

## Compliance Actions developed by COC Chair in consultation with Friends of COC Chair Group

Albania	Letter on reporting, request action plan due to recurring late vessel submission.
Algeria	Letter on reporting, request action plan due to recurring late or missing reports. Follow-up on issues for which there was a partial response in last year's Chair letter.
Angola	Identification due to recurring significant late and missing reports, request action plan due to recurring late or missing reports, harvest of ICCAT species while under prohibition on retention of ICCAT species in effect under <a href="#">Rec. 11-15</a> , no response to Chair letter. Follow-up on issues raised in last year's Chair letter (no response).
Barbados	Letter on lack of scientific observer program <u>and to request additional information on actions planned for blue marlin and white marlin</u> . Request action plan. Notify application of 125% payback (non-discretionary per <a href="#">Rec. 19-05</a> ).
Belize	Letter on late reporting and to further inquire about implementation of <a href="#">Rec. 18-06</a> (shortfin mako), <a href="#">Rec. 19-05</a> (billfish) and <a href="#">Rec. 16-14</a> (scientific observers), and to seek a better understanding about whether and how Belize applies ICCAT rules in a legally binding manner for fisheries in waters under Belize's national jurisdiction.
Brazil	Letter on continued overharvest of bigeye, while noting positively actions reported by Brazil and stated intention to payback. Follow up to last year's letter to request additional information regarding efforts related to improved port monitoring and observer coverage.
Cabo Verde	Identification due to recurring reporting issues, implementation requirements on domestic scientific observers, in-port transshipment, FAD management plan, vessels without IMO numbers, recommendation to consider request for further capacity building. Response template not used for response to last year's COC Chair letter, missing information requested in Chair letter not provided. Request action plan. Inform of potential for trade-restrictive measures under <a href="#">Rec. 06-13</a> in accordance with ICCAT Schedule of Compliance Issues and Corresponding Actions (Ref. 22-18).
Canada	No action necessary.
China PR	Letter on recurring retroactive vessel listing, request action plan on this issue.
Côte d'Ivoire	Letter on reporting issues, implementation of requirements on national scientific observers, <u>responses in Shark Check Sheet in particular regarding implementation of <a href="#">Rec. 10-08</a> (hammerhead shark)</u> , request action plan on latter due to recurring issue.
Curaçao	Letter on blue marlin overharvest, noting payback intention, and to request information on implementation of national scientific observer program ( <a href="#">Rec. 16-14</a> ). Also note request for training of onboard observers in 2022 letter response.
Egypt	No action necessary.
El Salvador	No action necessary.
Equatorial Guinea	Letter on scientific observer program and to request additional data about access agreements, including whether the foreign vessels fishing in its waters mentioned in its response to last year's Chair letter have formal access agreements in place, and whether catches by these vessels and other required information are being reported in accordance with the <i>Recommendation by ICCAT on Access Agreements</i> ( <a href="#">Rec. 14-07</a> ).
European Union	Letter on reporting, while positively noting improvements, <u>and to note thanks for updates on <i>Tarantelo</i> investigation and request updates at future meetings</u> (white marlin/blue marlin reporting issue <u>to be included</u> via footnote in compliance table <a href="#">COC-304/2023</a> , <u>noting subject to updates based on further analysis</u> ).
France SPM	No action necessary.
Gabon	Letter on late or missing report and potential application of prohibition on retention under <a href="#">Rec. 11-15</a> if missing statistical data not received.
The Gambia	Letter on significant recurring reporting issues, request action plan, encourage seeking technical assistance as needed from Secretariat.

	Reiterate requests in last year's 2022 COC Chair letter, including to seek further response allegations of IUU fishing in COC-312/2023.
Ghana	Letter requesting additional information national scientific observer program ( <a href="#">Rec. 16-14</a> ) <u>access agreements, and implementation of ICCAT Recommendations on hammerhead, silky shark and oceanic whitetip.</u> Template not used for response to last year's COC Chair letter.
Grenada	Maintain identification for significant recurring reporting issues, including no Annual Report or statistical data, blue marlin overharvest, and catches of SWO-N without quota. No response to last year's Chair letter. Request action plan on these matters and inform of potential future application of trade-restrictive measures under <a href="#">Rec. 06-13</a> in accordance with ICCAT Schedule of Compliance Issues and Corresponding Actions (Ref. 22-18) if meaningful actions not demonstrated in near future.  Follow up on matters raised in last year's Chair letter.
Guatemala	Letter on late reporting and to request information on implementation of national scientific observer program.
Guinea Bissau	Maintain identification under <i>Recommendation by ICCAT concerning trade measures</i> ( <a href="#">Rec. 06-13</a> ) due to recurring significant reporting issues, including no Annual Report or statistical data received for seven years in a row; continued prohibition on retention of ICCAT species under <a href="#">Rec. 11-15</a> ; and possible lack of implementation of billfish and shark recommendations. No reply to 2022 COC letter, reiterate issues raised. Request action plan to address reporting and other deficiencies. Encourage seeking capacity building and technical assistance via Secretariat. Inform of potential for application of trade-restrictive measures under <a href="#">Rec. 06-13</a> if insufficient demonstrated progress, in accordance with the Schedule of Compliance Issues and Corresponding Actions (Ref. 22-18).
Guinea Rep.	Letter on recurring late or missing reports (request action plan); request information on in-port transshipment and national scientific observer program implementation ( <a href="#">Rec. 21-15</a> ; <a href="#">Rec. 16-14</a> ).
Honduras	Letter on recurring reporting issues, request action plan; inquire about national scientific observer program. No response to last year's Chair letter.  Reiterate items in last year's Chair letter for response.
Iceland	Letter on reporting.
Japan	Letter on overharvest of ALBS and BUM.
Korea	No action necessary.
Liberia	Letter on reporting issues, request action plan; <u>continued swordfish</u> overharvest (without quota, but catches reduced); note possibility of identification under <a href="#">Rec. 06-13</a> on trade measures in accordance with the Schedule of Compliance Issues and Corresponding Actions ( <a href="#">Ref. 22-18</a> ) if significant improvements are not made, <u>while noting some improvements through the submission of the Billfish and Shark Check Sheets.</u>  Follow up on issues not addressed in Liberia's response to last year's Chair letter.
Libya	Letter on reporting issues, implementation of shark measures, and scientific observer program.
Mauritania	Letter on reporting issues, late reply to 2022 COC letter. Follow up as needed on issues raised in 2022 COC letter, as reply was late and therefore time to review response was limited.
Mexico	<u>No action necessary.</u>
Morocco	No action necessary.
Namibia	Maintain identification due to continued overharvests, reporting issues, discrepancies between Task 1 and Compliance tables. Notify of potential for future imposition of trade-restrictive measures under <a href="#">Rec. 06-13</a> in accordance with the Schedule of Compliance Issues and Corresponding Actions (Ref. 22-18) if significant improvements not made.  Follow up on last year's COC Chair letter to request additional information on any regulations in place to implement the ICCAT marlin measure; the fisheries observer program, at-sea inspectors and port monitoring mentioned in their letter; generally, on the

	regulatory framework and compliance and enforcement measures by its authorities; and to help clarify data reporting requirements for charting operations.
Nicaragua	Letter on late reporting, while noting positively improvements from previous years, and to request information on in-port transshipment. Template for response to COC Chair not used.  Follow up on issues raised in last year's COC Chair letter that were not addressed in response letter.
Nigeria	Letter on recurring reporting issues (no Annual Report in 2023) and no reply to 2022 COC letter. Request action plan.  Reiterate issues raised in last year's COC Chair letter.
Norway	No action necessary.
Panama	Letter on late reporting, while noting improvement. Compliance letter response template not used.  Follow up on matters not addressed in response to last year's COC Chair letter.
Philippines	No action necessary.
Russia	No action necessary.
São Tomé e Príncipe	Maintain identification for significant and recurring reporting issues, including no Annual Report for three years, no implementation of scientific observer program, while noting request for capacity building assistance. Template not used for response letter and missing information identified in COC Chair letter not sent.  Follow upon issues not addressed in response to last year's COC Chair letter.
Senegal	Maintain identification under <a href="#">Rec. 06-13</a> on Trade Measures, noting no submission of action plan as requested in 2022 Chair letter which called for "action plan clearly outlining steps it will take sufficient actions to address these matters, to inform the COC's consideration of whether to lift identification at the 2023 meeting", continuing information on possible significant amounts of illegal transshipment and/or overharvest of ICCAT species (albacore and swordfish) as reflected by recurring discrepancies between exports and reported catch, validation of exports of such fish. Letter to also address reporting and implementation of requirements on national scientific observer program ( <a href="#">Rec. 16-14</a> ). Reiterate request for action plan clearly outlining steps it will take sufficient actions to address these matters, to inform the COC's consideration of whether to lift identification at the 2024 meeting. Inform of potential for trade-restrictive measures under <a href="#">Rec. 06-13</a> in accordance with ICCAT Schedule of Compliance Issues and Corresponding Actions (Ref. <a href="#">22-18</a> ). <u>Include footnote in Senegal's compliance tables (COC-304/2023) for swordfish and albacore to note subject to revision due to potential overharvest detected through trade data.</u>
Sierra Leone	Letter on continued reporting issues, request action plan; no statistical data, notify of prohibition on retention of ICCAT species under <a href="#">Rec. 11-15</a> if not remedied; no reply to COC Chair.  Reiterate items in last year's COC Chair letter.
South Africa	Letter on reporting matters, while noting responses to date.
St Vincent & Grenadines	Identification for continued overharvest of southern swordfish with no quota and negative balance increasing. Letter to also note reporting issues; indication of chartered vessels in Annual Report but no information sent at time of chartering in 2022 (arrangements expired in 2021); implementation of national scientific observer program ( <a href="#">Rec. 16-14</a> ); inquire about status of WHM as no reported catch, down from significant catch in previous years. No response to Chair letter.  Reiterate items in last year's COC Chair letter.
Syria	Letter to reiterate issues raised in 2021 and 2022 COC letter to which there was no reply; implementation of national scientific observer program ( <a href="#">Rec. 16-14</a> ).

Trinidad & Tobago	Letter on implementation of scientific observer program ( <a href="#">Rec. 16-14</a> ) and late reporting. Request continued updates on modernization of fisheries regulatory framework addressed in response letter.
Tunisia	Letter on reporting. Follow up as needed on issues raised in 2022 COC letter, as reply was late and therefore time to review response was limited.
Türkiye	No action necessary.
UK	No action necessary.
United States	No action necessary.
Uruguay	No action necessary.
Venezuela	Letter on continued reporting issues, overharvest of ALBN and WHM, late response to COC letter, and implementation of national scientific observer program, while noting plans notified by Venezuela at annual meeting. Request action plan to address these items. Follow up as needed on issues raised in 2022 COC letter, as reply was late and therefore time to review response was limited.
Bolivia	Letter on late reporting. Follow up as needed on issues raised in 2022 COC letter, as reply was late and therefore time to review response was limited.
Costa Rica	Letter regarding continued overharvest of NSW0 and BUM, reporting, additional information on Shortfin Mako ( <a href="#">Rec. 21-09</a> ) and national scientific observer program ( <a href="#">Rec. 16-14</a> ) implementation. Request action plan on all these matters. <u>Letter to also request specific regulatory information on how Costa Rica implements the requirement to prohibit silky sharks from entering into international trade as a condition for exercising the developing State exemption in para. 4 of <a href="#">Rec. 11-08</a>.</u> Note 125% payback rules for BUM for overharvest two consecutive years. Response letter template not used for response to last year's COC Chair letter. Follow up on any outstanding deficiencies addressed in last year's COC Chair letter.
Chinese Taipei	No action necessary.
Guyana	Letter to inquire about fishing for WHM and management measures in light of reported trade in but no catch reported (and negative balance). Response letter template not used for response to last year's COC Chair letter.
Suriname	No action necessary.