REPORT OF THE 8th MEETING OF THE WORKING GROUP ON INTEGRATED MONITORING MEASURES

Sapporo, Japan - July 7 to 9, 2013

1. Opening of the Meeting

Mr. Masanori Miyahara (Japan), Commission Chairman, opened the meeting and welcomed participants to Japan.

2. Election of the Chair

At the request of the PWG Chair, due to a small Moroccan delegation size, Mr. Masanori Miyahara volunteered to chair the 8th Working Group on Integrated Monitoring Measures (IMM.)

3. Adoption of Agenda and meeting arrangements

The Agenda was adopted with no changes, and is attached as **Appendix 1** and the List of Participants as **Appendix 2**.

4. Nomination of Rapporteur

Ms. Diana Kramer (United States) was appointed rapporteur.

5. eBCD Implementation

The European Union presented highlights of the Report of the eBCD Working Group Meeting [IMM-017], and related documents, and summarized outstanding issues following the January 2013 meeting and July 6 meeting of the eBCD Working Group. Following a discussion, it was agreed that although all CPCs share the desire to fully implement the eBCD system as originally scheduled for 1 March 2014, technical difficulties with the system preventing full use on a trial basis during 2013 create the need for flexibility in the deadline for full implementation. The eBCD Working Group was tasked with including flexibility in the overall schedule and to continue working on resolving the remaining technical issues. There was consensus that the best option at this point for moving forward and adopting the program at the 2013 annual meeting would be to replace the former recommendation with a new recommendation containing a reference to a separate technical manual that could be amended without amending the BCD recommendation itself. The eBCD Working Group would then meet before the annual meeting to determine what would be included in such a technical manual and in the revised recommendation.

Several CPCs raised sport and recreational fishing issues in relation to eBCD implementation. Several CPCs maintained that BCDs were not necessary for recreational and sport fishing as such catches are prohibited from commercial sale. Another CPC said that such prohibition is clear for eastern bluefin tuna, but not for western bluefin tuna and the recommendation on western bluefin tuna should have a provision similar to the eastern bluefin provision. This will be forwarded to Panel 2 for discussion.

One party noted an inaccuracy in the TRAGSA documents [IMM-008], regarding details of the FLUX project, which should be reflected as solely an EU initiative.

In light of the matters raised by the eBCD technical group, the Secretariat requested to take into account the impact possible contractual changes would have on the overall implementation of the eBCD program.

6. Consideration of technical and practical issues associated with the development of a Catch Certificate Scheme for tuna and tuna-like species

The Chair raised the document submitted by the United States, "U.S. Working Document for Agenda Item 6 on Catch Certification" [IMM-015] [attached as **Appendix 7**] as well as the "Recommendation by ICCAT on a Process Towards the Establishment of a Catch Certification Scheme for Tuna and Tuna-like Species." (Rec. 12-09) [IMM-002].

The United States presented this document to facilitate discussion of the factors listed in Recommendation 12-09 to determine which species would most benefit from development of new catch certification programs. There was an exchange of views on the relative need for new catch certification programs.

After an exchange of views, the Chair suggested that bigeye tuna could be considered a priority for discussion, given SCRS concerns about potential IUU fishing on this stock. It was noted by several CPCs that in situations such as purse seine fishing, it would be difficult to separate bigeye from other stocks, such as skipjack and yellowfin, and due to the interrelated nature of these species in such fisheries, skipjack and yellowfin could also be included as priority stocks for discussion. The Chair recommended that for these priority stocks, concerned CPCs should provide additional analysis of the factors in Recommendation 12-09 and present this information in writing to PWG at the 2013 Commission meeting. The Chair also encouraged those CPCs to present an amended proposal based upon the analysis results

A CPC noted that any new proposed measures should represent an effective use of the limited resources of both the Commission and its members and be considered in the context of the broader goals and objectives of the Commission.

Another CPC stated any potential new certification schemes should ensure consideration for workload and prevent multiple documentation requirements. The Chair noted that duplications of CDS-related documents should be avoided for a given species.

7. Preliminary discussion on development of Catch Certification Scheme on the basis of Item 6

Discussion of Agenda item 7 was included in the discussion of Agenda item 6.

8. FAD Management

The European Union proposed revisions to the "Draft Recommendation by ICCAT Amending the Recommendation on a Multi-Annual Conservation and Management Program for Bigeye and Yellowfin Tunas," [IMM-016], originally presented by the Chairman of Panel 1 at the 2012 annual meeting. The revised version [IMM-016A] was endorsed and will be forwarded to PWG, with the understanding that CPCs will have the opportunity to review the document again in November (attached as **Appendix 3**).

The two model forms in **Appendix 3** [IMM-016A] were endorsed, with the qualification that the tables are models for logbooks showing essential data points, not required documents. The EU stated that the use of standard templates would greatly facilitate the work of the SCRS.

9. Review of Rec 03-14 on VMS and necessary amendments

The Chair recalled a U.S. proposal presented at the 2012 Commission meeting "Draft Recommendation Amending the Recommendation by ICCAT Concerning Minimum Standards for the Establishment of a Vessel Monitoring System (VMS) for the ICCAT Convention Area" to increase VMS frequency from every 6 hours to every 2 hours. This proposal was opened for discussion; however there was no consensus among the CPCs. Increased costs and workload were cited by several CPCs as prohibitive factors in increasing VMS frequencies beyond every 4 hours. Additional expansion of centralized VMS reporting was discussed. Some delegations pointed out the high implementation cost of the centralized system used in WCPFC, while some others noted its merits.

It was noted that detailed information on additional cost projections would help facilitate discussions on the cost-benefit analysis of increasing VMS frequencies. Further discussion was deferred to the PWG to continue at the next Commission meeting in 2013.

10. High sea boarding and inspection

The European Union introduced a working document on a "Draft Recommendation by ICCAT for a Joint International Inspection Scheme" [IMM-009]. The Chair also recalled a prior Canadian proposal from 2008 that is still currently on the table, and opened both documents for discussion.

One CPC stressed the need for reciprocity in high seas boarding and the need for this to be addressed in any proposal. This CPC suggested several options, including that the scheme be voluntary, or that an ICCAT vessel conduct inspections. This CPC suggested including the language "provided it has agreed to participate in the scheme, either through the Commission or through a bilateral agreement with another contracting party."

Another CPC noted in the definition of fishing vessels, container vessels should be included.

Another CPC suggested it should be clarified that the scheme is applicable outside national jurisdictions but within the ICCAT Convention area.

Another CPC stated that inspection should be conducted only by contracting parties.

Another CPC requested that the word "joint" be defined.

Other CPCs raised the inspections schemes in effect in the eastern bluefin tuna fishery and the WCPFC, as well as the relevant provisions in the UN Fish Stocks Agreement, and the need to consider these in future discussions.

Some CPCs recalled that ICCAT has adopted a general scheme in Recommendation 75-02, and emphasized the need to update the existing measure to have a modern inspection program in place.

At the conclusion of discussion, there were remaining fundamental differences between members on inspection schemes, and no consensus on either of the documents. All concerned CPCs were urged to work on both the EU and Canadian proposals to work out a single proposal for the next round of discussions of the IMM Working Group meeting. Progress will also be reported to PWG at the 2013 Commission meeting.

11. Clarification of requirements relating to bycatches of tuna and tuna-like species in non-target fisheries

The issue of ICCAT species caught as bycatch in non-ICCAT fisheries was raised, with reference to prior documents submitted by the European Union. There was a discussion of how to obtain reporting from non-CPCs on ICCAT species bycatch. The Working Group noted that the procedures in recommendation 06-13 set out how the Commission should reach out to non-CPCs to encourage cooperation, including data reporting.

The EU recognized that Recommendation 06-13 clarifies the rules for bycatches of ICCAT species in non-ICCAT fisheries and that this issue does not require further developments.

12. Consideration of unique vessel identifiers (UVI)

Although there is a common desirability for a global UVI, there was no consensus on the specifics of UVI or IMO number requirements. Some CPCs expressed concerns over the lack of a universal number and potentially having one number for ICCAT and another for other RFMOs. The Chair highlighted the positive step forward that the CLAV will be completed by the end of the year. The Secretariat also recalled work done by the five tuna organizations in cooperation with FAO on CLAV.

The United States recalled that at the last IMM meeting, the U.S. proposed some ideas ICCAT could consider to advance UVI for ICCAT, and there are efforts at the global level in this respect. One of those first steps is that ICCAT could require those vessels that are eligible to receive an IMO number. The United States also recalled that IMO took important steps recently to expand its numbering scheme's applicability to fishing vessels, but that the IMO threshold would be 100gt, and many ICCAT vessels not measured by GT.

A CPC requested the ICCAT Secretariat engage w/IHS-F to assess these issues, including which vessels on ICCAT's authorized list that do not already have a number could qualify for one under IHS-Fair play's standard approach for issuing numbers.

Another CPC requested that interested CPCs develop a specific proposal on a UVI for ICCAT, for consideration at the 2013 Commission meeting.

The Chair suggested the definition of fishing vessels should also be considered since a significant number of vessels smaller than 20m were alleged to be fishing for ICCAT species in the Convention area.

PEW environment group made a statement stressing the importance of the identification of fishing vessels, and expressed support for the use of the IMO number as the best option due to its global reach.

13. Review of Recommendation 02-21 on chartering

The European Union presented a "Draft Recommendation by ICCAT on Vessel Chartering," revised to prevent double counting of catches under chartering arrangements. The document was revised so that only the chartering party reports catches to ICCAT. The draft was accepted and will be sent to the Commission for consideration (attached as **Appendix 4**) [IMM-018].

14. Consideration of necessary actions to implement Rec 12-07

The forms on "Port Entry Prior Notification Form" and "ICCAT Port Inspection Report Form" [IMM-004A] were accepted by the IMM Working Group, to be considered by PWG and endorsed by the Commission (attached as **Appendix 5**).

15. Other matters

15.1 Access Agreements

The EU presented a "Draft Recommendation by ICCAT on Access Agreements" [IMM-019], a draft revised recommendation to enhance transparency of access agreements in the water of coastal states, and associated reporting. One key point is to request coastal states to specify national authorities responsible for issuing permits and licenses.

Following comments by CPCs on the draft, a revised version [IMM-019A] was accepted for consideration by the Commission in November (attached as **Appendix 6**).

15.2 VMS Terms of Reference

The European Union informed the Group that the VMS system purchased for Ghana through an ICCAT project for the improvement of data, may not allow Ghana to fully implement ICCAT VMS requirements. Given this complication, the EU raised the possible need to refine the VMS terms of reference for clarity. The Secretariat explained the procedures according to which the VMS was acquired for Ghana, and requested the EU submit a letter on this situation in order to investigate the situation.

15.3 Reports on traceability

The European Union and Japan presented reports on traceability [IMM-013 and IMM-014, respectively].

16. Adoption of Report and adjournment

The Report was adopted. The 8th Meeting of the Working Group on Integrated Monitoring Measures was adjourned.

Appendix 1

AGENDA [IMM-001]

- 1. Opening of the meeting
- 2. Election of Chair
- 3. Adoption of agenda and meeting arrangements
- 4. Nomination of rapporteur
- 5. eBCD implementation
- 6. Consideration of technical and practical issues associated with the development of a Catch Certificate Scheme for tuna and tuna-like species
- 7. Preliminary discussion on development of catch certification scheme on the basis of Item 6
- 8. FAD Management
- 9. Review of Rec. 03-14 on VMS and consideration of necessary amendments
- 10. Review of at-sea vessel sighting and inspection programs, including high seas boarding and inspection, and consideration of necessary measures
- 11. Clarification of requirements relating to by-catches of tuna and tuna-like species in non-targeted fisheries
- 12. Consideration of Unique Vessel Identifiers and other requirements for vessel listing in the context of the CLAV
- 13. Review of Recommendation 02-21 on chartering issues, and proposal of amendments if appropriate
- 14. Consideration of necessary actions to implement Rec. 12-07
- 15. Other matters
- 16. Adoption of Report and adjournment

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Appendix 3

DRAFT RECOMMENDATION BY ICCAT AMENDING THE RECOMMENDATION ON A MULTI-ANNUAL CONSERVATION AND MANAGEMENT PROGRAM FOR BIGEYE AND YELLOWFIN TUNAS [IMM-016A]

Proposal by the EU

CONSIDERING that the multi-annual program for the medium-term is intended to contribute to the conservation and sustainable management of the bigeye and yellowfin tuna fisheries;

RECALLING recommendations released by the Standing Committee on Research and Statistics (SCRS) to address the lack of reliable data collection mechanisms, particularly in bigeye and yellowfin tuna fisheries carried on in association with objects that could affect fish aggregation, including Fish Aggregating Devices (FADs);

ACKNOWLEDGING that requirements on the recording of the catch and fishing activities in FADs fisheries set out in Recommendation 11-01 don't allow the SCRS to assess properly technical conservation measures, particularly those based on possible spatial and temporal closures,

RECOGNIZING the necessity to adopt data collection and transmission mechanisms to allow improvement of the monitoring and the scientific assessment of the related fisheries and associated stocks;

NOTING a lack of knowledge of the FAD fisheries in the Gulf of Guinea region and that the SCRS has highlighted the chronic data deficiencies in that region;

RECALLING the development of provisions related to FAD management plans in other tuna RFMOs;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

- 1) Paragraph 18 of the ICCAT Recommendation 11-01 shall be replaced as follows:
- 18. CPCs shall ensure that all purse-seine and bait-boat fishing vessels and all support vessels (including supply vessels) flying their flag, when fishing in association with fish aggregating devices (FADs), including objects that could affect fish aggregation, shall collect and report the following information and data in a FAD-logbook:
 - a) the date of deployment of FADs,
 - b) Any visit on FADs;
 - c) For each visit on a FAD, whether followed or not by a set, the,
 - i. position,
 - ii. date,
 - iii. FAD identifier (i.e., FAD Marking or beacon ID or any information allowing to identify the owner)
 - iv. FAD type (anchored FAD, drifting natural FAD, drifting artificial FAD),
 - v. FAD design characteristics (dimension and material of the floating part and of the underwater hanging structure),
 - vi. type of the visit (deployment, hauling, retrieving, loss, intervention on electronic equipment).
 - d) If the visit is followed by a set, the results of the set in terms of catch and by-catch, whether retained or discarded dead or alive.

In establishing the FAD-logbook, CPCs may use the reporting formats laid down in Annexes I and II.

2) Paragraph 19 of the ICCAT Recommendation 11-01 shall be replaced as follows:

19. CPCs shall ensure that:

- a) the fishing logbooks referred to in paragraph 17 and the FAD-logbooks referred to in paragraph 18 are promptly collected and made available to national scientists;
- b) the Task II data include the information collected from the fishing and FAD logbooks and is submitted on a yearly basis to the ICCAT Executive Secretariat, to be made available to the SCRS.
- c) the following information is submitted on a yearly basis to the Executive Secretary, to be made available to the SCRS:
 - i. an inventory of all support vessels associated with purse-seine or baitboat fishing vessels flying their flag, detailing their identification, main characteristics and the fishing vessels they are associated with.
 - ii. the number of FADs actually deployed on a quarterly basis, by FAD type, indicating the presence or absence of a beacon associated to the FAD,
- iii. for each support vessel, the number of days spent at sea, per 1° grid area, month and flag State.
- 19. bis To facilitate the submission of the information referred to in paragraph 19a) above, the Executive Secretary shall design or modify electronic forms, as appropriate.

Annex 1

FAD Identifier		FAD and electronic	equipment types	FAD Design charac	D Design characteristics				
			Type of the	FAD floating part		FAD underwater ha			
FAD Marking	Associated beacon ID	FAD Type	associated beacon		Materials	Dimensions	Materials	Observation	
(1)	(1)	(2)	(3)	(4)	(5)	(4)	(6)	(7)	
	•••								

- (1) If FAD marking and associated beacon ID are absent or unreadable, mention it and provide all available information which may help to identify the owner of the FAD
- (2) Anchored FAD, drifting natural FAD or drifting artificial FAD
- (3) e.g., GPS, sounder, etc. If no electronic device is associated to the FAD, note this absence of equipment
- (4) e.g., width, length, high, depth, mesh sizes, etc.
- (5) Mention the material of the structure and of the cover and if biodegradable.
- (6) e.g. nets, ropes, palms, etc... and mention the entangling and/or biodegradable features of the material.
- (7) Lighting specifications, radar reflectors and visible distances shall be reported in this section.

Annex 2

FAD marking	Beacon ID	FAD type	Type of visit	Date	Time	Position		Estima	Estimated catches By-catch					Observations	
						Latitude	Longitude	SKJ	YFT	BET	Taxonomic group	Estimated catches	Unit	specimen released alive	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(7)	(8)	(8)	(8)	(9)	(10)	(11)	(12)	(13)
				•••											

- (1) If FAD marking and associated beacon ID are absent or unreadable, report it in this section.
- (3) Anchored FAD, drifting natural FAD or drifting artificial FAD.
- (4) *i.e.*, deployment, hauling, retrieving, changing the beacon, loss and mention if the visit has been followed by a set.
- (5) dd/mm/yy
- (6) hh:mm
- (7) °N/S/mm/dd or °E/W/mm/dd.
- (8) Estimated catches expressed in metric tons
- (9) Use a line per taxonomic group.
- (10) Estimated catches expressed in weight or in number.
- (11) Unit used.
- (12) Expressed as number of specimen
- (13) If no FAD marking neither associated beacon ID is available, report in this section all available information which may help to describe the FAD and to identify the owner of the FAD.

Appendix 4

DRAFT RECOMMENDATION BY ICCAT ON VESSEL CHARTERING [IMM-018A]

Submitted by EU

RECOGNIZING that, under the ICCAT Convention, Contracting Parties shall cooperate in maintaining the populations of tuna and tuna-like fish at levels that will permit the maximum sustainable catch;

RECALLING that, according to Article 92 of the United Nations Convention on the Law of the Sea, of 10 December 1982, ships shall sail under the flag of one State only and shall be subject to its exclusive jurisdiction on the high seas except as otherwise provided in relevant international instruments,

ACKNOWLEDGING the needs and interests of all States to develop their fishing fleets so as to enable them to fully utilize the fishing opportunities available to them under relevant ICCAT recommendations;

MINDFUL that the practice of charter arrangements, whereby fishing vessels do not change their flag, might seriously undermine the effectiveness of conservation and management measures established by ICCAT unless properly regulated;

REALIZING that there is a need for ICCAT to regulate charter arrangements with due regard to all relevant factors;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

The chartering of fishing vessels, other than bareboat chartering, shall observe the following provisions:

- 1. Charter arrangements may be allowed, predominantly as an initial step in the fishery development of the chartering nation. The period of the chartering arrangement shall be consistent with the development schedule of the chartering nation.
- 2. Chartering nations shall be Contracting Parties to the ICCAT Convention.
- 3. Fishing vessels to be chartered shall be registered to responsible Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities or Fishing Entities or Fishing Entities, which explicitly agree to apply ICCAT conservation and management measures and enforce them on their vessels. All flag Contracting Parties or Cooperating non-Contracting Parties, Entities or Fishing Entities concerned shall effectively exercise their duty to control their fishing vessels to ensure compliance with ICCAT conservation and management measures.
- 4. Both the chartering Contracting Party and the flag Contracting Parties or Cooperating non-Contracting Parties, Entities or Fishing Entities shall ensure compliance by chartered vessels with relevant conservation and management measures established by ICCAT, in accordance with their rights, obligations and jurisdiction under international law.
- 5. Catches taken pursuant to the chartering arrangement of vessels that operate under these provisions shall be counted against the quota or fishing possibilities of the chartering Contracting Party.
- 6. The chartering Contracting Party shall report to ICCAT catches and other information required by SCRS.
- 7. Vessel Monitoring Systems (VMS) and, as appropriate, tools for differentiation of fishing areas, such as fish tags or marks, shall be used, according to the relevant ICCAT measures, for effective fishery management.
- 8. There shall be observers on board at least 10% of the chartered vessels, or during 10% of the fishing time of the chartered vessels.

- 9. The chartered vessels shall have a fishing license issued by the chartering nation, and shall not be on the ICCAT IUU list as established by the *Recommendation by ICCAT to Establish a List of Vessels Presumed to Have Carried Out Illegal, Unreported and Unregulated Fishing Activities in the ICCAT Convention Area* [02-23].
- 10. When operating under charter arrangements, the chartered vessels shall not, to the extent possible, be authorized to use the quota or entitlement of the flag Contracting Parties or Cooperating non-Contracting Parties, Entities or Fishing Entities. In no case, shall the vessel be authorized to fish under more than one chartering arrangement at the same time.
- 11. Unless specifically provided in the chartering arrangement, and consistent with relevant domestic law and regulation, the catches of the chartered vessels shall be unloaded exclusively in the Ports of the chartering Contracting Party or under its direct supervision in order to assure that the activities of the chartered vessels do not undermine ICCAT conservation and management measures. The chartering company must be legally established in the chartering Contracting Party.
- 12. Any transshipment at sea shall be consistent with the 1997 *Recommendation by ICCAT on Transshipments* and *Vessel Sightings* [97-11]. Any transshipment at sea shall also be previously and duly authorized by the chartering nation and shall occur only under the supervision of an observer on board.
- 13. a) At the time the chartering arrangement is made, the chartering Contracting Party shall provide the following information to the Executive Secretary:
 - i the name (in both native and Latin alphabets) and registration of the chartered vessel;
 - ii the name and address of the owner(s) of the vessel;
 - iii the description of the vessel, including the length, type of vessel and the type of fishing method(s);
 - iv species of fish covered by the charter and quota allocated to the chartering Party;
 - v the duration of the chartering arrangement;
 - vi the consent of the flag Contracting Party or Cooperating non-Contracting Party, Entity or Fishing Entity; and
 - vii the measures adopted to implement these provisions.
 - b) At the time the chartering arrangement is made, the flag Contracting Party or Cooperating non-Contracting Party, Entity or Fishing Entity shall provide the following information to the Executive Secretary:
 - i its consent to the chartering arrangement; and
 - ii the measures adopted to implement these provisions.
 - iii its agreement to comply with ICCAT conservation and management measures.
 - c) Both the chartering Contracting Party and the flag Contracting Party or Cooperating non-Contracting Party, Entity or Fishing Entity shall inform the Executive Secretary of the termination of the charter;
 - d) The Executive Secretary of ICCAT shall circulate all the information without delay to all Contracting Parties and Cooperating non-Contracting Parties, Entities or Fishing Entities.
- 14. The chartering Contracting Party shall report to the Executive Secretary of ICCAT by July 31 each year, and for the previous calendar year, the particulars of charter arrangements made and carried out under this recommendation, including information of catches taken and fishing effort deployed by the chartered vessels, in a manner consistent with confidentiality requirements.
- 15. Each year the Executive Secretary of ICCAT shall present a summary of all the chartering arrangements to the Commission which, at its annual meeting, shall review compliance with this recommendation.
- 16. Recommendation [02-21]is repealed and replaced by the present Recommendation.

Appendix 5

PORT ENTRY PRIOR NOTIFICATION FORM [IMM-004A]

Information to be provided in advance by vessels requesting port entry

1. Inten	ided po	ort of	call												
2. Port	ort State														
3. Estimated date and time of arrival															
4. Purpose(s)															
5. Port and date of last port call															
6. Nam	e of th	e vess	sel												
7. Flag	State														
8. Type	of ves	sel													
9. Inter	nation	al Ra	dio Call	Sign											
10. Vess	sel con	tact i	nformati	on											
11. Vess	sel owr	ner(s)													
12. Cer	tificate	e of r	egistry II)											
13. IM	O ship	ID, if	f availabl	le											
14. Ext	3. IMO ship ID, if available 4. External ID, if available														
15. ICC	CAT ID)													
16. VMS No							Yes					Туре			
17. Vess	sel dim	ensio	ns]	Length					Bear	m		Dra	ft	
18. Vess	sel mas	ster n	ame and	natio	onality										
19. Rel	evant f	ishin	g author	izatio	n(s)										
Identij	fier	Iss	ued by		Validit	y		Fishin	g ar	ea(s)	S	pecies			Gear
20. Rel	evant t	ranss	shipment	auth	orization	ı(s)									
Identifie	er			ssuea	l by					Valid	ity				
Identifie									Valid	ity					
21. Tra	Fransshipment information concerning donor														
Date								ID			Pro	duct	Catch area		Quantity
22. Total catch onboard												23. Ca	tch to be 1	and	ed/transhipped
Species Product form Catch area															

ICCAT Port inspection report form

1. Inspection report i				2. Poi	rt Sta	ate						
3. Inspecting authority												
4. Name of principal						ID						
5. Port of inspection												
6. Commencement of		YYYY MM					DD		НН			
7. Completion of insp	YYY	YY			MN	1		DD		НН		
8. Advanced notification received					Yes					No		
9. Purpose(s) LAN TRX					PRO		O'	TH (s	specify)			
10. Port and State	and date	of last					•	7	YYYY	M	ſМ	DD
port call												
11. Vessel name			•					•				
12. Flag State												
13. Type of vessel												
14. International Rac	lio Call S	ign										
15. Certificate of reg	istry ID				•							
16. IMO ship ID, if a	vailable		•									
17. External ID , if a	vailable											
18. Port of registry					•							
19. Vessel owner(s)												
20. Vessel beneficial	owner(s),	if kno	wn ai	nd								
different from vessel	owner											
21. Vessel operator(s)	, if differ	ent fron	n vess	sel								
owner												
22. Vessel master nar	ne and na	tionalit	y	•								
23. Fishing master na	ame and n	ational	ity									
24. Vessel agent												
25. VMS	No		Yes							Ту	pe:	
26. Status in ICCAT,	including	any IU	JU ve	ssel l	isting							
Vessel identifier	RFMO		Flag	g Sta	te status	Ves	sel on	autl	horized ve	ssel	Vessel on	IUU vessel
						list					list	
									·			

27. Relevan	t fishi	ng autl	horization(s)								
Identifier			Issued by	Vai	lidity		Fishin	g area	(s)	Species	Gear
28. Relevan	t tran	sshipm	ent authoriza	ation(s)							
Identifier				Issued b	y		Va	alidity			
Identifier				Issued b	y		Va	alidity			
29. Transsh	ipmeı	nt infor	mation conce	rning don	or vess	els					
Name	F_{i}	lag State	ID no	,	Species	Ì	Produc	ct Co	atch	Quantity	
								form	are	ea(s)	
30. Evaluati	ion of	offload	led catch (qua	antity)		1		1			
Species	Pro	oduct	Catch	Quan	tity	Quan	tity	Dif	ference bet	ween qu	antity declared and
	fe	orm	area(s)	declar	red	offloa	ded		quantii	ty detern	nined, if any
31. Catch re	etaine	d onbo	ard (quantity	·)		1		1			
Species	Pro	oduct	Catch	Quan	tity	Quan	tity	Dif	ference bet	ween qu	antity declared and
	fe	orm	area(s)	declai	red	retair	ıed		quantii	ty detern	nined, if any
							1				
32. Exam documentat		on of	logbook(s)) and	other	Yes	No		Comment	s	
		vith ap	plicable catch	documen	tation	Yes	No		Comments	s	
	ance v	with ap	plicable stati	stical docu	ıment	Yes	No		Comment	s	
35. Type of	gear ı	ısed									
36. Gear ex	amine	ed		Yes	No	Comm	ents				
37. Findings	s by i	ıspecto	r(s)		j						
38. Apparer	nt infr	ingeme	ent(s) noted in	ncluding r	eferenc	e to relev	ant les	gal ins	trument(s))	
r F ···· ··			. ,	0-1		'			(0)		
39. Comme	nts by	the ma	aster								
	3										
40. Action to	aken										

41. Master's signature [*]	
42. Inspector's signature	

Appendix 6

DRAFT RECOMMENDATION BY ICCAT ON ACCESS AGREEMENTS [IMM-019A]

MINDFUL of the data reporting requirements for all CPCs and the importance of complete statistical reporting to the work of SCRS and the Commission;

MINDFUL of the need to ensure transparency among CPCs in respect of conditions for accessing the waters of coastal States, in particular to facilitate joint efforts to combat illegal, unreported, and unregulated fishing;

RECALLING the Recommendation by ICCAT on Vessel Chartering [Rec. 02-21] that establishes reporting and other requirements for chartering arrangements;

RECALLING the Recommendation by ICCAT Concerning the Duties of Contracting Parties and Cooperating non-Contracting Parties, Entities or Fishing Entities in Relation to their Vessels in the ICCAT Convention Area [Rec. 03-12], which requires CPCs to ensure that their vessels do not conduct unauthorized fishing within areas under the national jurisdiction of other States, through appropriate cooperation with coastal States concerned, and other relevant means available to the flag CPC;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

- 1. Contracting Parties and Cooperating non-Contracting Parties, Entities, and Fishing Entities (CPCs) that allow foreign-flagged vessels to fish in waters under their jurisdiction for species managed by ICCAT, and CPCs whose vessels fish in waters under the jurisdiction of another CPC or non-Contracting party (NCP) for species managed by ICCAT pursuant to an agreement, shall, individually or jointly, notify the Commission prior to beginning fishing activities of the existence of such agreements and provide to the Commission information concerning these agreements, including:
 - The CPCs, NCPs, or other entities involved in the agreement;
 - The time period or periods covered by the agreement;
 - The number of vessels and gear types authorized;
 - The stock or species authorized for harvest, including any applicable catch limits;
 - The CPC's quota or catch limit to which the catch will be applied;
 - Monitoring, control, and surveillance measures required by the flag CPC and coastal State involved with, for the coastal State, a particular specification of:
 - i) the National authority (contact coordinates) responsible for issuing fishing licences or permits,

^{*} The Master's signature serves only as acknowledgment of receipt of a copy of the inspection report.

- ii) the National authority (contact coordinates) responsible for MCS activities.
- Data reporting obligations stipulated in the agreement, including those between the parties involved, as well as those regarding information that must be provided to the Commission;
- A copy of the written agreement.
- 2. For agreements in existence prior to the entry into force of this recommendation, the information specified in paragraph 1 shall be provided in advance of the 2014 Commission meeting.
- 3. When an access agreement is modified in a manner that changes any of the information specified in paragraph 1, these changes shall be promptly notified to the Commission.
- 4. Consistent with ICCAT data reporting requirements, flag CPCs involved in the agreements specified in paragraph 1 shall ensure that all target and incidental catches made pursuant to these agreements are reported to the SCRS.
- 5. Flag CPCs and coastal CPCs involved in the agreements specified in paragraph 1 shall provide a summary of the activities carried out pursuant to each agreement, including all catches made pursuant to these agreements, in their annual report to the Commission.
- 6. In cases where coastal CPCs allow foreign-flagged vessels to fish in waters under their jurisdiction for species managed by ICCAT through a mechanism other than a CPC-to-CPC or CPC-to-NCP agreement, the coastal CPC shall be solely responsible for providing the information required by this Recommendation. Flag CPCs with vessels involved in such an agreement, however, shall endeavour to provide to the Commission relevant information regarding that agreement as indicated in paragraph 1.
- 7. The Secretariat shall develop a form for reporting the information specified in this Recommendation and annually compile CPC submissions into a report to be presented to the Commission for consideration at its annual meeting.
- 8. This recommendation does not apply to chartering arrangements covered by the Recommendation by ICCAT on Vessel Chartering [Rec. 02-21].
- All information provided pursuant to this Recommendation shall be consistent with domestic confidentiality requirements.
- 10. The Recommendation by ICCAT on access agreements [Rec. 11-16] is replaced by this Recommendation.

U.S. working document for Agenda Item 6 on Catch Certification

Stock	Overfished	Overfishing	Last	Management Measures	Trade Tracking Program
			Assessment		
All Stocks				 VMS for commercial vessels over 24 m LOA (Rec 03-14) Minimum standards for observer coverage; 5% coverage on purse seine, longline, and bait boats (Rec 10-10) Minimum Standards for Port Inspection Ban on landings and transshipments for NCP vessels (Rec 98-11) At-sea transshipment program (Rec 12-06) Recording of catch (logbook requirement for commercial vessels over 24 m LOA and comparable data collection systems for sport fishing vessels) (Rec 03-13) Vessel chartering (with 10% observer coverage requirement) (Rec 02-21) Vessel sighting and reporting (Res 94-09) Transshipment and vessel sighting (Rec 97-11) Flag CPC duties on vessel and fishing authorizations, effective monitoring, control and enforcement, vessel marking, and a record of fishing vessels (Rec 03-12) Trade Measures Recommendation (Rec 06-13) Rec to Promote compliance by Nationals of CPCs (Rec 06-14) Authorized vessel list (Rec 11-12) Principles of decision-making (Rec 11-13) Compliance with Statistical Reporting Obligations (Rec 05-09) Penalties applicable in the case of non-fulfillment of reporting obligations (Rec 11-15) Bycatch and discard data reporting (Rec 11-10) Access Agreement reporting (Rec 11-16) IUU vessel list (Rec 11-18) Change in registry and flagging (Res. 05-07) 	See species-specific trade tracking program descriptions.

Stock	Overfished	Overfishing	Last	Management Measures	Trade Tracking Program
			Assessment		
Bigeye	No* B/B _{MSY} = 1.01	No* F/F _{MSY} = 0.95	2010	Rec 11-01 specifies: Annual TAC consistent with SCRS advice; allocations by CPC (major harvesters) with authorized quota transfers; Catch provisions for other harvesters; under-over harvest provisions Capacity limits for major harvesters FAD time/area closure Fishing authorizations; authorized vessel list and active vessels list Port sampling program for Gulf of Guinea (starting in 2013) Logbook requirements for purse seine and bait boats 20 m or greater FAD management plans VMS requirements Regional observer program for vessels fishing during FAD closure (starting in 2014).	Bigeye Statistical Document Program established in 2001 to improve the reliability of statistical information on the catches of Atlantic bigeye and to help identify and reduce IUU fishing. Applies to frozen bigeye only. All imports of frozen bigeye by CPCs must be accompanied by bigeye statistical document or a re-export certificate. Biennial reports of imports include ocean area of harvest, flag of harvesting vessel, and point of export for all shipments; product type and weight must also be reported for Atlantic bigeye. Additional information collected on the statistical documents includes description of vessel, month/year of harvest and exporter certification. Government validation is required. Improperly documented shipments must be suspended or subject to administrative or other sanctions.
Yellowfin	Yes B/Bmsy = 0.85	No F/Fmsy =0.87	2011	Rec. 11-01 specifies: - Annual TAC consistent with SCRS advice - FAD time/area closure - Fishing authorizations; authorized vessel list and active vessels list - Port sampling program for Gulf of Guinea (starting in 2013) - Logbook requirements for purse seine and bait boats 20 m or greater - FAD management plans - VMS requirements - Regional observer program for vessels fishing during FAD closure (starting in 2014).	None

Stock	Overfished	Overfishing	Last Assessment	Management Measures	Trade Tracking Program
Eastern and Western Skipjack	Likely not	Likely not	2008	No species-specific management measures in place although the stock status of eastern SKJ may benefit from bigeye management measures, particularly the FAD closure.	None
Northern Albacore	Yes	No**	2013**	Rebuilding plan; Annual TAC with CPC quotas. Capacity limitation (# of vessels)	None
Southern Albacore	Yes	No**	2013**	 Annual TAC with CPC quotas for major harvesters; Limits on small harvesters; over/under provisions Semi-annual in-season catch reports Monthly catch reports when 80% of quota is reached 	None
Mediterranean Albacore	Unknown	Unknown	2011	None	None
Eastern Atlantic Bluefin	Yes	Yes	2012	 Annual TAC consistent with SCRS advice; no carryover of underharvests; payback of overharvests Capacity limits for fishing vessels, farms and traps Time/area closure Monthly catch reporting Central VMS reporting Transshipment prohibition Regional observer program for large scale purse seine vessels, and farming operations, with 100% coverage. National observer coverage on other vessels (20% and 100% depending on gear) and traps (100% during harvest). Minimum size and small fish tolerances. No sale provisions for recreationally caught fish. Logbook requirements Controls on joint fishing operations Caging, transferring and farming controls and reporting Joint at-sea inspection program Restrictions on landings, trade and other activities under certain circumstances 	Bluefin Catch Document Scheme

Stock	Overfished	Overfishing	Last Assessment	Management Measures	Trade Tracking Program
Western Atlantic Bluefin	Yes/No	Yes/No	2012	 Annual TAC with CPC quotas; limits on carry forward of underharvest; quota payback requirements. Minimum size and small fish tolerances; no sale provisions. Time/area closure in the Gulf of Mexico Transshipment prohibition Monthly catch reporting 	Bluefin catch document scheme
North Atlantic Swordfish	No	No	2009	 Annual TAC with CPC quotas; under/overharvest provisions Minimum size and small fish tolerances. 8% observer coverage for Japanese fleet. 	Swordfish Statistical Document Program established in 2001 to improve the reliability of statistical information on the catches of Atlantic swordfish and to help identify and reduce IUU fishing. All imports of swordfish by CPCs must be accompanied by swordfish statistical document or a re-export certificate. Biennial reports of imports include ocean area of harvest, flag of harvesting vessel, and point of export for all shipments; product type and weight must also be reported for Atlantic and Mediterranean swordfish. Additional information collected on the statistical documents includes description of vessel, month/year of harvest and exporter certification. Government validation is required. Improperly documented shipments must be suspended or subject to administrative or other sanctions
South Atlantic Swordfish	No	No	2009	- Annual TAC with country-specific quotas.	- Same as above
Mediterranean Swordfish	Yes	Yes	2010	 Permitting requirements for harpoon and pelagic longline gears. Closed fishing season Minimum size and small fish tolerances. Gear restrictions 	- Same as above

Stock	Overfished	Overfishing	Last Assessment	Management Measures	Trade Tracking Program
Blue Marlin	Yes	Yes	2011	 overall landings limit for stock (2000 mt) country-specific quotas Atlantic-wide recreational minimum size ban on sale of recreationally caught marlins. 	None
White Marlin	Yes	Not likely	2012	 overall landings limit for stock (400 mt) country-specific quotas Atlantic-wide recreational minimum size ban on sale of recreationally caught marlins. 	None
Western Atlantic Sailfish	Possibly	Possibly	2009	None	None
Eastern Atlantic Sailfish	Likely	Likely	2009	None	None