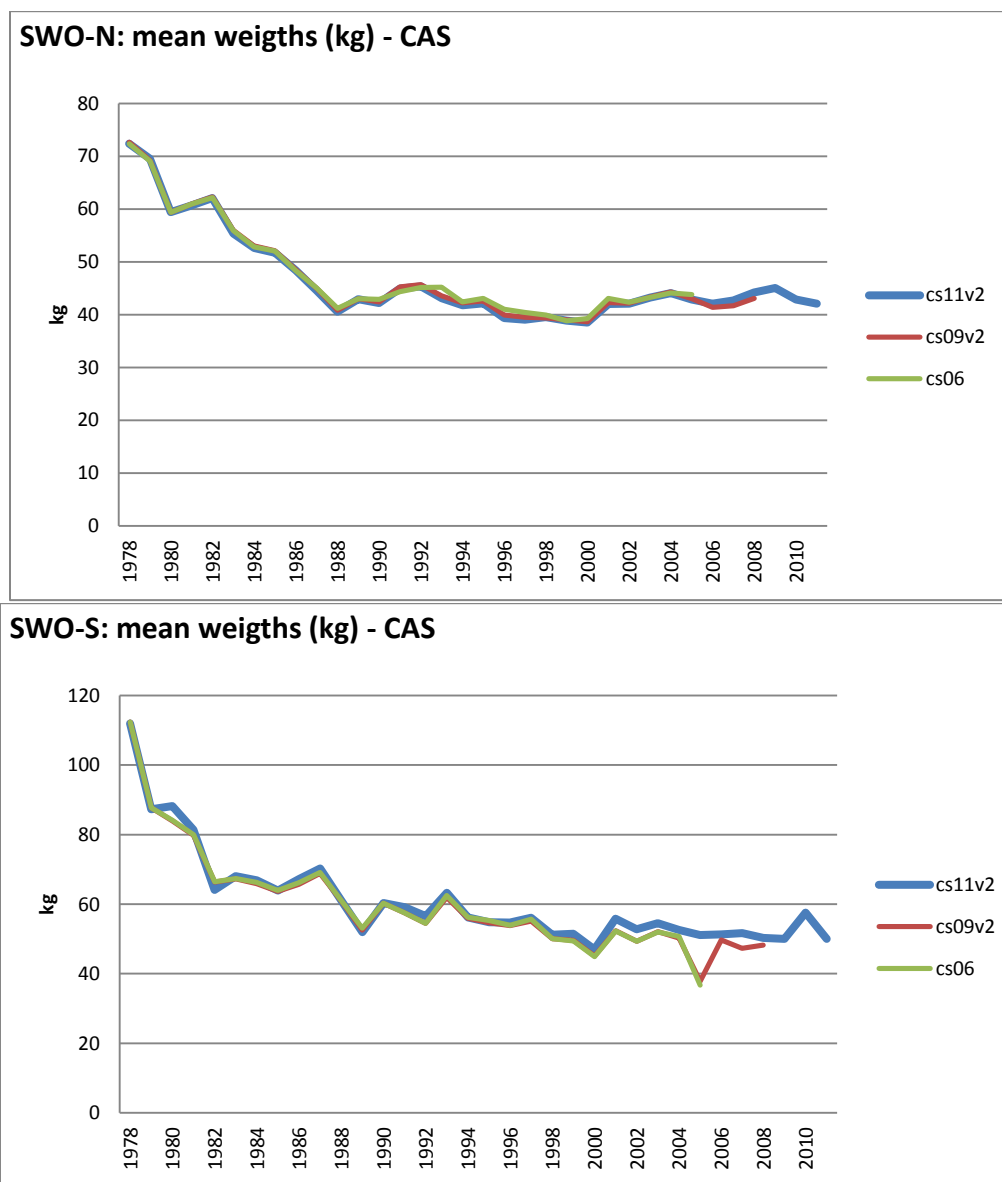


Figure 15 Overall swordfish mean weights (SWO-N: upper; SWO-S: lower) by year obtained from the CAS.

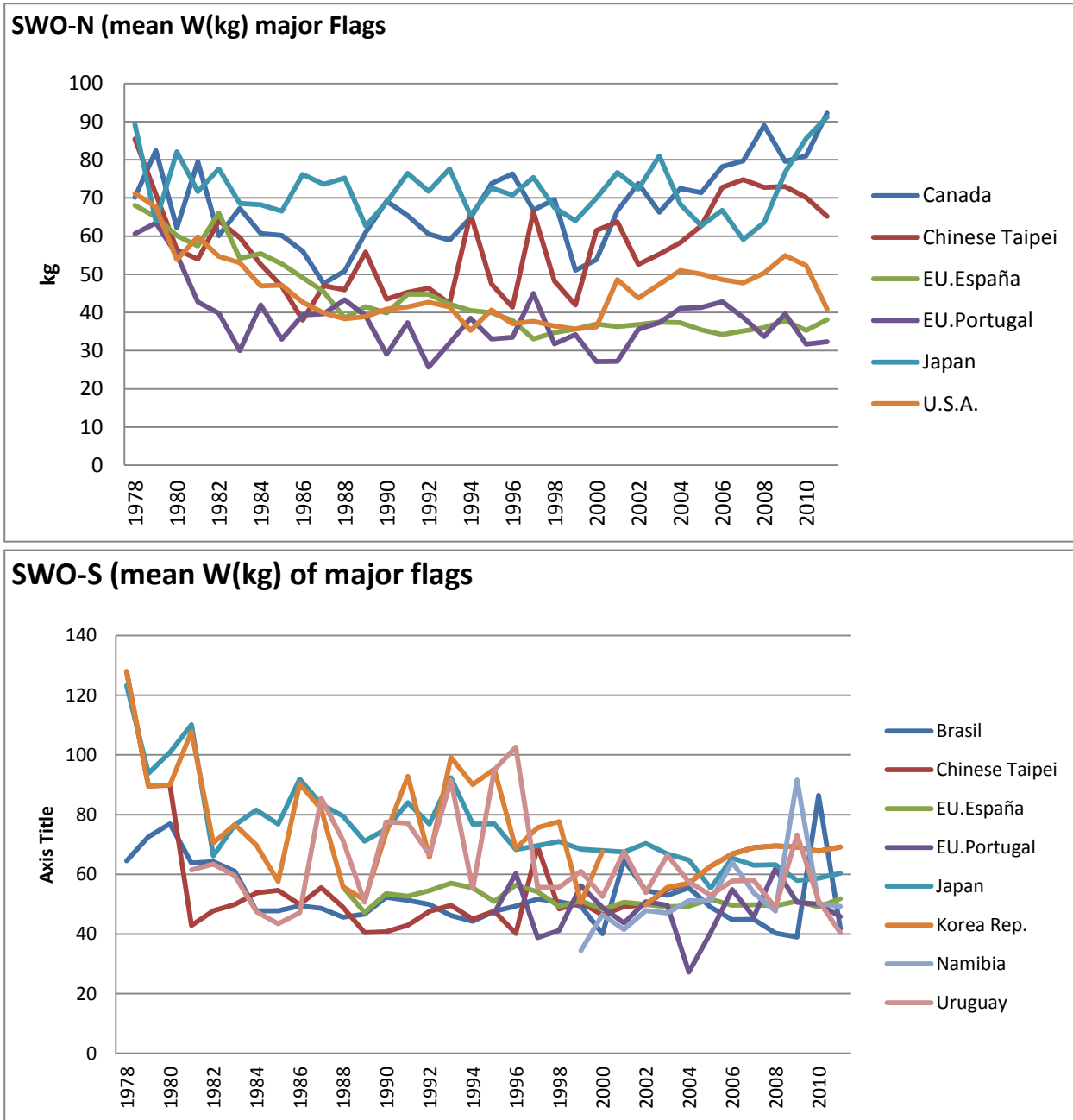


Figure 16 Swordfish mean weights by major flag (SWO-N: upper; SWO-S: lower) and year obtained from the CAS.

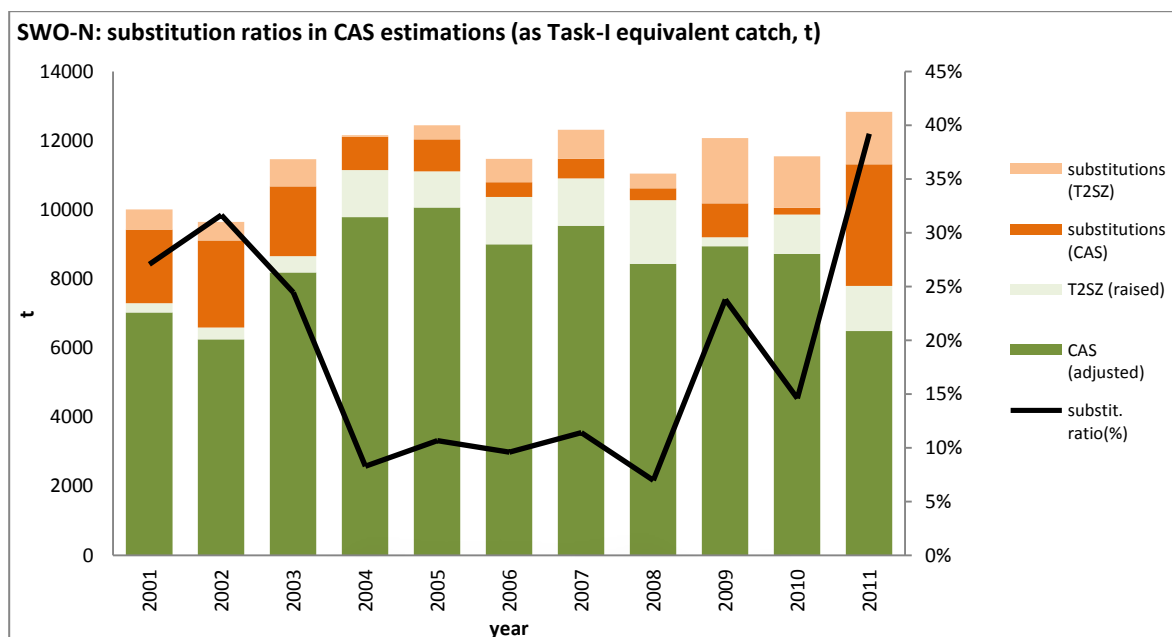


Figure 17 SWO-N CAS: cumulative weight (t, equivalent Task-I catch) of “CAS (adjusted)” (CAS reported with possible adjustments), “T2SZ(raised)” (size frequency samples raised to Task I), and, substitutions made (using CAS or T2SZ). The ratio of the substitutions made is also shown. (Source: CAS substitution tables used to create CAS matrices during 2006, 2009 and current SWO meetings).

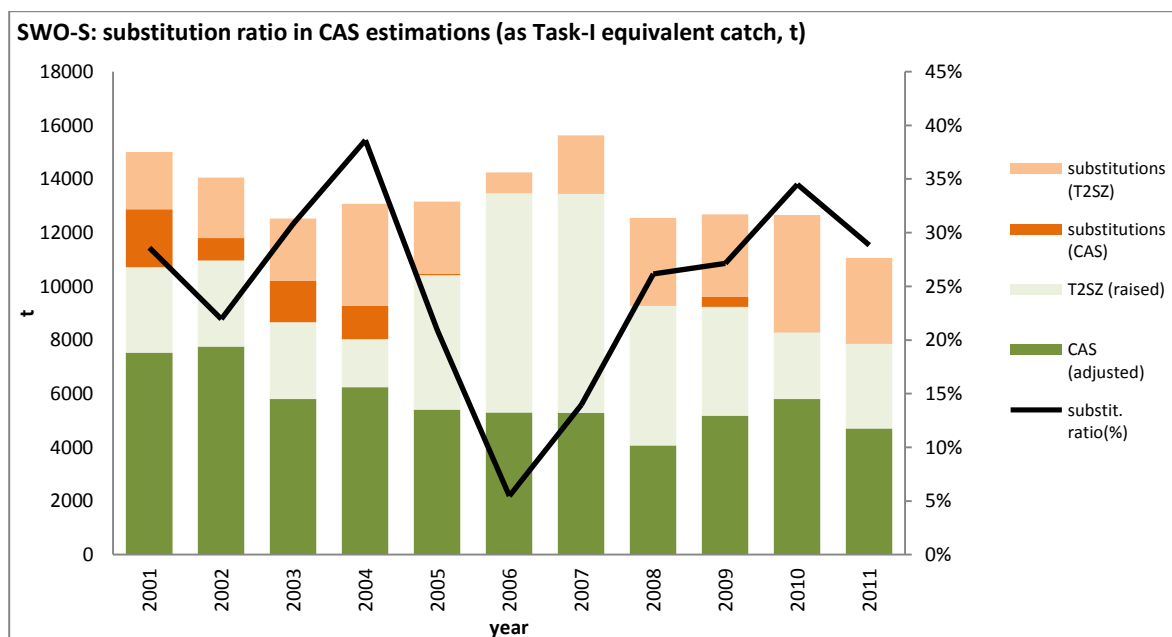


Figure 18 SWO-S CAS: CAS: cumulative weight (t, equivalent Task-I catch) of “CAS (adjusted)” (CAS reported with possible adjustments), “T2SZ(raised)” (size frequency samples raised to Task I), and, substitutions made (using CAS or T2SZ). The ratio of the substitutions made is also shown. (Source: CAS substitution tables used to create CAS matrices during 2006, 2009 and current SWO meetings).

a

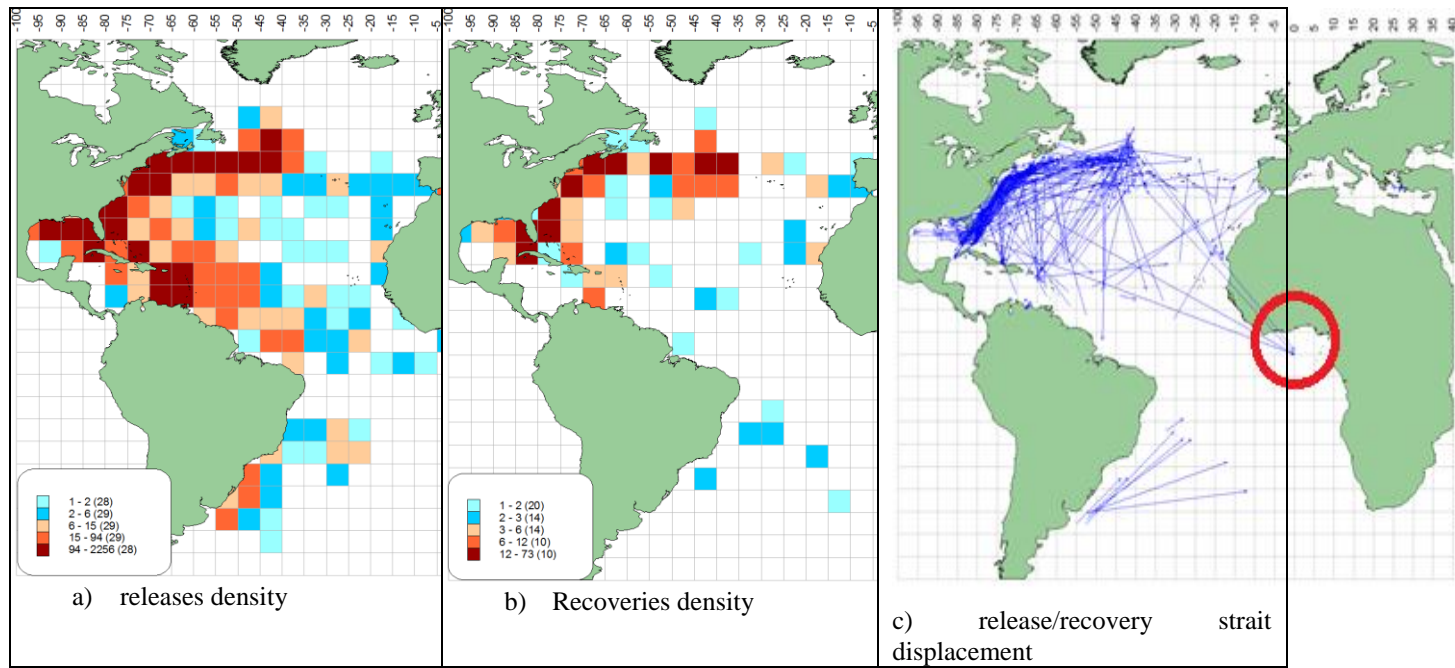


Figure 19 SWO conventional tagging maps (a)-Density of releases; b)-Density of recoveries; c)- Straight displacement between release and recovery locations.

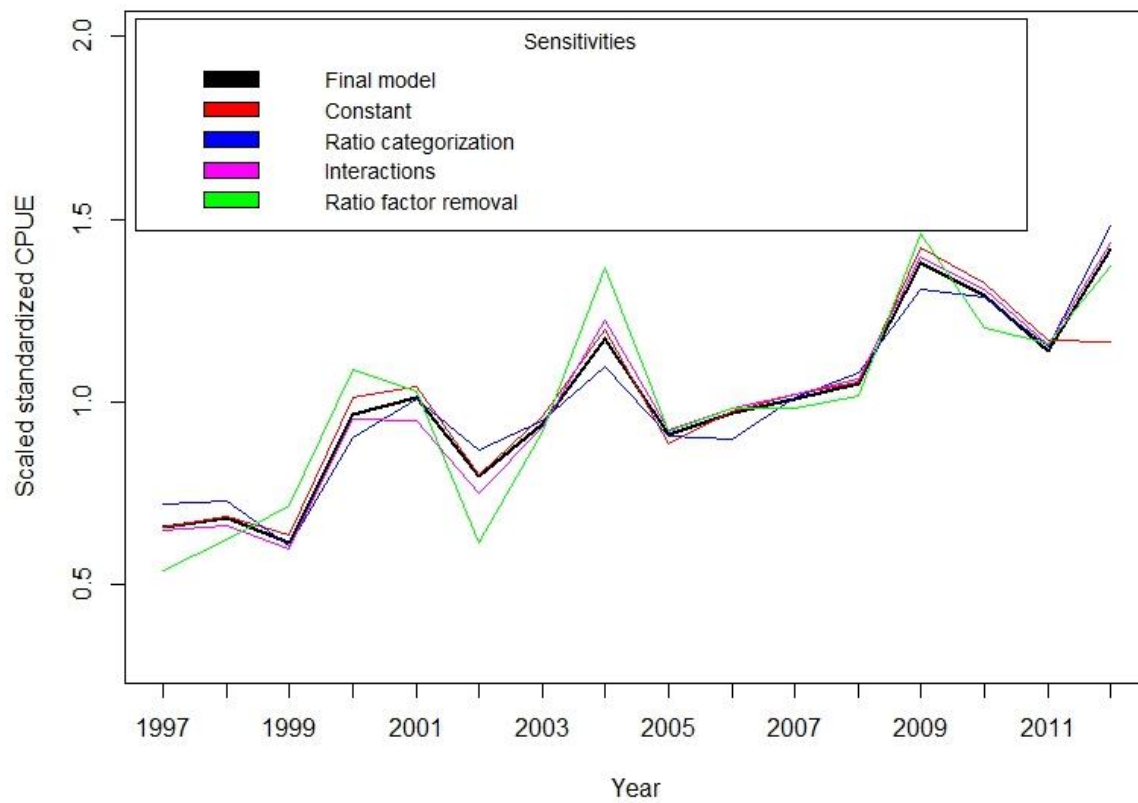


Figure 20 Sensitivities of the estimated model parameters for the standardized CPUE biomass index for the Portuguese longline fishery in the N Atlantic, to some of the model specifications: 1) Constant added to the CPUE, using 1 instead of 10% of the mean (red line); 2) Ratio factor, categorizing by the 10% percentiles instead of the 25% (blue line); 3) Removing the Year:Gear type interaction and the corresponding simple effect of Gear Type (pink line); 4) Removing Ratio factor (green line).

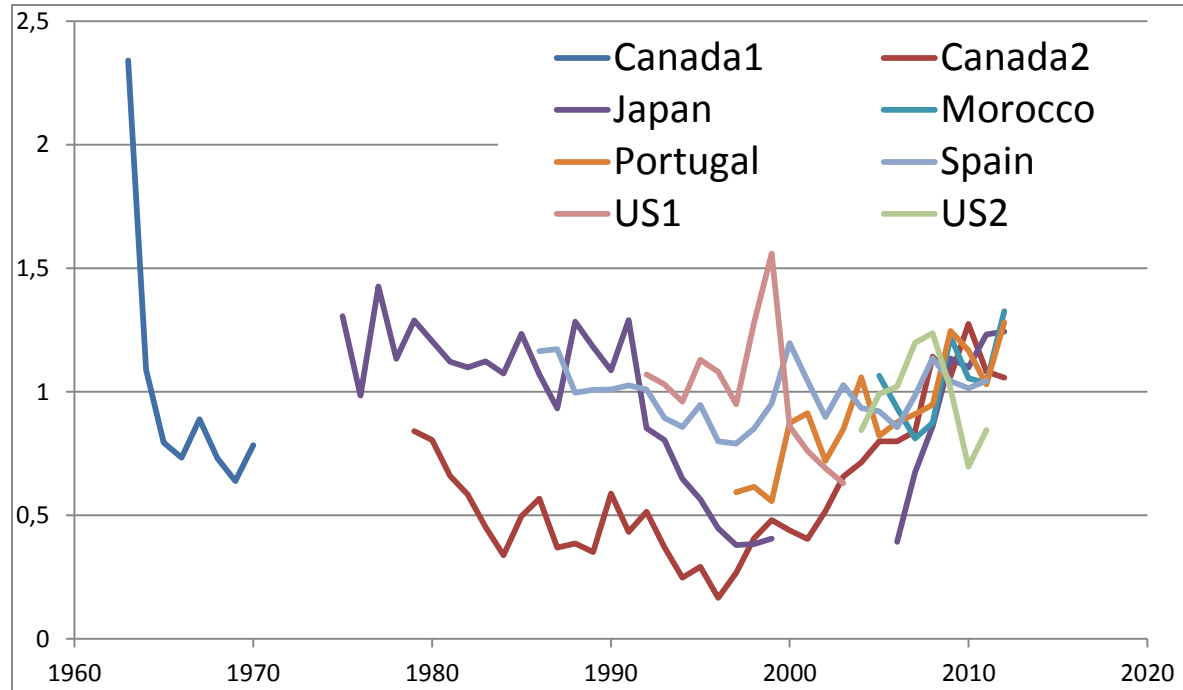


Figure 21 North Atlantic stock CPUE indices in biomass considered suitable for use in the assessment models.

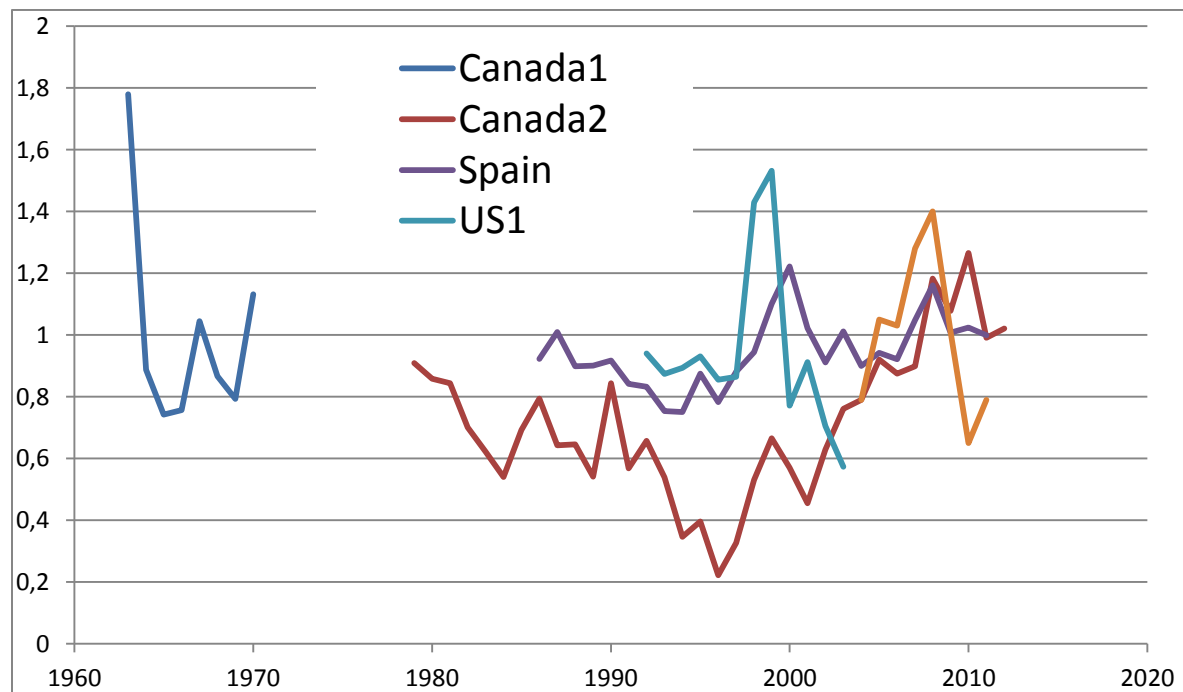


Figure 22 North Atlantic stock CPUE indices in number considered suitable for use in the assessment models. Canada 1 and Canada 2 refer to early and late period indices, US1 and US2 refer to the early and late period of the USA longline.

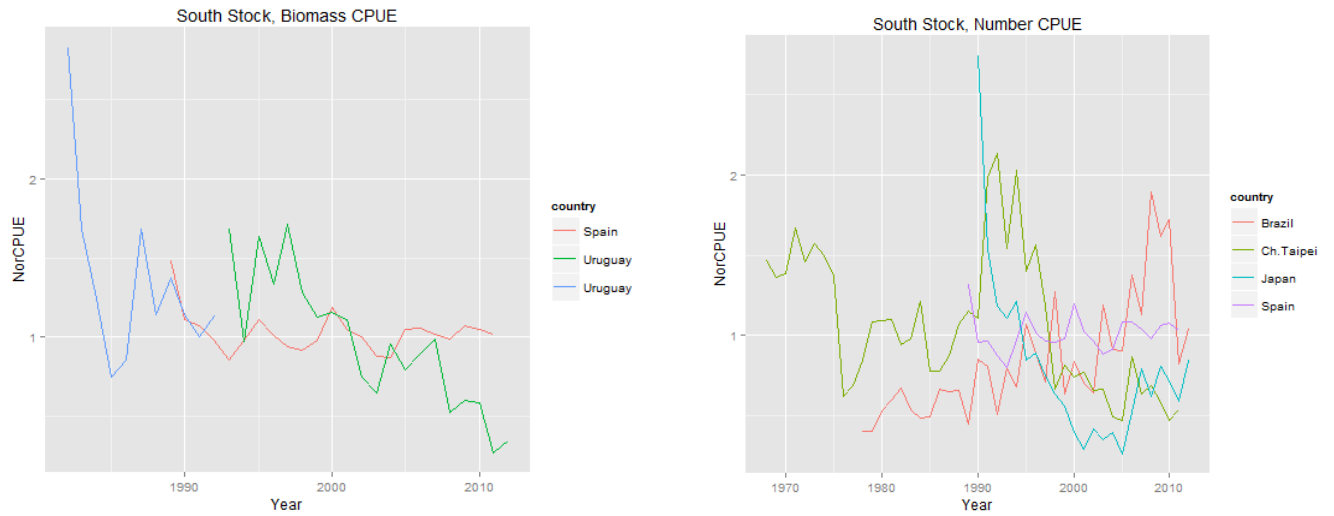


Figure 23 Normalized series of standardized CPUE series for the South Atlantic swordfish in Biomass (left) and number of fish. The series included are those recommended by the Group (see text for further details).

Appendix 1

Tentative Agenda

1. Opening, adoption of the Agenda and meeting arrangements.
2. Review of historical and new information on biology
3. Review of Task I data
4. Review of Task II catch/effort
5. Review of Task II size data
6. Review of CAS, CAA and WAA
7. Review of tagging data.
8. Available modeling approaches
 - ASPIC
 - Bayesian Biomass Dynamics
 - Virtual population Dynamics (VPA)
 - State-space Models
 - Stock Synthesis Model (SS3)
9. Review of available indices of relative abundance by fleet and estimation of combined indices
10. Limit Reference Points – identification and evaluation
11. Recommendations
12. Other matters
13. Adoption of the report and closure

Appendix 2

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Appendix 3

List of Documents

- SCRS/2013/059 A Description of the Canadian swordfish fisheries from 1988 to 2012, and candidate abundance indices for use in the 2013 stock assessment. Andrushchenko I., Hanke A., Whelan, C., Neilson J.D and Atkinson, T.
- SCRS/2013/097 Updated standardized CPUE of swordfish (*Xiphias gladius*) for the Taiwanese longline fishery in the North Atlantic ocean, 1968-2011. Sun C., Su N., and Yeh S.
- SCRS/2013/098 Standardizing catch and effort data of the Taiwanese distant water longline fishery in the South Atlantic ocean for swordfish (*Xiphias gladius*). Sun C., Su N., and Yeh S.
- SCRS/2013/099 Updated catch rates of swordfish from the Moroccan swordfish longline fishery in the North Atlantic, 2005 – 2012. Abid N., Ayoub M. and El Omrani F.
- SCRS/2013/100 A generalized Bayesian Surplus Production stock assessment software (BSP2). McAllister M.K
- SCRS/2013/101 Standardized CPUE of swordfish, *Xiphias gladius*, caught by Uruguayan longliners in the Southwestern Atlantic Ocean (1982-212). Pons M., Forselledo R. and Domingo A.
- SCRS/2013/102 Estimations of non-retained capture of swordfish, *Xiphias gladius*, in the Southwestern Atlantic Ocean. Forselledo R., Mas F., Pons M. and Domingo A.
- SCRS/2013/104 Standardized CPUE for swordfish (*Xiphias gladius*) caught by the Portuguese pelagic longline fishery in the North Atlantic. Santos M.N., Coelho R. and Lino P.
- SCRS/2013/105 Standardized catch rates in biomass for North Atlantic stock of swordfish (*Xiphias gladius*) from the Spanish surface longline fleet for the period 1986-2011. García-Cortés B., Ramos-Cartelle A. and Mejuto J.
- SCRS/2013/106 Standardized catch rates in biomass for South Atlantic stock of swordfish (*Xiphias gladius*) from the Spanish longline fleet for the period 1989-2011. Ramos-Cartelle A., García-Cortés B. and Mejuto J.
- SCRS/2013/107 Standardized catch rates in number of fish by age for the North Atlantic swordfish (*Xiphias gladius*) of the Spanish longline fleet, for the period 1983-2011. Mejuto J., García-Cortés B. and Ramos-Cartelle A.

- SCRS/2013/108 Preliminary standardized catch rates in number of fish by age for the South Atlantic swordfish (*Xiphias gladius*) of the Spanish longline fleet, for the period 1989-2011 assuming a tentative growth model. J. Mejuto, A. Ramos-Cartelle and B. García-Cortés
- SCRS/2013/109 CPUE standardization of the south Atlantic swordfish caught by Japanese longliners for 1990 – 2012. Kai M. and Yokawa K.
- SCRS/2013/110 Standardization of CPUE of swordfish caught by Japanese longliners in the north Atlantic. Yokawa, K. and Kai, M.
- SCRS/2013/111 Updated catch rates of swordfish from the Moroccan swordfish longline fishery in the North Atlantic, 2005 – 2012. Abid N., Ayoub M. and El Omrani F.
- SCRS/2013/116 Standardized catch rates of swordfish from the U.S. dealer landing system with a preliminary consideration of a combined U.S.-CANADA pelagic longline fleet dataset. Walter J., Lauretta M., Hanke A., Andrushchenko I. and Brown C.

Appendix 4

Estimation of length – weight relationship for north and south swordfish stock based on the current available functions adopted by the SCRS

The following relationships length – weight were used in the statistical estimation of single function for the north and south Atlantic SWO. Because the original data was not available, all estimations are based in the expected function values.

| Function values: | | | | | | | | | | |
|-------------------------------|--------|----------|---------------------|--------|-------|------|-------|-------|-----|-------------------|
| Current wgt-size relationship | | | wgt = alpha*SZ^beta | | | | | | | |
| Stock | | alpha | beta | wgt | units | size | units | Range | | Ref |
| North | | | | | | | | | | |
| | NW-ATL | 4.59E-06 | 3.137 | Dress | kg | LJFL | cm | | | Turner 1987 |
| | CN-ATL | 4.20E-06 | 3.2133 | Round | kg | LJFL | cm | 80 | 253 | Mejuto et al 1988 |
| | NE-ATL | 3.43E-06 | 3.2623 | Round | kg | LJFL | cm | 93 | 251 | Mejuto et al 1988 |
| South | | | | | | | | | | |
| | SE-ATL | 4.35E-06 | 3.188 | Gutted | kg | LJFL | cm | 89 | 266 | Mejuto et al 1988 |
| | SW-ATL | 8.00E-07 | 3.4966 | Gutted | kg | LJFL | cm | 75 | 255 | Hazin et al 2001 |

An initial step was to standardized all functions to the same measure units, size in cm for low jaw fork length (LJFL) and weight in kg round weight. For this the following conversion factors were used

| Size to size conversion factors | | | | | | |
|---------------------------------|-----------|----------|---------------------------------|-----------|----------|--------------------------------|
| stock | α | β | function | size pred | size inp | Ref |
| ATL | 7.821534 | 1.089696 | $\alpha + \beta \cdot S_{zinp}$ | LJFL | EFL | Rey Gonzales-Garces 1978 |
| ATL | 10.307257 | 1.255833 | $\alpha + \beta \cdot S_{zinp}$ | LJFL | OPFL | Rey Gonzales-Garces 1979 |
| Wgt to wgt conversion factors | | | | | | |
| stock | α | β | function | wgt pred | wgt inp | Ref |
| | | | $\alpha \cdot wgt^{\beta}$ | = | | |
| N-ATL | 1.324565 | | $\alpha \cdot wgt^{\beta}$ | = | Round | Tuner 1987 & Mejuto et al 1988 |
| S-ATL | 1.14 | | $\alpha \cdot wgt^{\beta}$ | = | Round | Gutted |
| | | | | | | Mejuto et al 1988 |

Once standardized to the same units, the functions parameters (alpha, beta) of the length – weight were re-estimated. The beta parameter is the same independent of the size measurement. Finally, for estimating a single length – weight function, the parameters alpha and beta were calculated as the geometric mean of the standardized functions. For north SWO, the combined function included the NW-ATL, CN-ATL and NE-ATL. For south SWO, the combined function included the SE-ATL and SW-ATL. The resulted parameter functions are given in the table below.

| Wgt - Sze relationship $wgt(kg) = \alpha * Size(LJFL\ cm)^{\beta}$ | | | | | | | | | |
|--|-------------|-------------|-------|-------|------|-------|-------|-----|--------------------------------------|
| stock | alpha | beta | wgt | units | size | units | Range | Ref | |
| N-ATL | 4.45373E-06 | 3.203784011 | Round | kg | LJFL | cm | 80 | 253 | |
| S-ATL | 4.96E-06 | 3.188 | Round | kg | LJFL | cm | 89 | 266 | Mejuto et al 1988 – Hazin et al 2001 |