

## **REPORT ON THE 7<sup>TH</sup> MEETING OF THE WORKING GROUP ON INTEGRATED MONITORING MEASURES**

*(Tokyo, Japan – April 2 to April 6, 2012)*

### **1. Opening of the meeting**

Mr. Masanori Miyahara (Japan), Commission Chairman, opened the meeting and welcomed Parties to Japan.

### **2. Election of Chair**

Since the PWG Chair was absent, Mr. Masanori Miyahara, volunteered to be Chairperson of the 7<sup>th</sup> Working Group on Integrated Monitoring Measures.

### **3. Appointment of Rapporteur**

Mr. Michael Clark (United States) was appointed Rapporteur.

### **4. Adoption of the Agenda and meeting arrangements**

The Agenda was adopted without changes and is attached as **Appendix 1**, and the List of Participants attached as **Appendix 2**.

### **5. Review of Progress of eBCD implementation**

The ICCAT Secretariat presented the document entitled “Progress of eBCD implementation” [IMM-007/i2012]. Following the evaluation of the technical proposals, the selection committee selected TRAGSA/The Server Labs from among four qualified offers that were received. The contract was signed during the week of March 26, 2012, and the technical work started April 2, 2012. Issues remain concerning how to implement the proposed pilot project (including timing and scope) and financing the program in the long-term. Several delegations, including Japan, the EU, and the United States, noted their interest in continuing their participation in the discussions surrounding these issues, including participating in the pilot implementation of the program.

### **6. Review and consideration of monitoring, control, and surveillance issues**

#### ***6.1 Harmonization of vessel records – progress report and possible next steps***

The Executive Secretary introduced the document entitled “Notes from the ICCAT Secretariat on Agenda Item 6” [IMM-005/i2012], which provided background on the issues surrounding the development of the Global Record and the tuna RFMO consolidated list of authorized vessels (CLAV), including development and assignment of unique vessel identifiers (UVI). Recognizing that the Kobe process has recommended development of a UVI, the Working Group discussed possible approaches, including using the existing IMO number, to harmonize vessel records and establish an identifier that could eventually be used in the CLAV and the FAO Global Record. The United States indicated that ICCAT could take two initial steps towards this goal. First, the ICCAT could amend the ICCAT record of authorized vessels to include the tuna-UVIs being generated for each vessel through the CLAV process. Second, ICCAT could require that vessels on the record of authorized vessels that are currently eligible to obtain IMO numbers do so. China noted it would have issues with using the IMO number because its domestic fishing and transport vessels are managed under different departments. The Secretariat mentioned that there will be a meeting of the five tuna RFMOs through the Kobe process to discuss this matter, at FAO in June 2012. The Working Group agreed that the issue should be considered further at the annual meeting.

#### ***6.2 Traceability system for all bigeye, yellowfin, and skipjack tuna products***

Taking into account the outcomes of the Kobe process, Japan presented a document entitled “Draft Recommendation by ICCAT on a Catch Certification Scheme for Skipjack, Yellowfin and Bigeye [Albacore]

Tuna [and Swordfish]” [IMM-006C/i2012] (see **Appendix 3**). Japan noted that the current bigeye statistical document program only covered a portion of total bigeye catches and lacked a catch certification process and further explained the proposal was intended to address both of these issues without being as comprehensive as the bluefin catch documentation scheme. Japan also considered that it would be most convenient for every CPC if the Japanese proposal was based on the EU IUU regulation which many CPCs have already implemented. Most of the participants noted the benefit of establishing a broader ICCAT scheme. They also noted that duplication should be avoided with the existing documentation schemes.

The Working Group discussed the scope of the proposed scheme; some CPCs suggested that it should cover albacore and swordfish in addition to bigeye, yellowfin, and skipjack tuna.

The Working Group also discussed the costs of these programs for developing states, importers and exporters, and the ICCAT Secretariat. With that in mind, the Chair requested that Japan and the EU, as co-sponsors of the proposal, consult with the ICCAT Secretariat to develop a cost estimate for the program. The Chair also asked all ICCAT CPCs to review the appendices in the document [IMM-006C/i2012] and provide comments to Japan and the EU before the next ICCAT Annual Meeting.

The United States and Turkey expressed general reservations to this proposal [IMM-006C/i2012].

The views of certain CPCs on this proposal are attached in **Appendix 7**.

### ***6.3 Port State Measures, including port inspection scheme***

The EU introduced document entitled “Draft Recommendation by ICCAT for an ICCAT Scheme for Minimum Standards for Inspection in Port” [IMM-009D/i2012] designed to strengthen ICCAT’s existing port inspection scheme (Rec. 97-10). Several CPCs noted that, pending progress in the ongoing discussions of a full ICCAT Port State Measures scheme, there was a need to strengthen and update ICCAT’s port inspection requirements. The Working Group discussed and amended the draft proposal, and the revised draft is appended as **Appendix 4**. Several issues remain in brackets. The Working Group noted the link between this measure and the provisions of ICCAT’s IUU vessel list.

### ***6.4 At sea Boarding and Inspection scheme***

Canada noted the importance of this issue and recalled that its proposal from 2008 was still on the table but that they did not intend to press for a detailed discussion of it at this meeting. Some Parties noted the need to make progress on this issue as it represents an important Monitoring Control and Surveillance (MCS) tool and considered that further discussion should be undertaken at the 2012 ICCAT Annual Meeting in a fishery by fishery context.

### ***6.5 Vessel Monitoring Systems***

The United States introduced the proposal entitled “Draft Recommendation Amending the Recommendation by ICCAT Concerning Minimum Standards for the Establishment of a Vessel Monitoring System (VMS) for the ICCAT Convention Area [IMM-008/i2012] that would modify paragraph three of the *Recommendation by ICCAT Concerning Minimum Standards for the Establishment of a Vessel Monitoring System (VMS) for the ICCAT Convention Area* [Rec. 03-14]. Specifically, vessels subject to Rec. 03-14 would need to increase the frequency that their VMS units provide geographic position data from at least every six hours to at least every two hours in line with scientific advice. Vessels would continue to provide summary data on at least a daily basis consistent with the existing measure. Discussions ensued on potential costs associated with increased VMS transmission rates, the rationale for selecting this frequency, regions and species that should be affected by this measure, and transmission rates in other RFMOs. Most Parties supported the proposed modification; however, two Parties noted support for the status quo. A 4-hour polling rate was discussed as an alternative, but some had concerns that this was still not frequent enough to ensure effective monitoring and control. Another alternative put forward was that increased polling frequency should only apply to bluefin tuna fisheries in the Mediterranean Sea but this limited scope could not be agreed. The Working Group agreed that this was a very important issue and that it should be further considered at the 2012 Commission meeting. The proposal is attached as **Appendix 5** to facilitate Commission discussion. In the interim, Parties with financial concerns about the proposal agreed to investigate the cost implications of increasing polling frequency in preparation for consideration of this matter in November.

#### **6.6 At sea transshipment controls**

The United States introduced document entitled “Draft Recommendation by ICCAT on a Programme for Transshipment” [IMM-010C/i2012] that was intended to strengthen the *Recommendation by ICCAT on Establishing a Programme for Transshipment* [Rec. 06-11] by expanding its scope and incorporating additional monitoring requirements, such as enhanced domestic observer coverage requirements. The draft was discussed and a revised version is attached as **Appendix 6**. Some Parties expressed concern about the additional observer program requirements for fishing vessels, noting it was unclear why this increase was needed and what the scientific basis was, and suggested that this issue should be discussed separately from the at-sea transshipment issue. As such, this element and other aspects of the draft proposal remained in brackets.

The Working Group noted that in port transshipment in Annex 3 of this document is related to the outcome of the discussion on port inspection schemes.

#### **6.7 Other Issues**

No other issues were discussed.

### **7. Recommendation to the Commission on actions required**

The Working Group recommends that the Commission, at its 2012 meeting, further consider **Appendices 3 to 6**, to finalize them as a matter of priority.

### **8. Other matters**

No other matters were discussed.

### **9. Adoption of Report and adjournment**

The report was adopted with the amendments as summarized by the Chair, and the meeting was adjourned.

**AGENDA**

1. Opening of the meeting
2. Election of Chair
3. Appointment of rapporteur
4. Adoption of the agenda and meeting arrangements
5. Review of progress of eBCD implementation
6. Review and consideration of monitoring, control, and surveillance Issues
  - a) Harmonization of vessel records - progress report and possible next steps
  - b) Traceability system for all bigeye, yellowfin and skipjack tuna products
  - c) Port State measures, including port inspection scheme
  - d) At-sea boarding and Inspection scheme
  - e) Vessel monitoring systems
  - f) At-sea transshipment controls
  - g) Other issues
7. Recommendations to the Commission on actions required
8. Other matters
9. Adoption of Report and adjournment

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**Appendix 3****DRAFT RECOMMENDATION BY ICCAT ON A CATCH CERTIFICATION SCHEME FOR SKIPJACK, YELLOWFIN AND BIGEYE [ALBACORE] TUNA AND [SWORDFISH] [IMM-006C/i2012]**

*RECOGNIZING* the impact that market factors have on the fishery;

*CONCERNED* by the impact that illegal, unregulated and unreported (IUU) fishing has in the Convention area;

*REITERATING* the responsibilities of flag States to ensure that their vessels conduct their fishing activities in a responsible manner, fully respecting ICCAT conservation and management measures;

*NOTING* the need for improved and strict control on all the components involved in the tuna and tuna like species fisheries;

*UNDERLINING* the complementary role that importing States also have in the control of the catches of tuna and tuna like species to ensure compliance with ICCAT conservation and management measures;

*AWARE* that the current Bigeye tuna statistical document programme was not designed to ensure that products of such species subject to international trade are not caught by IUU fishing;

*RECOGNIZING* that in order to have effective control of the movements of tuna and tuna like species, strict tracing of the product from the point of capture throughout the whole operation to its final import has to be established;

*COMMITTED* to taking steps that conform with international law, notably as regards the World Trade Organization (WTO), and to ensure that tuna and tuna like species entering markets of Contracting and Cooperating non-Contracting Parties, Entities or Fishing Entities of ICCAT and non-members of ICCAT is caught in the Convention area in a manner that does not diminish the effectiveness of ICCAT conservation and management measures;

*UNDERLINING* that the adoption of this measure is intended to help support the implementation of conservation and management measures as well as scientific research for tuna and tuna like species stocks;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**PART I****GENERAL PROVISIONS**

1. Each Contracting Party, Cooperating Non-Contracting Party and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Catch Certification Scheme for the purpose of improving the traceability of skipjack, yellowfin and bigeye [albacore] tuna [swordfish] (hereinafter referred to as “tuna species”) caught in the ICCAT Convention area.

2. For the purpose of this Scheme:

a) "Export" means:

Any movement of tuna species caught in the ICCAT Convention area by a fishing vessel flying the flag of a CPC to the area of another CPC or non-Member to the ICCAT, or from the fishing grounds to the area of a CPC which is not the flag CPC of the fishing vessel or to the area of a non-Member to the ICCAT.

b) "Import" means:

Any introduction, including for transshipment purposes, of tuna species caught in the ICCAT Convention area in their caught or processed forms into the area of a CPC, which is not the CPC where the fishing vessel is flagged.

c) "Re-export" means:

Any movement of tuna species in their caught or processed forms from the area of a CPC where it had been previously imported.

d) "Consignment" means:

Tuna species products which are either sent simultaneously from one exporter to one consignee or covered by a single transport document covering their shipment from the exporter to the consignee.

e) "Flag CPC" means:

The CPC to which the vessel catching tuna and tuna like specie is flagged.

f) "Competent authority" means:

Any public authority, public institution and/or official [or other individuals or institutions authorized by the flag CPC] empowered to attest the veracity of information contained in documents required under this Recommendation and to carry out verification of such documents.

## PART II

### TUNA SPECIES CATCH CERTIFICATE

3. Each consignment imported into or exported or re-exported from the area of a CPC shall be accompanied by a completed and validated Tuna species catch certificate (TSCC) and, as applicable, a validated Tuna species re-export certificate (TSRC). Such documents shall be used to certify that catches have been made by a fishing vessel or fishing vessels in accordance with ICCAT conservation and management measures. Any such import, export or re-export without a completed and validated TSCC or TSRC shall be prohibited.
4. Each TSCC form shall have a unique certificate identification number. Certificate numbers shall be specific to the flag CPC.
5. Copies of TSCC shall accompany each exported part of split consignments or processed product, using the unique certificate number of the original TSCC in order to trace them.
6. CPCs shall keep copies of certificates issued or received for at least two years.
7. Export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.
8. The fishing vessel masters, their authorized representative, the authorized representative of the flag CPC or the exporter shall complete the TSCC, if possible electronically, by providing the required information in appropriate sections and request its validation in accordance with paragraph 10, on each occasion that they export tuna species.
9. A completed and validated TSCC shall include the relevant information identified in **Annex 1** form attached. One of the ICCAT official languages shall be used to complete this form. In cases where a section of the TSCC model does not provide enough room to completely trace movement of tuna species from catch to final import, the needed information section may be expanded as necessary and attached as Annex.
10. a) The TSCC shall be validated by the competent authority of the flag CPC.
  - b) The competent authority of the flag CPC shall validate the TSCC for all tuna species products only when all the information contained in the TSCC has been established to be accurate as a result of the verification of the consignment, and only when those products comply with all relevant provisions of the conservation and management measures.

- c) [Validation under 10(a) shall not be required in the event that all tuna and tuna-like products available for sale are tagged by the flag CPC. However, the TSCC shall be completed and accompany the products.] [In the case that the tuna species are traded in a fresh or chilled form, a tag shall be attached to it. ICCAT shall develop an electronic tagging system in which anyone having a designated scanner can retrieve the information instantly by scanning the tag by the end of 2015.]
- 10. bis Where tuna species are transshipped or landed in bulk, competent authorities of the flag CPC shall make an additional validation of the catch certificate section 3 "verified weight landed", following classification of species composition.
- 11. Where the tuna species quantities caught and landed, intended for export, are less than 1 metric ton or three fish, the logbook, declaration of the fishing master or the sales note may be used as a temporary TSCC, pending the validation of the TSCC within seven days and prior to export.

### **PART III**

#### **TUNA SPECIES RE-EXPORT CERTIFICATES**

- 12. Each CPC shall ensure that each consignment which is re-exported from its area be accompanied by a validated TSRC.
- 13. The operator who is responsible for the re-export shall complete the TSRC by providing the required information in its appropriate sections and request its validation for the consignment to be re-exported. The completed TSRC shall be accompanied by a copy of the validated TSCC relating to the tuna species products previously imported.
- 14. The TSRC shall be validated by the competent authority of the re-exporting CPC.
- 15. The re-exporting CPC shall validate the TSRC for all tuna species product only when:
  - a) all the information contained in the TSRC has been established to be accurate,
  - b) the validated TSCC(s) submitted in support to the TSRC had been accepted for the importation of the products declared on the TSCC,
  - c) the products to be re-exported are wholly or partly the same products on the validated TSCC(s) and
  - d) a copy of the TSCC(s) shall be attached to the validated TSRC.
- 16. The validated TSRC shall include the information identified in **Annex 2** form attached. One of the ICCAT official languages shall be used to complete this form.

### **PART IV**

#### **PROCESSED PRODUCTS**

- 17. In order to re-export products constituting one single consignment and which have been processed in that re-exporting CPC using tuna species imported from a third country other than that re-exporting CPC, the re-exporting CPC shall ensure that the TSRC shall be accompanied by a Processing Statement(s) established by the processing plant and endorsed by its competent authorities. The Processing Statement shall be in accordance with the form of **Annex 3** to the TSCC.
- 18. When a CPC exports processed products of tuna species caught by catching vessels flagged to the CPC, it is not required to submit a Processing Statement(s). [However, importing CPCs may request clarification to the exporting CPC on the processing.]

## **PART V**

### **TAGGING PROGRAMS**

19. CPCs may require their fishing vessels to affix a tag to each tuna species product preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the TSCC and a summary of the implementation of the tagging program shall be submitted to the ICCAT Secretariat by the CPC.

## **PART VI**

### **SIMPLIFIED TUNA SPECIES CATCH CERTIFICATE**

20. Pre This part shall apply to fishing vessels:
- (i) with an overall length of less than 12 meters without towed gear; or
  - (ii) with an overall length of less than 8 meters with towed gear; or
  - (iii) without a superstructure; or
  - (iv) of less than measured 20 GT.
20. Catches by such fishing vessels which are only landed in the flag CPCs and which together constitute one consignment may be accompanied by a simplified tuna species catch certificate (hereinafter referred to as “simplified TSCC”) instead of the TSCC.
21. The simplified TSCC shall contain all the information specified in the form shown in **Annex 4**, and shall be validated by the competent authority of the flag CPC. One of the ICCAT official languages shall be used to complete this form.

## **PART VII**

### **COMMUNICATION AND VERIFICATION**

22. Each CPC shall communicate, if possible electronically, a copy of all validated TSCCs, simplified TSCC or TSRCs within [five working days] following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:
- a) the competent authorities of the CPC where the tuna species will be imported, and;
  - b) [the ICCAT Secretariat.]
23. The ICCAT Secretariat shall extract from the validated TSCCs, simplified TSCC or TSRCs communicated under paragraph 22 above the information marked with an asterisk in **Annex 1** or **Annex 2** forms and enter this information in a database on a password protected section of its website, as soon as practicable.
- At its request, the Scientific Committee shall have access to the catch information contained in the database, except the vessel names.
24. Each CPC shall ensure that its competent authorities take steps to identify each consignment imported into or exported or re-exported from its area and request and examine the validated TSCC(s), simplified TSCC or TSRCs and related documentation of each consignment of tuna species.

These competent authorities may also examine the content of the consignment to verify the information contained in the TSCC, simplified TSCC or TSRCs and in related documents and, where necessary, shall carry out verifications at with the operators concerned.

25. If, as a result of examinations or verifications carried out pursuant to paragraph 24, a doubt arises regarding the information contained in a TSCC, simplified TSCC or a TSRC, the final importing CPC and the CPC whose competent authorities validated the TSCC(s), simplified TSCCs or TSRC(s) shall cooperate to resolve such doubts.
26. If a CPC involved in trade of tuna species identifies a consignment with no TSCC, no simplified TSCC or no valid TSCC, it shall notify the findings to the exporting CPC and, where known, the flag CPC.
27. Pending the examinations or verifications under paragraph 24 to confirm compliance of the consignment with the requirements in the present Recommendation and any other relevant measures adopted by ICCAT, the CPC shall not grant its release for import or export.
28. Where a CPC, as a result of examination or verifications under paragraph 24 and in cooperation with the competent authorities concerned, determines that a TSCC, a simplified TSCC or TSRC is invalid, the import, export or re-export concerned shall be prohibited.
29. The Commission shall request the non-Contracting Parties that are involved in import, export or re-export to cooperate with the implementation of the Scheme and to provide to the Commission data obtained from such implementation.

## **PART VIII**

### **COMMUNICATION OF DATA**

30. CPCs that validate TSCCs and simplified TSCCs in respect of their flag fishing vessels and/or TSRCs, shall notify to the ICCAT Secretariat the name and full address of their competent authorities. If the national law of a CPC requires that such validation be granted on an individual basis, then the name, title, signature and sample impression of stamp or seal of the validating government officials who are individually empowered shall also be notified to the ICCAT Secretariat.

This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national laws and regulations for the purpose of implementing the tuna species catch certification scheme shall be communicated with the initial notification. Updated details on competent authorities, officials and provisions of national laws and regulations shall be communicated to the ICCAT Secretariat in a timely fashion.

31. The information on competent authorities and officials transmitted by notifications to the ICCAT Secretariat shall be placed on a password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their competent authorities, officials and the dates of entry into force of the entitlement shall be placed on a publicly accessible website held by the ICCAT Secretariat.

CPCs are encouraged to access this information to help verify the validation of TSCCs, simplified TSCC and TSRCs.

32. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the authorities) that should be informed when there are questions related to TSCCs, simplified TSCC or TSRCs.
33. Notification pursuant to paragraphs 30, 31 and 32 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
34. CPCs shall provide to the ICCAT Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 5**.

The ICCAT Secretariat shall post these reports on a password protected section of the ICCAT website, as soon as practicable. At its request, the Scientific Committee shall have access to the reports received by the ICCAT Secretariat.

- 34.bis The Commission shall consider the introduction of an electronic catch documentation scheme for tuna species taking into account the progress made in the development of other electronic programmes, in particular the electronic bluefin tuna catch document programme in accordance with *Recommendation by ICCAT on an Electronic Bluefin tuna Catch Document Programme* [Rec. 10-11].
35. This Recommendation shall apply to products of tuna species caught on and after January 1st, 2014.
36. The *Recommendation by ICCAT concerning the ICCAT Bigeye Tuna Statistical Document Programme* (Rec. 01-21) [and the *Recommendation by ICCAT establishing a Swordfish Statistical Document Programme* (Rec. 01-22)] are repealed and replaced by this Recommendation.

[All Annexes are in square brackets]

## ICCAT Tuna Species Catch Certificate

ICCAT CATCH CERTIFICATE		
<b>Numéro de certificat*/Certificate number*/Certificado n°*</b>		
<b>1. AUTORITÉ DE VALIDATION/VALIDATING AUTHORITY/AUTORIDAD VALIDADORA</b>		
<b>Nom/Name/Nombre</b>		
<b>Adresse/Address/Dirección</b>		
<b>Tel:</b> <b>Fax:</b>	<b>E-mail:</b>	
<b>2. NAVIRE DE PECHE/FISHING VESSEL/DATOS DEL BUQUE</b>		
Nom du navire de pêche*/Fishing Vessel Name*/Nombre del buque pesquero*		
Pavillon*, port d'attache et numéro d'immatriculation*/Flag - Home Port and Registration Number*/Pabellón – Puerto base y número de matrícula*		
Indicatif radio/Call Sign/Indicativo de llamada de radio	N° OMI/Lloyd (le cas échéant) IMO/Lloyd's Number (if issued) N° OMI/Lloyd (en su caso)	
N° de la licence de pêche Fishing licence No. N° de la licencia de pesca	Date de fin de validité Valid to Fecha de expiración	N° Inmarsat, n° fax, n° téléphone, adresse courrier électronique (le cas échéant) Inmarsat No. Telefax No. Telephone No. E-mail address (if issued) N° Inmarsat, n° fax, n° telefono, dirección correo electrónico (en su caso)
<b>3. DESCRIPTION DU PRODUIT (VOIR PAGE SUIVANTE) DESCRIPTION OF PRODUCT (SEE NEXT PAGE) DESCRIPCION DEL PRODUCTO (VEASE PAGINA SIGUIENTE)</b>		
<b>4. CAPITAINE DU NAVIRE / MASTER OF FISHING VESSEL / DATOS DEL CAPITÁN</b>		
Nom du capitaine du navire de pêche Name of master of fishing vessel Nombre del capitán del buque pesquero	Signature/Signature/Firma	



CERTIFICAT DE CAPTURE DE LA CICTA  
ICCAT CATCH CERTIFICATE  
CERTIFICADO DE CAPTURA DE LA CICAA

**3. DESCRIPTION DU PRODUIT / DESCRIPTION OF PRODUCT / DESCRIPCIÓN DEL PRODUCTO**

<b>Espèce* Species* Especie*</b>	<b>Code produit Product code Código de producto</b>	<b>Zone(s) et dates de capture* Catch area(s) and dates* Zona(s) y fechas captura*</b>	<b>Poids vif estimé (kg)* Estimated live weight (kg)* Peso vivo estimado (kg)*</b>	<b>Poids à débarquer estimé (kg) Estimated weight to be landed (kg) Peso estimado que vaya a desembarcar (kg)</b>	<b>Poids débarqué vérifié (kg), le cas échéant Verified Weight Landed (kg) where appropriate Peso desembarcado comprobado (kg), si procede</b>	<b>Type de transformation autorisé à bord Type of processing authorised on board Tipo de transformación autorizada a bordo</b>

CERTIFICAT DE CAPTURE DE LA CICTA  
ICCAT CATCH CERTIFICATE  
CERTIFICADO DE CAPTURA DE LA CICAA

**5. DECLARATION DE TRANSBORDEMENT EN MER / DECLARATION OF TRANSHIPMENT AT SEA / DECLARACIÓN DE TRANSBORDO EN EL MAR**

Nom du capitaine du navire de pêche/Name of Master of Fishing vessel/Nombre del capitán del buque pesquero		Signature/Signature/Firma	Date/Date/Fecha
Date du transbordement Transshipment Date Fecha del transbordo	Zone du transbordement Transshipment Area Zona del transbordo	Position du transbordement Transshipment Position Posición del transbordo	Poids estimé (kg) Estimated weight (kg) Peso estimado (kg)
Capitaine du navire receveur/Master of Receiving Vessel/Capitán del buque receptor		Signature/Signature/Firma	
Nom du navire/Vessel Name/Nombre del buque		Indicatif d'appel/Call Sign/Indicativo de llamada de radio	N° OMI/Lloyds (le cas échéant)/IMO/Lloyds Number (if issued)/N° OMI/Lloyds (en su caso)

**6. AUTORISATION DE TRANSBORDEMENT DANS UNE ZONE PORTUAIRE / TRANSHIPMENT AUTHORISATION WITHIN A PORT AREA / AUTORIZACIÓN DEL TRANSBORDO EN UNA ZONA PORTUARIA**

Nom/Name/Nombre y apellidos	Autorité/Authority/Autoridad	Signature/Signature/Firma
Adresse/Address/Dirección	Tél.	
Port de débarquement/Port of Landing/Puerto de desembarque	Date de débarquement/Date of Landing/Fecha de desembarque	

**7. EXPORTATEUR / EXPORTER / DATOS DEL EXPORTADOR**

Nom et adresse de l'exportateur/Name and address of Exporter/Nombre y dirección del exportador		
Signature/Signature/Firma	Date/Date/Fecha	

**8. VALIDATION PAR L'AUTORITE DE L'ETAT DE PAVILLON / FLAG STATE AUTHORITY VALIDATION / VALIDACIÓN DE LA AUTORIDAD DEL ESTADO DE ABANDERAMIENTO**

Nom-Titre/Name-Title/Nombre-Cargo		
Signature/Signature/Firma	Date/Date/Fecha	Cachet/Seal/Sello

CERTIFICAT DE CAPTURE DE LA CICTA  
ICCAT CATCH CERTIFICATE  
CERTIFICADO DE CAPTURA DE LA CICAA

**9. INFORMATION RELATIVE AU TRANSPORT (VOIR APPENDICE) TRANSPORT DETAILS: SEE APPENDIX I**

**10. IMPORTER INFORMATION**

<b>Company</b>	<b>Point of Import (City, Country, State)</b>
<b>Address</b>	
<b>Date (dd/mm/yy)</b>	<b>Signature</b>

CERTIFICAT DE CAPTURE DE LA CICTA  
ICCAT CATCH CERTIFICATE  
CERTIFICADO DE CAPTURA DE LA CICAA

**APPÉNDICE INFORMATION RELATIVE AU TRANSPORT / APPENDIX TRANSPORT DETAILS /  
APENDICE INFORMACION SOBRE EL TRANSPORTE**

1. Pays d'exportation*/Exporting country*/Pais exportador*  Port/aéroport/autre lieu de départ Country of exportation/Port/airport/other place of departure Puerto/aeropuerto/otro lugar de salida	2. Signature de l'exportateur Exporter Signature Firma del exportador	
Nom/Name/Nombre y apellidos	Adresse/Address/ Dirección	
Nom et pavillon du navire Vessel name and flag Nombre y pabellón del buque	Numéro(s) du ou des conteneurs Container number(s) Número(s) de los contenedores	
Numéro de vol, numéro de lettre de transport aérien Flight number, airway bill number Número de vuelo, número del conocimiento de embarque aéreo		
Nationalité et numéro d'immatriculation du camion Truck nationality and registration number Nacionalidad y número de matrícula del camión		
Numéro de lettre de voiture ferroviaire Railway bill number Número del conocimiento de embarque en ferrocarril		
Autres documents de transport Other transport document Otros documentos de transporte		

## ICCAT Tuna Species Re-Export Certificate

<b>CERTIFICAT ICCAT DE REEXPORTATION POUR LES ESPECES THONIERES/            ICCAT TUNA SPECIES RE-EXPORT CERTIFICATE            CERTIFICADO DE REEXPORTACIÓN DE ESPECIES DE TÚNIDOS</b>					
Numéro du certificat*/ Certificate Number*/Nº Certificado:					
<b>SECTION REEXPORTATION / RE-EXPORT SECTION / SECCIÓN REEXPORTACIÓN</b>					
<b>1. PAYS-ENTITE-ENTITE DE PECHE DE REEXPORTATION / RE-EXPORTING COUNTRY-ENTITY-FISHING ENTITY/ PAÍS-ENTIDAD-ENTIDAD PESQUERA REEXPORTADOR/A:</b>					
<b>2. LIEU DE REEXPORTATION* / POINT OF RE-EXPORT* / PUNTO DE REEXPORTACIÓN*:</b>					
<b>3. DESCRIPTION DES ESPÈCES THONIÈRES IMPORTÉES/DESCRIPTION OF IMPORTED TUNA SPECIES/DESCRIPCIÓN DE LAS ESPECIES DE TÚNIDOS IMPORTADAS:</b>					
Type de produit/Product Type/Tipo de producto		Poids net (kg)* Net weight (kg)* Peso neto (kg)*	CPC de pavillon Flag CPC CPC del pabellón	Date importation* Date of import* Fecha importación*	TSCC No*
F/FR	RD/GG/DR/FL/OT				
<b>4. DESCRIPTION DES ESPÈCES THONIÈRES DESTINÉES A LA REEXPORTATION/DESCRIPTION OF TUNA SPECIES FOR RE-EXPORT/ DESCRIPCIÓN DE LAS ESPECIES DE TÚNIDOS PARA REEXPORTACIÓN:</b>					
Type de produit*/Product Type*/Tipo de producto*		Poids net (kg)* Net weight (kg)* Peso neto (kg)*	Numéro TSCC correspondant à la section 3. Corresponding TSCC number from section 3. Número correspondiente de TSCC en sección 3		
F/FR	RD/GG/DR/FL/OT				
F= Frais/Fresh/Fresco, FR= Surgelé/Frozen/Congelado/, RD= Poids vif/Round weight/Peso vivo, GG=Eviscéré & sans branchie/Gilled & Gutted/Eviscerado y sin agallas, DR= Poids manipulé/Dressed/Canal, FL=Filet/En filetes, OT=Autres/Others/Otros (Décrire le type de produit/Describe the type of the product/ Describir el tipo de producto):					
ETAT DE DESTINATION*/STATE OF DESTINATION*/ESTADO DE DESTINO*:					
<b>5. CERTIFICAT DU REEXPORTATEUR / RE-EXPORTER STATEMENT / DECLARACIÓN DEL REEXPORTADOR</b>					
Je certifie que l'information ci-dessus est, à mon vu et su, complète, véridique et correcte. I certify that the above information is complete, true and correct to the best of my knowledge and belief. Certifico que, a mi leal saber y entender, la información arriba consignada es completa, fidedigna y correcta.					
<b>Nom/Name/Nombre</b>		<b>Adresse/Address/Dirección</b>		<b>Signature/Firma</b>	
<b>Date/Fecha</b>					

**6. VALIDATION DU GOUVERNEMENT / GOVERNMENT VALIDATION / VALIDACIÓN DEL GOBIERNO**

Je déclare valide l'information ci-dessus, qui est, à mon vu et su, complète, véridique et correcte.

*I validate that the above information is complete, true and correct to the best of my knowledge and belief.*

Valido la información arriba consignada, que a mi leal saber y entender es completa, fidedigna y correcta.

**Nom & poste/Name &  
Title/Nombre-Cargo**

**Signature/Firma**

**Date/Fecha**

**Cachet du l'autorité /  
Authority Seal /  
Sello de la Autoridad**

**SECTION IMPORTATION / IMPORT SECTION / SECCIÓN IMPORTACIÓN****7. CERTIFICAT DE L'IMPORTATEUR / IMPORTER STATEMENT / DECLARACIÓN DEL IMPORTADOR:**

Je certifie que l'information ci-dessus est, à mon vu et su, complète, véridique et correcte.

*I certify that the above information is complete, true and correct to the best of my knowledge and belief.*

Certifico que, a mi leal saber y entender, la información arriba consignada es completa, fidedigna y correcta.

Certificat de l'importateur/Importer Certification/Certificado del importador:

**Nom/Name/Nombre**

**Adresse/Address/Dirección**

**Signature/Firma**

**Date/Fecha**

**Point final d'importation\*/Final point of import/Punto de destino final de la importación\*:**

**Ville/City/Ciudad**

**Etat-Province/State-Province/Estado-provincia**

**CPC**

NOTE : Le document de transport valide et les copies des TSCC devront être joints.

NOTE: Valid transport documents and copies of TSCC shall be attached.

NOTA: Se adjuntarán el documento de transporte válido y las copias de los TSCC.

**DECLARATION DE L'USINE DE TRANSFORMATION  
STATEMENT BY THE PROCESSING PLANT  
DECLARACION DE LA FABRICA DE TRANSFORMACION**

Je confirme que les produits de la pêche transformés: ... (description des produits et code de la nomenclature combinée) sont issus de captures importées au titre du ou des certificat(s) de capture suivant(s) :

I confirm that the processed fishery products: (product description and Combined Nomenclature code) have been obtained from catches imported under the following catch certificate(s):

Confirmo que los productos de la pesca transformados ..... (descripción del producto y código de la nomenclatura combinada) se han obtenido a partir de capturas importadas de conformidad con el(los) siguiente(s) certificado(s) de captura:

Numéro du certificat de capture  Catch certificate number  Número de certificado de captura	Nom(s) et pavillon(s) du (des) navire(s)  Vessel name(s) and flag(s)  Nombre(s) del (de los) buque(s) y pabellón o pabellones	Date(s) de validation  Validation date(s)  Fecha(s) de validacion	Description de la capture  Catch description  Descripción de la captura	Poids débarqué total (kg)  Total landed weight (kg)  Peso total desembarcado (kg)	Capture transformée (kg)  Catch processed (kg)  Captura transformada (kg)	Produits de la pêche transformés (kg)  Processed fishery product (kg)  Producto de la pesca transformado (kg)

Nom et adresse de l'usine de transformation/Name and address of the processing plant/Nombre y dirección de la fábrica de transformación:

.....

Nom et adresse de l'exportateur (s'ils diffèrent de ceux de l'usine de transformation)/Name and address of the exporter (if different from the processing plant)/Nombre y dirección del exportador (si es distinto de la fábrica de transformación):

.....

Numéro d'agrément de l'usine de transformation/Approval number of the processing plant/Número de aprobación de la fábrica de transformación:

.....

Responsable de l'usine de transformation Responsible person of the processing plant Persona encargada de la fábrica de transformación	Signature/Firma:	Date/Fecha:	Lieu/Place/Lugar:

Approbation par l'autorité compétente/Endorsement by the competent authority/Refrendo de la autoridad competente :

.....

Agent/Official/Agente	Signature et cachet Signature and seal Firma y sello	Date/Fecha:	Lieu/Place/Lugar

## ICCAT Simplified Tuna Species Catch Certificate

ICCAT SIMPLIFIED CATCH CERTIFICATE			
DOCUMENT NUMBER		VALIDATING AUTHORITY (NAME, ADDRESS, TEL., FAX)	
1. DESCRIPTION OF PRODUCT			
Species	Product code	Verified weight landed (kg)	
2. LIST OF VESSELS THAT HAVE PROVIDED CATCHES AND THE QUANTITIES BY EACH VESSEL (NAME, REGISTRATION NUMBER, ETC. ANNEXED)			
Name of vessels		Catch quantities	
3. NAME, ADDRESS, TEL. AND FAX OF EXPORTER		SIGNATURE	DATE
4. FLAG STATE AUTHORITY VALIDATION:			
Name/Title	Signature	Date	Seal (stamp)
5. TRANSPORT DETAILS (SEE APPENDIX)			
6. IMPORTER INFORMATION			
Company		Point of Import (City, Country, State)	
Address			
Date (dd/mm/yy)		Signature	

Transport details: use Appendix of Annex 1



**Report on the Implementation of the  
ICCAT Catch Certification Scheme for Tuna Species**

Reporting CPC:

Period of reference: July 1 [2XXX] to June 30 [2XXX]

1. Information extracted from TSCCs

- number of TSCCs validated;
- number of validated TSCCs received;
  - total amount of tuna species products imported, exported, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears;
  - number of verifications of TSCCs requested to other CPCs and summary results;
  - number of requests for verifications of TSCCs received from other CPCs and summary results;
  - total amount of tuna species consignments subject to a prohibition decision with breakdown by products, nature of operation (import, export, re-export), reasons for prohibition and CPCs and/or non-Members of origin or destination.

2. Information on cases under Part VII paragraph 24

- number of cases
- total amount of tuna species with breakdown by products, nature of operation (import, export, re-export), CPCs or other countries referred to in Part VII paragraph 24 above.

**Appendix 4****DRAFT RECOMMENDATION BY ICCAT FOR AN ICCAT SCHEME FOR MINIMUM STANDARDS FOR INSPECTION IN PORT [IMM-009D/i2012]**

*RECOGNIZING* that many Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities (hereinafter referred to as CPCs) currently have port inspection schemes in place;

*RECALLING* the *Recommendation by ICCAT further Amending the Recommendation by ICCAT to Establish a List of Vessels Presumed to have carried out Illegal, Unreported and Unregulated Fishing Activities in the ICCAT Convention Area* [Rec. 11-18], and

*RECALLING* the 2009 Agreement on Port State Measures to Combat Illegal Unreported and Unregulated (IUU) Fishing;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**Scope**

1. In the exercise of their sovereignty over ports located in their territory, CPCs may adopt more stringent measures, in accordance with international law.
2. With a view to monitor compliance with ICCAT conservation measures, each CPC, in its capacity as a port State, shall apply this Recommendation for an effective scheme of port inspections in respect of foreign fishing vessels carrying ICCAT-managed species and/or fish products originating from such species that have not been previously landed or transhipped at port, hereinafter referred to as "foreign fishing vessels".
3. A CPC may, in its capacity as a port State, decide not to apply this Recommendation to foreign fishing vessels chartered by its nationals [exclusively for fishing in areas under its jurisdiction and] operating under its authority [therein]. Such foreign fishing vessels shall be subject to measures by the port CPC which are as effective as measures applied in relation to vessels entitled to fly its flag.
4. Without prejudice to specifically applicable provisions of other ICCAT Recommendations, this Recommendation shall apply to foreign fishing vessels equal to or greater than 12 meters in length overall.
5. Each CPC shall subject foreign fishing vessels below 12 meters length overall and fishing vessels entitled to fly its flag to a comparable port inspection programme to be designed and implemented by that CPC.
6. CPCs shall take necessary action to inform fishing vessels' entitled to fly their flag of this and other relevant ICCAT conservation measures.

**Competent authority**

7. Each CPC shall designate a competent authority to serve as a contact point for the purposes of receiving notifications, and issuing authorizations pursuant to this Recommendation. It shall transmit the name and contact information for its competent authority to the ICCAT Secretariat no later than 30 days following the entry into force of this Recommendation. Any subsequent changes shall be notified to the ICCAT Secretariat at least [14] [7] days before such changes take effect. The ICCAT Secretariat shall promptly notify CPCs of any such change.
8. The ICCAT Secretariat shall establish and maintain a register of competent authorities based on the lists submitted by the CPCs. The register and any subsequent changes shall be published promptly on the ICCAT website.

**Designated ports**

9. Each CPC shall designate its ports to which foreign fishing vessels may request entry pursuant to this Recommendation.

10. Each CPC shall, to the greatest extent possible, ensure that every designated port has sufficient capacity to conduct inspections pursuant to this Recommendation.
11. Each CPC shall provide to the ICCAT Secretariat within 30 days from the date of entry into force of this Recommendation a list of designated ports. Any subsequent changes to this list shall be notified to the ICCAT Secretariat at least 14 days before the change takes effect.
12. The ICCAT Secretariat shall establish and maintain a register of designated ports based on the lists submitted by the port State CPCs. The register and any subsequent change shall be published promptly on the ICCAT website.

#### **Prior notification**

13. Each flag CPC shall take necessary action to ensure that fishing vessels entitled to fly its flag or their representatives notify the competent authority of the port CPC whose designated port they wish to use for the purpose of landing and/or transshipment, at least [72 hours] before the estimated time of arrival at the port, of the following information:
  - a) Vessel identification (External identification, Name, IMO No, if any, and IRCS);
  - b) Name of the designated port, as referred to in the ICCAT register, to which it seeks entry and the purpose of the port call (landing and/or transshipment);
  - c) Fishing authorization or, where appropriate, any other authorization held by the vessel to support fishing operations on ICCAT species and/or fish products originating from such species, or to transship related fishery products;
  - d) Estimated date and time of arrival in port;
  - e) The estimated quantities in kilograms live weight of each ICCAT species and/or fish products originating from such species held on board, with associated catch areas. If no ICCAT species and/or fish products originating from such species are held on board, a 'nil' report shall be transmitted;
  - f) The estimated quantities for each ICCAT species and/or fish products originating from such species in kilograms live weight to be landed or transshipped, with associated catch areas.

The port CPC may also request other information as it may require to determine whether the vessel has engaged in IUU fishing, or related activities.

14. The port State CPC may prescribe a longer or shorter notification period than specified in paragraph 13, taking into account, inter alia, the type of fishery product, the distance between the fishing grounds and its ports. In such a case, the port CPC shall inform the ICCAT Secretariat, which shall publish the information promptly on the ICCAT website.

#### **[Use of port by foreign fishing vessels as authorized by the port CPC]**

15. Landing or transshipment operations shall be subject to verification by the competent authority of the port CPC to determine the completeness of the information submitted as prescribed in paragraph 13 and to carry out an inspection in accordance with paragraph 20 below, if required.
16. Notwithstanding paragraph 15, the port CPC may authorize all or part of a landing or transshipment in cases where the information set out in paragraph 13 is incomplete or verification is pending. In such cases, the fishery products concerned shall be kept in storage. The fishery products shall only be released once the information set out in paragraph 13 and the verification have been completed. If this information is not complied with within 14 days of the commencement of the landing or transshipment, the port CPC may seize and dispose of the fishery products in accordance with its domestic laws. The cost of storage shall be borne by the operators, [or supported] in accordance with the domestic laws of the port CPC.]

#### **Port inspections**

17. Inspections shall be carried out by the competent authority of the port CPC.
18. Each year CPCs shall inspect at least [5] % of landing and transshipment operations in their designated ports as are made by foreign fishing vessels.

19. In determining which foreign fishing vessel to inspect, the port CPC shall give priority to:
- a) requests from other CPCs or relevant regional fisheries management organizations that particular vessel be inspected, particularly where such requests are supported by evidence of IUU fishing, by the vessel in question, and
  - b) other vessels for which there are clear grounds for suspecting that they have engaged in IUU fishing.
  - c) vessels included on the ICCAT list of IUU vessels, as specified in Rec [11-18]

### **Inspection procedure**

20. Each inspector shall carry a document of identity issued by the port CPC. In accordance with domestic laws, port CPC inspectors may examine all relevant areas, decks and rooms of the fishing vessel, catches processed or otherwise, nets or other fishing gears, equipment both technical and electronic, records of transmissions and any relevant documents, including fishing logbooks, Cargo Manifests and Mates Receipts and landing declarations in case of transshipment, which they deem necessary to ensure compliance with the ICCAT conservation measures. They may take copies of any documents considered relevant, and they may also question the Master and any other person on the vessel being inspected.
21. Inspections shall involve the monitoring of the landing or transshipment and include a cross-check between the quantities by species notified in the prior notification message in paragraph 13 above and held on board. Inspections shall be carried out in such a way that the fishing vessel suffers the minimum interference and inconvenience, and that degradation of the quality of the catch is avoided, [to the extent practicable].
22. On completion of the inspection, the port CPC inspector shall provide the Master of the foreign fishing vessel with the inspection report containing the findings of the inspection, including possible subsequent measures that could be taken by the port State competent authority. The Master shall be given the opportunity to add any comments or objection to the report and to contact the competent authority of the flag State. The inspector and the Master shall sign the report and a copy of the report shall be provided to the Master. The Master's signature shall serve only as acknowledgement of the receipt of a copy of the report.
23. The port CPC shall transmit a copy of the inspection report to the ICCAT Secretariat no later than [14] days following the date of completion of the inspection.
24. CPCs shall take necessary action to ensure that Masters facilitate safe access to the fishing vessel, cooperate with the competent authority of the port CPC, facilitate the inspection and communication and not obstruct, intimidate or interfere, or cause other persons to obstruct, intimidate or interfere with port CPC inspectors in the execution of their duties.

### **Procedure in the event of infringements**

25. If the information collected during the inspection provides evidence that a foreign fishing vessel has committed an infringement of the ICCAT conservation measures, the inspector shall:
- a) record the infringement in the inspection report;
  - b) transmit the inspection report to the port CPC competent authority, which shall promptly forward a copy to the ICCAT Secretariat [and, if the vessel fly the flag of another CPC, to the competent authority of the flag CPC;]
  - [c) if possible, take all necessary action to ensure safekeeping of the evidence pertaining to such alleged infringement.]
26. If the infringement falls within the legal jurisdiction of the port CPC, the port CPC may take action in accordance with its domestic laws. The port CPC shall promptly notify the action taken to the competent authority of the flat CPC and to the ICCAT Secretariat, which shall promptly publish this information in the secure part of the ICCAT website.
27. Other infringements shall be referred to the flag CPC. Upon receiving the copy of the inspection report, the flag CPC shall promptly investigate the alleged infringement and notify the ICCAT Secretariat of the status of the investigation and of any enforcement action that may have been taken within [6] months of such receipt. The ICCAT Secretariat shall promptly publish this information in the secure part of the ICCAT website. CPCs shall include in their Annual Report [Rec. 04-17] information regarding the status of such investigations.

28. Should the inspection provide evidence that the inspected vessel has engaged in IUU activities as referred to in Rec [11-18], the port CPC shall promptly report the case to the flag State and notify as soon as possible the ICCAT Secretariat, along with its supporting evidence, for the purpose of inclusion of the vessel in the draft IUU list.

#### **General provisions**

29. CPCs are encouraged to enter into bilateral agreements/arrangements that allow for an inspector exchange program designed to promote cooperation, share information, and educate each party's inspectors on inspection strategies and methodologies which promote compliance with ICCAT conservation and management measures. A description of such programs should be included in Annual Reports of CPCs [Rec. 04-17].
30. Without prejudice to domestic laws of the port CPC, the flag CPC may send its own officials to accompany the inspectors of the port CPC and observe the inspection of its vessel, having previously received an invitation from the competent authority of the port CPC. Officials from the flag CPC shall not exercise any enforcement powers in the port CPC.
31. [Flag CPCs shall consider and act on reports of infringements from inspectors of a port CPC on a similar basis as the reports from their own inspectors, in accordance with their domestic laws. CPCs shall collaborate, in accordance with their domestic laws, in order to facilitate judicial or other proceedings arising from inspection reports as set out in this Recommendation.]
32. The *Recommendation by ICCAT for a Revised ICCAT Port Inspection Scheme* [Rec. 97-10] is repealed and replaced by the Recommendation.

**Appendix 5**

**DRAFT RECOMMENDATION AMENDING THE RECOMMENDATION BY ICCAT  
CONCERNING MINIMUM STANDARDS FOR THE ESTABLISHMENT OF A VESSEL  
MONITORING SYSTEM (VMS) FOR THE ICCAT CONVENTION AREA [IMM-008/i2012]**

*NOTING* the SCRS, in its 2011 report, indicated that the six hour time interval between VMS reports does not have enough resolution to be used for more useful scientific purposes and, therefore, recommended that VMS signals should be reported at no more than two hour interval;

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

Paragraph 3 of the *Recommendation by ICCAT concerning minimum standards for the establishment of a vessel monitoring system for the ICCAT Convention Area* [Rec. 03-14] shall be replaced with the following:

3. Each CPC shall ensure that the masters of fishing vessels flying its flag shall ensure that the satellite tracking devices are permanently operational and that the information identified in paragraph 1b) is collected at least every two (2) hours for transmission on, at least, a daily basis. In the event of a technical failure or non-operation of the satellite tracking device fitted on board a fishing vessel, the device shall be repaired or replaced within one month. After this period, the master of a fishing vessel is not authorized to commence a fishing trip with a defective satellite tracking device. Furthermore, when a device stops functioning or has a technical failure during a fishing trip lasting more than one month, the repair or the replacement has to take place as soon as the vessel enters a port; the fishing vessel shall not be authorized to commence a fishing trip without the satellite tracking device having been repaired or replaced.

## Appendix 6

**DRAFT RECOMMENDATION BY ICCAT ON A PROGRAMME FOR TRANSHIPMENT**  
[IMM-010C/i2012]

*TAKING ACCOUNT* of the need to combat illegal, unregulated and unreported (IUU) fishing activities because they undermine the effectiveness of the conservation and management measures already adopted by ICCAT;

*EXPRESSING GRAVE CONCERN* that organized tuna laundering operations have been conducted and a significant amount of catches by IUU fishing vessels have been transshipped under the names of duly licensed fishing vessels;

*IN VIEW THEREFORE OF THE NEED* to ensure the monitoring of the transshipment activities by large-scale pelagic longline vessels in the Convention area, including the control of their landings;

*TAKING ACCOUNT* of the need to ensure collection of catch data from such large-scale pelagic longline vessels to improve the scientific assessments of those stocks;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**SECTION 1. GENERAL RULE**

- [1. Except under the program to monitor transshipment at sea established in Section 2 below, all transshipment operations:
  - a) within the Convention Area of tuna and tuna-like species and other species caught in association with these species, and
  - b) outside the Convention Area of tuna and tuna-like species and other species caught in association with these species that were harvested in the ICCAT Convention Area
 must take place in port.]
2. The flag Contracting Party, Cooperating non-Contracting Party, Entity or Fishing Entity (hereafter referred to as CPCs) shall take the necessary measures to ensure that fishing vessels flying their flag comply with the obligations set out in **Annex 3** when transshipping tuna and tuna-like species and any other species caught in association with these species in port.

**SECTION 2. PROGRAMME TO MONITOR TRANSHIPMENT AT SEA**

3. Flag CPCs may authorize their large-scale pelagic longline vessels to conduct at-sea transshipment of tuna and tuna-like species and any other species caught in association with these species to carrier vessels authorized to receive such transshipments on the condition that they are conducted in accordance with the procedures set out in Sections 3, 4 and 5, and **Annexes 1** and **2** below.
4. For the purposes of this recommendation, large-scale pelagic longline vessels shall be defined as those greater than 24 meters length overall.

**SECTION 3. RECORD OF VESSELS AUTHORISED TO RECEIVE TRANSHIPMENT IN THE ICCAT AREA**

5. An ICCAT Record of Carrier Vessels authorized to receive tuna and tuna-like species and any other species caught in association with these species in the Convention area from large-scale pelagic longline vessels shall be established. For the purposes of this recommendation, carrier vessels not entered on the record are deemed not to be authorized to receive tuna and tuna-like species and any other species caught in association with these species in transshipment operations.

6. Each CPC shall continue to submit, electronically and in the format specified by the ICCAT Executive Secretary, its list of the carrier vessels that are authorized to receive transshipments from its large-scale pelagic longline vessels in the Convention area. This list shall include the following information:
  - The flag of the vessel
  - Name of vessel, register number
  - IMO number (if any)
  - Previous name (if any)
  - Previous flag (if any)
  - Previous details of deletion from other registries (if any)
  - International radio call sign
  - Type of vessels, length, gross registered tonnage (GRT) and carrying capacity
  - Name and address of owner(s) and operator(s)
  - Time period authorized for transshipping
7. Each CPC shall promptly notify the ICCAT Executive Secretary of any addition to, any deletion from and/or any modification of the ICCAT record, at any time such changes occur.
8. The ICCAT Executive Secretary shall maintain the ICCAT record and take measures to ensure publicity of the record through electronic means, including placing it on the ICCAT website, in a manner consistent with domestic confidentiality requirements.
9. Carrier vessels authorized for at-sea transshipment and large-scale pelagic longline vessels which transship at sea shall be required to install and operate a VMS in accordance with all applicable ICCAT recommendations, including the *Recommendation by ICCAT Concerning Minimum Standards for the Establishment of a Vessel Monitoring System in the ICCAT Convention Area* [Rec. 03-14], or any successor recommendation, including any future revisions thereto.

#### **SECTION 4. AT-SEA TRANSSHIPMENT**

10. Transshipments by large-scale pelagic longline vessels in waters under the jurisdiction of CPCs are subject to prior authorization from the coastal State concerned. An original or copy of the documentation of coastal State prior authorization must be retained on the vessel and made available to the ICCAT observer for inspection when requested. [In addition, a copy of the authorization must be provided to the observer if requested and should be included with the observer's report]. CPCs shall take the necessary measures to ensure that large-scale pelagic longline vessels flying their flag comply with the provisions of this Section, as follows:

##### **Flag CPC authorization**

11. Large-scale pelagic longline vessels are not authorized to transship at sea unless they have obtained prior authorization from their flag State. An original or copy of the documentation of prior authorization must be retained on the vessel and made available to the ICCAT observer for inspection when requested. [In addition, a copy of the authorization must be provided to the observer if requested and should be included with the observer's report].

##### **Notification obligations**

##### ***Fishing vessel:***

12. To receive the prior authorization mentioned in paragraph 11 above, the master and/or owner of the large-scale pelagic longline vessel must notify the following information to its flag CPC authorities at least [24] hours in advance of the intended transshipment:
  - the name of the large-scale pelagic longline vessel and its number in the ICCAT record of fishing vessels,
  - the name of the carrier vessel and its number in the ICCAT record of carrier vessels authorized to receive transshipments in the ICCAT area, and the product to be transshipped, by species, where known, [and, if possible, by stock],
  - the tonnage by product to be transshipped, including by species, where known, [and, if possible, by stock],
  - the date and location of transshipment,
  - the geographic location of the catches,



The large-scale pelagic longline vessel concerned shall complete and transmit to its flag CPC, not later than 15 days after the transshipment, the ICCAT transshipment declaration, along with its number in the ICCAT record of fishing vessels in accordance with the format set out in **Annex 1**.

***Receiving carrier vessel:***

13. The master of the receiving carrier vessel shall complete and transmit the ICCAT transshipment declaration to the ICCAT Secretariat and the flag CPC of the large-scale pelagic longline vessel, along with its number in the ICCAT record of carrier vessels authorized to receive transshipment in the ICCAT area, within 24 hours of the completion of the transshipment.
14. The master of the receiving carrier vessel shall, [48] hours before landing, transmit an ICCAT transshipment declaration, along with its number in the ICCAT record of vessels authorized to receive transshipment in the ICCAT Convention area, to the competent authorities of the State where the landing is to take place.

***ICCAT Regional Observer Program***

15. Each CPC shall ensure that all carrier vessels transshipping at sea have on board an ICCAT observer in accordance with the ICCAT regional observer program specified in **Annex 2**. The ICCAT observer shall observe the adherence to this Recommendation, and, notably, that the transshipped quantities are consistent with the reported catch in the ICCAT transshipment declaration and, as feasible, as recorded in the fishing vessel logbook.
16. Vessels shall be prohibited from commencing or continuing transshipping in the ICCAT Convention area without an ICCAT regional observer on board, except in cases of *force majeure* duly notified to the ICCAT Secretariat.

**SECTION 5. GENERAL PROVISIONS**

17. To ensure the effectiveness of the ICCAT conservation and management measures pertaining to species covered by Catch and Statistical Document Programs:
  - a) In validating the Catch or Statistical Documents, flag CPCs of large-scale pelagic longline vessels shall ensure that transshipments are consistent with the reported catch amount by each large-scale pelagic longline vessel.
  - b) The flag CPC of large-scale pelagic longline vessels shall validate the Catch or Statistical Documents for the transshipped fish, after confirming that the transshipment was conducted in accordance with this Recommendation. This confirmation shall be based on the information obtained through the ICCAT Observer Program.
  - c) CPCs shall require that the species covered by the Catch or Statistical Document Programs caught by large-scale pelagic longline vessels in the Convention area, when imported into the area or territory of a CPC, be accompanied by catch or statistical documents validated for the vessels on the ICCAT record and a copy of the ICCAT transshipment declaration.
- [17 bis. At a minimum, each CPC shall ensure at least [15%] domestic observer coverage of fishing effort of its large-scale pelagic longline fleet engaged in at sea transshipment, which is in addition to the observer coverage requirement specified in the *Recommendation by ICCAT to Establish Minimum Standards for Fishing Vessel Scientific Programs* [Rec. 10-10]. CPCs shall implement such additional observer coverage consistent with the provisions of Rec. 10-10 and shall also report on this implementation to the Commission consistent with the reporting requirements of said recommendation.]
18. The CPCs shall report annually before 15 September to the Executive Secretary:
  - The quantities by species [where known, (and, if possible, by stock)] transshipped during the previous year.
  - The list of the large-scale pelagic longline vessels which have transshipped during the previous year.
  - A comprehensive report assessing the content and conclusions of the reports of the observers assigned to carrier vessels which have received transshipment from their large-scale pelagic longline vessels. These reports shall be made available to the Commission and relevant subsidiary bodies for review and consideration.

19. All tuna and tuna-like species and any other species caught in association with those species landed in or imported into the area or territory of CPCs, either unprocessed or after having been processed on board and which are transshipped, shall be accompanied by the ICCAT transshipment declaration until the first sale has taken place.
20. Each year, the Executive Secretary of ICCAT shall present a report on the implementation of this Recommendation to the annual meeting of the Commission which shall, *inter alia*, review compliance with this Recommendation.
21. This Recommendation replaces the *Recommendation by ICCAT Establishing a Programme for Transshipment by Large-scale Longline Fishing Vessels* [Rec. 06-11].

## Annex 1

### Fishing vessel

Vessel Name and radio call sign:  
Flag CPC:  
Flag CPC authorization number:  
Domestic Registration Number:  
ICCAT Record Number, if applicable:  
IMO Number, if any:  
External identification:

Indicate the weight in kilograms or the unit used (e.g. box, basket) and the landed weight in kilograms of this unit: | | kilograms

[illegible]

ICCAT Observer signature and date (if transshipment at sea):

<sup>1</sup> Type of Product should be indicated as Round (RD), Gilled and Gutted (GG), Dressed (DR), Fillet (FL), Steak (ST), Other (OT) (describe the type of product)

<sup>2</sup> A list of species and stocks is included on the back of this form. Please provide as much detail as possible.

### ICCAT Regional Observer Programme

1. Each CPC shall require carrier vessels included in the ICCAT record of vessels authorized to receive transshipments in the ICCAT area and which transship at sea, to carry an ICCAT observer during each transshipment operation in the Convention area.
2. The Secretariat of the Commission shall appoint the observers and shall place them on board the carrier vessels authorized to receive transshipments in the ICCAT area from large-scale pelagic longline vessels flying the flag of Contracting Parties and of Cooperating non-Contracting Parties, Entities or Fishing Entities (CPCs) that implement the ICCAT observer program.
3. The ICCAT Secretariat shall ensure observers are properly equipped to perform their duties [including providing scales for weighing fish if none are present on the carrier vessel].

#### Designation of the observers

4. The designated observers shall have the following qualifications to accomplish their tasks:
  - demonstrated ability to identify ICCAT species and fishing gear with a strong preference given to those with experience as observers on pelagic longline vessels;
  - satisfactory knowledge of the ICCAT conservation and management measures;
  - the ability to observe and record accurately;
  - a satisfactory knowledge of the language of the flag of the vessel observed.

#### Obligations of the observer

5. Observers shall:
  - a) have completed the technical training required by the guidelines established by ICCAT;
  - b) to the extent possible, not be nationals or citizens of the flag State of the receiving carrier vessel;
  - c) be capable of performing the duties set forth in point 6 below;
  - d) be included in the list of observers maintained by the Secretariat of the Commission;
  - e) not be a crew member of the large-scale pelagic longline vessel or the carrier vessel or an employee of the large-scale pelagic longline vessel or carrier vessel company.
6. The observer shall monitor the large-scale pelagic longline vessel's and carrier vessel's adherence to the relevant conservation and management measures adopted by the Commission. The observers' tasks shall be, in particular, to:
  - 6.1. Visit the large-scale pelagic longline vessel intending to transship to a carrier vessel, taking into account the safety concerns reflected in paragraph 10 of this Annex, and before the transshipment takes place, to:
    - a) Check the validity of the fishing vessel's authorization or license to fish for tuna and tuna-like species and any other species caught in association with those species in the Convention area;
    - b) Inspect the fishing vessel's prior authorizations to transship at sea from the flag CPC and, if appropriate, the coastal State; [obtain a copy of these documents, if appropriate, to include with the observer report].
    - c) Check and record the total quantity of catch on board by species [and, if possible, by stock] and the quantities to be transshipped to the carrier vessel;
    - d) Check that the VMS is functioning and examine the logbook and verify entries, if possible;
    - e) Verify whether any of the catch on board resulted from transfers from other vessels, and check the documentation on such transfers;
    - f) In the case of indication that there are any violations involving the fishing vessel, immediately report the violation(s) to the master of the carrier vessel (taking due regard of any safety considerations) and to the observer program implementing company, who shall promptly forward it to the flag CPC authorities of the fishing vessel; and
    - g) Record the results of these duties on the fishing vessel in the observer's report.

6.2. Observe the activities of carrier vessel and:

- a) record and report upon the transshipment activities carried out;
- b) verify the position of the vessel when engaged in transshipping;
- c) observe and estimate quantities of products transshipped by species, if known, [and, if possible, by stock];
- d) verify and record the name of the large-scale pelagic longline vessel concerned and its ICCAT record number;
- e) verify the data contained in the transshipment declaration, including through comparison with the large-scale pelagic longline vessel logbook, where possible;
- f) certify the data contained in the transshipment declaration;
- g) countersign the transshipment declaration; and
- h) observe and estimate quantities of product by species when offloaded in the port where the observer is disembarked to verify consistency with quantities received during at sea transshipment operations.

6.3 In addition, the observer shall:

- a) issue a daily report of the carrier vessel's transshipping activities;
  - b) establish general reports compiling the information collected in accordance with the observer's duties and provide the captain the opportunity to include therein any relevant information.
  - c) submit to the Secretariat the aforementioned general report within 20 days from the end of the period of observation.
  - d) exercise any other functions as defined by the Commission.
7. Observers shall treat as confidential all information with respect to the fishing operations of the large-scale pelagic longline vessels and of the large-scale pelagic longline vessels' owners and accept this requirement in writing as a condition of appointment as an observer;
  8. Observers shall comply with requirements established in the laws and regulations of the flag State which exercises jurisdiction over the vessel to which the observer is assigned.
  9. Observers shall respect the hierarchy and general rules of behavior which apply to all vessel personnel, provided such rules do not interfere with the duties of the observer under this program, and with the obligations of vessel personnel set forth in paragraph 9 of this program.

**Responsibilities of the Flag States of carrier vessels**

10. The conditions associated with implementation of the regional observer program *vis à vis* the flag States of the carrier vessels and their captains include the following, notably:
  - a) Observers shall be allowed access to the vessel personnel, pertinent documentation, and to the gear and equipment;
  - b) Upon request, observers shall also be allowed access to the following equipment, if present on the vessels to which they are assigned, in order to facilitate the carrying out of their duties set forth in paragraph 6:
    - i) satellite navigation equipment;
    - ii) radar display viewing screens when in use;
    - iii) electronic means of communication; and
    - iv) scale used for weighing transshipped product;
  - c) Observers shall be provided accommodations, including lodging, food and adequate sanitary facilities, equal to those of officers;
  - d) Observers shall be provided with adequate space on the bridge or pilot house for clerical work, as well as space on deck adequate for carrying out observer duties;
  - e) Observers shall be allowed to determine the most advantageous location and method for viewing transshipment operations and estimating species/stocks and quantities transshipped. In this regard, the master of the carrier vessel, giving due regard to safety and practical concerns, shall accommodate the needs of the observer in this regard, including, upon request, temporarily placing product on the carrier vessel deck for inspection by the observer and providing adequate time for the observer to carry out his/her duties. Observations shall be conducted in a manner that minimizes interference and avoids compromising the quality of the products transshipped.

- f) In light of the provisions of paragraph 11, the master of the carrier vessel shall ensure that all necessary assistance is provided to the observer to ensure safe transport between the carrier and fishing vessels should weather and other conditions permit such an exchange; and
- g) The flag States shall ensure that captains, crew and vessel owners do not obstruct, intimidate, interfere with, influence, bribe or attempt to bribe an observer in the performance of his/her duties.

The Secretariat, in a manner consistent with any applicable confidentiality requirements, is requested to provide to the flag State of the carrier vessel under whose jurisdiction the vessel transshipped and to the Flag CPC of the large-scale pelagic longline vessel, copies of all raw data, summaries, and reports pertaining to the trip.

The Secretariat shall submit the observer reports (covering the information and activities of both the fishing and carrier vessels) to the Compliance Committee and to the SCRS.

#### **Responsibilities of large-scale pelagic longline vessels during transshipments**

- 11. Observers shall be allowed to visit the fishing vessel, if weather and other conditions permit, and shall be granted access to personnel, all pertinent documentation, and areas of the vessel necessary to carry out their duties set forth in paragraph 6 in this Annex. The master of the fishing vessel shall ensure that all necessary assistance is provided to the observer to ensure safe transport between the carrier and fishing vessels. Should conditions present an unacceptable risk to the welfare of the observer such that a visit to the large-scale pelagic longline vessel is not feasible prior to the start of transshipment operations, such, operations may still be carried out.

#### **Observer fees**

- 12. The costs of implementing this program shall be financed by the flag CPCs of large-scale pelagic longline vessels wishing to engage in transshipment operations. The fee shall be calculated on the basis of the total costs of the program. This fee shall be paid into a special account of the ICCAT Secretariat and the ICCAT Secretariat shall manage the account for implementing the program;
- 13. No large-scale pelagic longline vessel may participate in the at-sea transshipment program unless the fees as required under paragraph 12 are paid.

#### **Information Sharing**

- 14. To facilitate information sharing and, to the extent possible, harmonization of at sea transshipment programs across relevant regional fisheries management organizations, all training materials, including observer manuals, and data collection forms developed and used to support implementation of ICCAT's at sea transshipment regional observer program shall be posted on the public portion of the ICCAT website.

#### **Identification Guides**

- 15. The SCRS shall work with the ICCAT Secretariat and others as appropriate to develop new or improve existing identification guides for frozen tuna and tuna-like species. The ICCAT Secretariat shall ensure that these identification guides are made broadly available to CPCs and other interested parties, including to ICCAT regional observers prior to deployment and to other regional fisheries management organizations running similar at sea transshipment observer programs.

**In-Port Transshipment**

1. Pursuant to Section 1 of this Recommendation, transshipment in port by any CPC of tuna and tuna-like species and any other species caught in association with these species from or in the Convention area may only be undertaken in accordance with [reference relevant ICCAT Port Inspection Scheme] and the following procedures:

**Notification obligations****2. Fishing vessel:**

- 2.1 At least 48 hours in advance of transshipment operations, the captain of the fishing vessel must notify to the Port State authorities the name of the carrier vessel and date/time of transshipment.
- 2.2 The captain of a fishing vessel shall, at the time of the transshipment, inform its flag CPC of the following;
  - the products and quantities involved, by species [and, if possible, by stock],
  - the date and place of the transshipment,
  - the name, registration number and flag of the receiving carrier vessel,
  - the major fishing grounds of the catches.
- 2.3 The captain of the fishing vessel concerned shall complete and transmit to its flag CPC the ICCAT transshipment declaration, along with its number in the ICCAT record of fishing vessels, where applicable, in accordance with the format set out in **Annex 1** not later than 15 days after the transshipment.

**Receiving vessel:**

3. Not later than 24 hours before the beginning and at the end of the transshipment, the master of the receiving carrier vessel shall inform the port State authorities of the quantities of catches of tuna and tuna-like species transshipped to his vessel, and complete and transmit the ICCAT transshipment declaration to the competent authorities within 24 hours.
4. The master of the receiving carrier vessel shall, [48] hours before landing, complete and transmit an ICCAT transshipment declaration to the competent authorities of the landing State where the landing takes place.

**Port and Landing State Cooperation:**

5. The port State and the landing State referred to in the above paragraphs shall take the appropriate measures to verify the accuracy of the information received and shall cooperate with the flag CPC of the fishing vessel to ensure that landings are consistent with the reported catches of each vessel. This verification shall be carried out so that the vessel suffers the minimum interference and inconvenience and that degradation of the fish is avoided.

**Reporting**

6. Each flag CPC of the fishing vessel shall include in its Annual Report each year to ICCAT the details on the transshipments by its vessels.

**STATEMENT CONCERNING THE “DRAFT RECOMMENDATION BY ICCAT ON A CATCH CERTIFICATION SCHEME FOR SKIPJACK, YELLOWFIN AND BIGEYE [ALBACORE] TUNA [AND SWORDFISH]” [IMM-006C/i2012]**

– *Statement of United States*

Given the conservation status of the resources in question and the ongoing implementation of ICCAT’s statistical document programs, the United States would like to better understand the need for this proposal. The United States recognizes the role of traceability systems as part of an integrated approach to fisheries management and is willing to continue to discuss potential ICCAT measures where such a tool can effectively address a clear need. Additionally, in light of the nature of tropical tuna fisheries, the volume and complexity of trade, and the diversity of product types involved, the United States has serious questions about how effective the proposed scheme could be in meeting its stated objectives.

The United States believes that adopting the proposed traceability system for all bigeye, yellowfin, and skipjack tuna products may be premature at this time. Given limited CPC and Commission resources, the United States highlights the importance of efforts to develop and effectively implement integrated control measures on the fishing grounds and in port. The United States further recalls that the eBCD system is anticipated to be able to accommodate other species as necessary in the future. This electronic system will be more reliable, efficient, and effective than the current paper-based system. Any enhanced traceability scheme should, therefore, be developed as an electronic system. The United States would not support directing scarce financial and human resources toward any new paper-based traceability systems.

– *Statement of Japan supported by the European Union*

Japan stated that last year ICCAT for the first time introduced a set of comprehensive measures for yellowfin tuna and strengthened measures for bigeye tuna mainly in response to concerns regarding purse seine activities, and that the compliance of such measures would be greatly enhanced with the proposed catch certification scheme, given the past experience on similar measures.

Japan also stated that the initial cost might be high, but this was expected to reduce greatly with the introduction of an electronic scheme, the basis of which would be established by the end of this year in the Bluefin Tuna Catch Documentation Scheme. Japan suggested that if the Commission considers that the cost is too high, the implementation of compilation of the information by the Secretariat as in paragraphs 22 and 23 of the document IMM-006C/i2012 could be delayed until the scheme becomes an electronic one.

– *Statement of Brazil and Mexico*

As regards the discussion on traceability systems for bigeye, yellowfin and skipjack tuna products, both Mexico and Brazil agreed that the establishment of an ICCAT programme for this must be analyzed and, if this type of system is adopted, it should be the result of negotiations and multilateral agreements within the framework of the Commission, not only incorporating the regulations which each Party had already adopted internally.

– *Statement of Turkey*

Turkey considers that the expression written in the draft report of the 7<sup>th</sup> meeting of the Working Group on Integrated Monitoring Measures "Turkey expressed general reservation to the proposal" [IMM 006C/i2012] does not correctly reflect what has been expressed by our delegation. We request this be deleted accordingly.

During the discussions made on the Japanese proposal “Traceability system for all bigeye, yellowfin and skipjack tuna products”, Turkey has expressed the need to consult a number of other domestic bodies which are responsible from importing and/or exporting tuna and tuna like species in Turkey. Since a great deal of amendments would be required as regards domestic rules and customs procedures, the above mentioned internal consultation is essentially required at this stage. Therefore, we have reserved our decision at a later stage.