

Original: English

FINANCIAL IMPLICATIONS OF PROPOSED DRAFT RECOMMENDATIONS

(ICCAT Secretariat)

The table below compiles the financial implications of all proposed recommendations. Additional details are provided in the attached appendices.

Proposal	Title of the proposal	Estimated cost (€)
STF-212	Draft Recommendation by iccat to replace Rec. 03-20 on criteria for attaining the status of cooperating non-contracting party, entity or fishing entity in ICCAT	12136
PWG-410	Draft resolution by iccat establishing an ICCAT working group on catch document scheme	26766
PA1-507	Proposal on observer coverage	20057
PA1-510	Draft Recommendation to amend ICCAT Recommendation 19-02	22464
PA1-511	Draft Recommendation by ICCAT to replace Recommendation 19-02 on a multi-annual conservation and management programme for tropical tunas	21557
PA2-604Rev	Draft recommendation by ICCAT amending the recommendation 19-04 establishing a multi annual management plan for bluefin tuna in the eastern Atlantic and the Mediterranean	7331
PA2-606	Proposal on growth rate observed in bluefin tuna farmed in eastern Atlantic and the mediterranean	13218
PA2-612	Draft Recommendation by ICCAT amending Rec. 17-06 for an interim conservation and management plan for western Atlantic bluefin tuna	0
Total estimated cost for implementing the proposals		123538

Cover Note Template

Title of the Draft Recommendation:

DRAFT RECOMMENDATION BY ICCAT TO REPLACE RECOMMENDATION 03-20 ON CRITERIA FOR ATTAINING THE STATUS OF COOPERATING NON-CONTRACTING PARTY, ENTITY OR FISHING ENTITY IN ICCAT (STF_212)

Paragraph(s) of New Reporting Obligation(s):

1. Paragraph 4c
2. Paragraph 5

Brief Description of New Reporting Obligation(s):

1. Cooperating non-Parties should make an annual voluntary financial contribution commensurate with at least [50]% of the amount that would be assessed should it become a Contracting Party.
2. If such Cooperating non-Party does not make a voluntary contribution, it should explain the reason to the Commission.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified

Description of Expected Service(s) from the Secretariat:

Calculation of the expected financial contribution from Cooperating non-parties and distribution of a circular among Cooperating non-Party

Estimated Total Costs to the Secretariat (including Staff Time required):

Staff Time required for extra calculation of contributions of Cooperating non-Parties.

Each new CNCP implies updates in ICCAT Secretariat data bases of historic and new input data, updates of e-forms related to both Stats and Compliance.

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Extra calculation is required for contributions of Cooperating non-Parties, but such extra work would not be significant.

Comments by the Secretariat:

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

There is an established way to calculate contributions, thus no external expertise or advise is required.

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

Comments by the Secretariat:

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

From annual financial contributions to the budget of the Commission beginning in [2024].

No differentiation for Developing States CPCs.

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs., etc.) below:

This proposal aims to improve the financial situation of the Commission.

Final Comments by the Secretariat:

Each new CNCP implies updates in ICCAT Secretariat data bases and updates t e-forms. The overall estimated cost related to Secretariat staff is of aproximately **€12136**.

Cover Note Template

Title of the Draft Recommendation:

DRAFT RESOLUTION BY ICCAT ESTABLISHING AN ICCAT WORKING GROUP ON CATCH DOCUMENT SCHEME (PWG-410)

Paragraph(s) of New Reporting Obligation(s):

New resolution

Brief Description of New Reporting Obligation(s):

Establishment of an ad hoc Working Group (WG) on CDS with the objective of considering whether to establish an electronic CDS for any additional ICCAT stocks/species.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified

Description of Expected Service(s) from the Secretariat:

Organization of the WG.

Estimated Total Costs to the Secretariat (including Staff Time required):

Translation of documents, interpretation, and Staff Time required for meeting arrangements of the WG.

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

If this WG meeting is included in the list of the intersessional meetings, funding should be able to support interpretation and Secretariat staff work. Nevertheless, the WG is proposed to be held back-to-back to other meeting or held in virtual setting to save costs.

Comments by the Secretariat:

The estimated duration of the meeting is of 2 days.

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

This would require attendance by all compliance staff of the two day meeting as well as possible preparation of documents required in advance by the Group. Additional time of administrative staff would be required for meeting registration and platform set-up, in addition to any translation time required.

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

No external expertise or advice is necessary for the WG.

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

Comments by the Secretariat:

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

In [2022 and 2023], the WG should hold a meeting at least once a year.

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs., etc.) below:

In a long term, CDS will improve data reporting and quality.

Final Comments by the Secretariat:

Given the development of the BFT e-BCD program, it will be expected the participation of staff on the ad-hoc WG meeting(s). The overall estimated cost related to Secretariat staff is of approximately **€26766**.

Cover Note Template

Title of the Draft Recommendation:

PROPOSAL ON OBSERVER COVERAGE (PA1-507)

Paragraph(s) of New Reporting Obligation(s):

No new reporting obligation.

Brief Description of New Reporting Obligation(s):

Not applicable.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified

Description of Expected Service(s) from the Secretariat:

No service from the Secretariat is required

Estimated Total Costs to the Secretariat (including Staff Time required):

Not applicable

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

Electronic monitoring (EM) data input, quality control and administration will add additional tasks to the Secretariat. Need to develop standard format inputs and import data scripts for each different EM system.

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

No external expertise or advise is required.

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

Comments by the Secretariat:

Secretariat staff will need to participate/assist CPCs on the formats, transfer of data, quakity control and development of database for gathering electronic monitoring data to make it usable for the SCRS.

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs., etc.) below:

Final Comments by the Secretariat:

The estimates of Secretariat costs reflect the 2022 development of database/form for electronic monitoring trial phase only, though full implementation in 2023 will require more resources. The overall estimated cost related to Secretariat staff is of aproximately €20057.

Cover Note Template

Title of the Draft Recommendation:

DRAFT RECOMMENDATION TO AMEND ICCAT RECOMMENDATION 19-02 (PA1_510)

Paragraph(s) of New Reporting Obligation(s):

No new reporting obligation.

Brief Description of New Reporting Obligation(s):

Not applicable.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified

Description of Expected Service(s) from the Secretariat:

Arrangement for intersessional Panel 1 meeting on Yellowfin tuna allocation (para 18).

Estimated Total Costs to the Secretariat (including Staff Time required):

No staff time is required

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

No external expertise or advise is required.

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

Comments by the Secretariat:

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

Intersessional Panel 1 meeting will be held in 2022.

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs, etc.) below:

Final Comments by the Secretariat:

New/additional tasks will be required of the staff. The overall estimated cost related to Secretariat staff is of approximately **€22464**. This includes organization and attendance of an intersessional meeting, as well as any additional work involved in seeking and processing historical data.

Cover Note Template

Title of the Draft Recommendation:

DRAFT RECOMMENDATION BY ICCAT TO REPLACE RECOMMENDATION 19-02 ON A MULTI-ANNUAL CONSERVATION AND MANAGEMENT PROGRAMME FOR TROPICAL TUNAS (PA1-511)

Paragraph(s) of New Reporting Obligation(s):

End of paragraph 27, and paragraph 29.

Brief Description of New Reporting Obligation(s):

Under paragraph 27, information is required on interactions of the visit without fishing, with FADs dedicated to research on BIOFADs during closure. Under paragraph 29, historical information is required on FAD sets until 2020 and information on FAD sets in 2021 before 31 July 2022.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified.

Description of Expected Service(s) from the Secretariat:

As indicated in paragraph 67 there are areas of coordination and implementation for which the Secretariat is responsible.

Estimated Total Costs to the Secretariat (including Staff Time required):

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

While an external source of advice is not envisaged, in the fleet characterisation study (paragraph 7), alternatives must be explored.

Comments by the Secretariat:

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs., etc.) below:

Final Comments by the Secretariat:

Although most of the work for the Secretariat was already included in Rec. 19-02, there are a few additional tasks in this version, mainly arising from paragraph 21 (additional declarations of intent which will require registration, acknowledgement, filing, translation, circulation and compilation), paragraph 27 which will require a new data base adaptation to collect the information reported (in addition to registration, acknowledgement, filing) and paragraph 29. The current structure of the ST forms will allow the data to be collected, but some effort on the part of the Secretariat will be required to ensure that CPCs fully understand what is needed and to follow up with reminders / clarifications.

The most time consuming new task would be the organizing and holding of an intersessional meeting as required by paragraph 10. The overall estimated cost related to Secretariat staff is approximately **€21557**.

Cover Note Template

Title of the Draft Recommendation:

DRAFT RECOMMENDATION BY ICCAT AMENDING THE RECOMMENDATION 19-04 ESTABLISHING A MULTI ANNUAL MANAGEMENT PLAN FOR BLUEFIN TUNA IN THE EASTERN ATLANTIC AND THE MEDITERRANEAN (PA2-604Rev)

Paragraph(s) of New Reporting Obligation(s):

3. Paragraph 9. Option 2 (this point is still pending and there are 3 possible options. A new reporting obligation will occur if option 2 is chosen).
4. Paragraph 86
5. Paragraph 101

Brief Description of New Reporting Obligation(s):

3. The second option mentioned implies dissemination by ICCAT of quantities of BFT quota transferred between CPCs.
4. Reporting of catches to the Secretariat. Bi-weekly reports are proposed instead of the current weekly reports. This change would slightly reduce the Secretariat workload.
5. CPCs must communicate to ICCAT those cases where, for reasons of force majeure (i.e. pandemic) a ROB cannot be deployed. It is considered that this clause will only be activated on a very exceptional basis. It is not foreseen that ICCAT will have to do anything with this information.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified

Description of Expected Service(s) from the Secretariat:

Distribution of a circular among CPCs

Estimated Total Costs to the Secretariat (including Staff Time required):

Because possible new reporting obligations are related to very specific situations (quota transfers) or that will rarely occur (force majeure, i.e., pandemic) it is believed that it is not necessary to allocate new resources. This small possible increase in reporting obligations would possibly be compensated by the decrease in workload due to the provisioning under point 2 above (para. 86).

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Due to very specific situations (quota exchanges) or cases that will rarely occur, no solution is proposed.

Comments by the Secretariat:

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

No solution is required.

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

Comments by the Secretariat:

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

From the 2022 BFT fishing season

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs., etc.) below:

Final Comments by the Secretariat:

Additional tasks are required under paragraph 26 (eBCD extraction and compilation; paragraph 86 (adjustment of the ICCAT data bases and forms to adapt to the new reporting period which may in the long run be offset by the smaller number of reports – weekly vs fortnightly), paragraph 206 requiring a revision in the way the carry over data are collected and processed and paragraph 214 which, requiring reporting the results of random controls to the Compliance Committee, will require presentation of some compiled information by the Secretariat. The remaining tasks for the Secretariat contained in the draft, while substantial, do not indicate additional work as they are already being carried out.

The overall estimated cost related to Secretariat staff is approximately **€7331**.

This includes organization and attendance of an intersessional meeting, as well as any additional work involved in seeking and processing historical data.

Cover Note Template

Title of the Draft Recommendation:

PROPOSAL ON GROWTH RATE OBSERVED IN BLUEFIN TUNA FARMED IN EASTERN ATLANTIC AND THE MEDITERRANEAN (PA2_606)

Paragraph(s) of New Reporting Obligation(s):

Paragraph 28bis.

Brief Description of New Reporting Obligation(s):

Farming CPCs annually analyse the growth rates observed in their farmed bluefin tuna, on a farm by farm basis, using the "Growth Calculation Sheet" developed by Japan, and report it.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified

Description of Expected Service(s) from the Secretariat:

Distribution of a circular among CPCs

Estimated Total Costs to the Secretariat (including Staff Time required):

Not applicable

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

No solution is required. Japan provides an excel spreadsheet named "Growth Calculation Sheet" for calculation.

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

Comments by the Secretariat:

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

From the 2022 BFT fishing season

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs., etc.) below:

Final Comments by the Secretariat:

The proposal PA2-606 indicates that CPC/Farms will submit the Growth Calculation sheets to the SCRS and Panel 2 Intersessional. It is not clear if the Secretariat should have simply a collection of Excel sheets submitted, or it is intended to create a database for the SCRS to analyze the data provided. If only receive and storage Excel sheets, it will an email attachment storage task. However, the SCRS would be limited in the type of analyses that can perform with multiple excel sheets. Most likely, it will require some compilation of the data into a single standard database format for comprehensive review, analyses, and report to the PA2. In the latter case, the estimated task of the Secretariat will be much larger and is estimated to be of approximately **€13218**.

Cover Note Template

Title of the Draft Recommendation:

DRAFT RECOMMENDATION BY ICCAT AMENDING REC. 17-06 FOR AN INTERIM CONSERVATION AND MANAGEMENT PLAN FOR WESTERN ATLANTIC BLUEFIN TUNA (PA2_612)

Paragraph(s) of New Reporting Obligation(s):

No new reporting obligation.

Brief Description of New Reporting Obligation(s):

Not applicable.

Describe if any Overlapping Obligations in Other Recommendation(s):

No overlapping obligations identified

Description of Expected Service(s) from the Secretariat:

No service from the Secretariat is required

Estimated Total Costs to the Secretariat (including Staff Time required):

Not applicable

Final Assessments on Potential Implications of the Proposed New Measure(s):

1. Are the necessary financial resources available for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

2. Does the staff have the necessary available time for a full implementation of the proposed measure?

Yes No Unknown

In the case of "no" or "unknown" please specify the proposed solution below:

Comments by the Secretariat:

3. Are any external sources of expertise or advice required to respond successfully to the requirements of the measure (i.e., for assisting CPCs, reviewing the quality of the statistics available, collection, processing, and reporting of data, as well as implementation of monitoring systems)?

Yes No Unknown

If "No" or "Unknown" please specify the proposed solution below:

No external expertise or advice is required.

If "Yes", please reflect on the required additional work in call for tenders and any possible cost implications, below:

Comments by the Secretariat:

4. Specify the proposed timeframe for implementation below (also indicate whether a different specific timeframe is proposed for Developing States CPCs)

5. Specify the overall assessment (on how the measure will improve reporting efficiency, avoid redundancy and reduce unnecessary burden to CPCs., etc.) below:

Final Comments by the Secretariat:

No new/additional tasks for the Secretariat are expected within this proposal.