Original: English/French/Spanish

Responses from CPCs to letters from the Chair of the Compliance Committee

This Document contains response to the letters from the Chair of the Compliance Committee received by **9 October 2023.** Replies received after the deadline will be presented as **Addendum** to **COC-309.**

Annex 1 of document COC-309 contains the letters sent by the COC Chair.

RI= Reporting issues; II = implementation issues; OH = overharvest; ID = Identification; None = no letter sent

CPC	Letter type	Reply received	Template completed	Missing information sent
Albania	None	Not applicable		•
Algeria	RI	02-0ct-2023	Yes	Partial
Angola	RI/II			
Barbados	RI/II/OH	01-0ct-2023	Yes	N/A
Belize	RI	07-Sept-2023	Yes	Yes
Brazil	ОН	30-Sept-2023		N/A
Cabo Verde	RI/II	9-0ct-2023	No	No
Canada	None		Not applicable	
China PR	RI	27-Sept-2023	Not applicable	Yes
Côte d'Ivoire	RI	28-Sept-2023	Yes	Yes
Curaçao	ОН	26-Sept-2023	Yes	Yes
Egypt	None	•	Natamiaahla	
El Salvador	None		Not applicable	
Equatorial Guinea	RI/II	14-Aug-2023	Yes	N/A
European Union	RI	01-0ct-2023	Yes	Yes
France SPM	None	Nist and be		
Gabon	None		Not applicable	
Gambia	RI/II			
Ghana	RI	29-Sept-2023	No	N/A
Grenada	ID letter - significant recurring reporting issues + OH			
Guatemala	RI/II			
Guinea Bissau	ID letter - recurring significant reporting issues			
Guinea Rep.	RI (ID lifted)			
Honduras	RI			
Iceland	None		Not applicable	
Japan	RI	05-Sept-2023	Yes	N/A
Korea	RI	30-Sept-2023	Yes	Yes
Liberia	RI/OH	04-0ct-2023	Yes	Partial
Libya	RI	04-0ct-2023	Yes	No
Mauritania	RI			

СРС	Letter type	Reply received	Template completed	Missing information sent
Mexico	None	– Not applicable		
Могоссо	None			
Namibia	ID letter - recurring significant reporting issues + recurrent overharvest	01-Oct-2023	Yes	Yes
Nicaragua	RI	18-Aug-2023	No	To be included in Annual Report 2023
Nigeria	RI			
Norway	None	Not applicable		
Panama	RI	18-Sept-2023	Yes	Yes
Philippines	None	Not applicable		
Russia	None			
São Tomé e Principe	ID- letter on recurrent reporting issues	17-Aug-2023	No	No
Senegal	ID - II	29-Sept-2023	Yes	Yes
Sierra Leone	RI	1		
South Africa	RI	02-0ct-2023	Yes	Yes
St Vincent & Grenadines	RI			
Syria	RI/II	02-0ct-2023	Yes	N/A
Trinidad & Tobago**	II/OH	12-0ct-2023	Yes	Yes
Tunisia	ŔĬ			
Türkiye	None			
Uruguay	None]	Not applicable	
UK	None		Not applicable	
USA	None			
Venezuela	OH/RI			
Bolivia	RI			
Chinese Taipei	None		Not applicable	
Costa Rica	Lift ID – OH	29-Sept-2023	Yes	No
Guyana	Lift ID	2-0ct-2023	No	Partial
Suriname	None	Not applicable		

** The letter to Trinidad and Tobago was not sent in due time, and hence the deadline for response has been extended.

2022 Commission M	leeting		
CPC: ALGERIA AREA OF	SPECIFIC ELEMENT	REMEDIAL ACTION	DATA MISSING
DEFICIENCY	SPECIFIC ELEMENT	TAKEN SINCE 2022 MEETING	DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance tables	Rec. 16-16 Compliance Tables received late (15 September 2022).	A new team arrived in February 2022, which required time to understand the obligations. Reporting and deadlines: Moreover, measures have been taken to ensure that data are transmitted within the established deadlines.	
Capacity, size, gear,			
time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data	No observer data (ST09).	 The Annual Report, timely submitted, contained relevant information on the scientific observation data and explanations on boarding of observers: For longliners operating in waters under national jurisdiction, due to how narrow the vessels are (the vessels engage in artisanal fishing, mostly without a bridge, carrying out short trips), the information is collected at the ports of landing. For purse seiners targeting bluefin tuna, 100% coverage is provided by the national controllers/observers of the fisheries administration who 	

Compliance Letter Response Template

Other reports – Shark and Billfish Check Sheets	Rec. 18-06 Shark check sheet received late.	This information is included in the Annual Report which is submitted to the ICCAT Secretariat. A new team arrived in February 2022, which required time to understand the obligations. Reporting and deadlines: Moreover, measures have been taken to ensure that data are transmitted within the established deadlines.	The shark check sheet was submitted on 26 September 2022.
CATEGORY C			
MCS-species related			
MCS-general:			
Port Controls			
Vessel Controls			
OTHER	JIS reports with potential infringement in COC_303_Appendix 4.		No potential infringements.
Response to COC Chair letter from previous year?			

BARBADOS

BARBADOS FISHERIES DIVISION

29 September 2023

Mr Derek Campbell Compliance Committee Chair International Commission for the Conservation of Atlantic Tunas (ICCAT) Corazon de Maria, 8 -28002 Madrid SPAIN

Dear Mr Campbell,

Re: Barbados' Letter on Compliance

Greetings from the Barbados Fisheries Division. I hope that this communication finds you well.

I refer to your letter S23-0791, of 27th July 2023, identifying a few compliance deficiencies. Please find enclosed the responses to the queries raised in the attached completed template supplied.

Please accept assurances of my highest consideration. Thank you for your continued support.

Yours in sustainable fisheries,

Dr Shelly-Ann Cox Chief Fisheries Officer

Compliance letter response template

2022 Commission Me	eeting		
CPC: BARBADOS			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables	Rec. 19-05: Continued overharvest of blue marlin: 2021 quota = 10 t, 2021 balance = -59.66 t BUM negative balance increasing: 2020 balance = -42.42 t, 2019 = -45.90 t, 2020 = - 57.43 t Continued Overharvest of white marlin: 2021 quota = 10 t, 2021 balance = -8.60 t. WHM Negative balance steady: 2020 balance = -9.73 t, 2019 = -8.60 t, 2020 = -14.09 t.	The revised 2023 Fisheries Management Regulations, will very shortly be submitted to Cabinet for final approval and promulgation. These regulations <i>inter alia</i> prohibit longliners from targeted fishing of billfish. In this context targeted fishing includes the use of specialized fishing tackle; specific bait; or fishing technology. In addition any billfish incidentally taken on longlines that are alive at haulback are to be promptly released in a manner that maximizes post- release survival accordance or if already dead, a percentage of the fish as specified by the Chief Fisheries Officer may be retained and disposed of in accordance with such conditions as he specifies. The regulations mandate that the master of any fishing vessel (commercial and recreational) that fish that must be released are done so in (a) accordance with such instructions as the Chief Fisheries Officer may specify by notice published in the Official Gazette. (b)	

Shall ensure that the
equipment required
for the purpose of
release of fish is of a
size and design
approved by the Chief
Fisheries (c) the
equipment must be
kept on board the
vessel for the duration
of every fishing trip;
(d) be maintained in
good working order;
and (e) that the master
of the vessel and the
crew are trained in the
safe and effective use
of the equipment.
The regulations also
mandate that only
Circle hooks that must
be greater than 16/0
(not offset) or 18/0,
with an offset no
greater than 10
degrees may be used
on longlines.
While these methods
are aimed at curbing
catches of billfish
including marlins in an
effort to comply with
the current set quotas,
the Commission is
reminded that billfish
are eaten in Barbados
and contribute to the
island's food security
in addition to being an
important component
of the catches of the
island's longline fleet
and thus the economic
viability of that fishery
and the livelihoods of
fisherfolk. It should
also be noted that
longliners are
targeting yellowfin
and bigeye tunas in
fishing areas in our
EEZ and beyond
known to be inhabited
by marlins. For this
reason, marlins are
caught incidentally,
but are consumed and
contribute to food
security.
security.

Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data			
Other reports – Shark			
and Billfish Check			
Sheets			
CATEGORY C			
MCS-species related			
1400			
MCS-general: Port Controls	No scientific observer	One of the major difficulties in the	
Port Controls	programme.	implementation of an	
		observer program has	
		been the lack of	
		supporting legislation.	
		The 2023 Fisheries	
		Management	
		Regulations (to be put	
		into effect shortly)	
		mandate that the	
		masters of fishing	
		vessels participate in observer programs	
		including electronic	
		monitoring as	
		stipulated by the Chief	
		Fisheries Officer. Note	
		that EMS-based	
		methodologies would	
		be the only viable	
		option for many of Barbados' fishing	
		Barbados' fishing vessels which are	
		relatively small.	
		Ten (10) satellite	
		Vessel Monitoring	
		Devices have to date	
		been installed on	
		active longline vessels	
		and the installation of the remaining 30	
		devices will be	
		completed by year	
		end. Implementation	
		plans that include	
		restructuring and	
		expanding the human	
		and technical capacity	
		of the Fisheries Division to effectuate	
		the necessary	
		Monitoring, Control	
		and Surveillance,	
		which will include the	

Vessel Controls OTHER	observer program, that will accompany this expanded regulatory framework are currently being developed.
Response to COC Chair letter from	
previous year?	

BELIZE HIGH SEAS FISHERIES UNIT

REF: HSFU-RFMO-V09-2023(90) Vol.2

9th September 2023

Mr Derek Campbell Compliance Committee Chair International Commission for the Conservation of Atlantic Tunas Madrid, Spain

SUBJECT: LETTER OF COMPLIANCE ISSUES

Dear Mr Campbell,

Belize acknowledges receipt of your letter dated 7th August 2023 regarding the subject matter and takes note of the compliance issue identified for Belize. We have carefully reviewed the compliance issues raised and have prepared a response in the enclosed template. We trust that our response will address any concerns and provide clarity on our actions towards resolving the identified compliance issues.

We take this opportunity to thank the Secretariat and the Commission for their diligence in identifying the compliance issues and for providing us with the opportunity to address them. We understand the importance of maintaining compliance and are committed to taking the necessary steps to rectify any shortcomings.

I avail myself of this opportunity to renew the assurances of my highest consideration.

Valarie Lanza Director of High Seas Fisheries Head of Belize Delegation to ICCAT

BELIZE

Appendix 1

Compliance letter response template

2022 Commission M	leeting		
CPC: BELIZE	U		
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMA TION SENT (if applicable)
Category A			
Compliance Tables			
Capacity, size, gear,			
time, area			
restrictions			
Category B			
Annual Report Statistical Data	Rec. 21-01: Historical FAD data sent, but number of sets missing.	Updated FAD set data has been amended and submitted as requested. Belize is still working with the Secretariat to formalize all data submissions with	Updated reports sent on 30 August 2023.
<i>Other reports –</i> Shark and Billfish Check Sheets		inconsistencies.	
Category C			
MCS-species related			
MCS-general: Port Controls			
Vessel Controls	Rec. 21-14 & Rec. 21-01: Retroactive authorization outside of the permitted grace period of a previously authorized vessel.	Administrative delays in the reporting of vessels within the grace period were a result of the institutional changes within our organization that led to changes in reporting personnel. Belize is taking active measures to address these administrative delays and improve the reporting process for vessels within the grace period. This includes streamlining internal procedures, providing additional training to personnel, and implementing new technologies to enhance efficiency and accuracy in reporting.	
OTHER COC			
Response to COC Chair letter from previous year?			

FÍCIO - MPA Nº 147/2023/AI - MPA/MPA

Brasília-DF, 29 September 2023

To DEREK CAMPBELL Compliance Committee Chair COMISSÃO INTERNACIONAL PARA CONSERVAÇÃO DE ATUN ATLÂNTICO - ICCAT Calle Corazón de María, 8-6º 28002 Madrid - Spain

Subject: Explanation Letter to ICCAT # S23-07913

Dear Mr. Campbell,

Brazil acknowledges the receipt of your letter on compliance issues addressed, dated July 27th, 2023. We are grateful to you for drawing our attention to the concerns raised, as well as for the opportunity to provide the necessary clarifications. Therefore, we present below a list of actions taken by Brazil to address the problem for due consideration by the Compliance Committee.

In accordance with ICCAT conservation and management program for tropical tunas adopted by the Commission (Rec 19-02; Rec 21-02), Brazil recognizes that, in the recent period, it has been exceeding its BET catch limits.

The recent growth of the Brazilian bigeye fishery has posed significant challenges to Brazil in effectively implementing control mechanisms as required by its obligations under this RFMO. This is particularly the case of our artisanal handline fishery, which has a wide dispersion of landing points spread along more than 8 thousand kilometers of coastline, has severely hampered the country's ability to difficulty in monitoring this fishery.

However, Brazil has been making an enormous effort along the years to solve these issues, improving the regulatory framework and getting stakeholders for effective actions and improved compliance. Therefore, some actions have already been taken, as follows:

The Interministerial Ordinance n° 59-A promulgated on 9 November 2021, establishes measures, criteria and standards the handline fishery and other aspects of tuna and tuna like fisheries at territorial sea, Economic Exclusive Zones and international waters by Brazilian fishing vessels, firstly implementing the obligation of logbooks landings monitoring for this fishery;

More recently, in 2022, Brazil restructured its fisheries management committees. The Federal Decree nº 10.736, 29 June 2021 implements the National Collaborative Network for Sustainable Management of Fishing Resources. The Decree maintain internal transparency of its responsibilities, including tuna and tuna related fisheries. A total of seven meeting conducted by the Standing Committee for Tuna Management occurred, encompassing the productive sector, researchers and government agencies, were the rules for compliance with the various ICCAT recommendations regarding tropical tuna fishing were discussed.

Within the participatory environment created by the previously cited Decree, during 2023, Brazil has incremented the national regulatory framework to overcome the "Continued overharvest of bigeye tuna: 2021 quota = 5946.31 t, 2021 balance = -793.69 t. Overharvest increasing: 2020 balance = -241.00 t (Rec. 21-02)", as follows (see COMPLIANCE LETTER RESPONSE TEMPLATE):

– Interministerial Ordinance MPA/MMA N° 02, 28 March 2023, establishes, for the year 2023, the catch limit of the species Albacore (*Thunnus alalunga*, 2.600 t), bigeye tuna (*Thunnus obesus*, 5.441 t), Swordfish-North (*Xiphias gladius*, 45 t), and Swordfish-South (*Xiphias gladius*, 2.600 t) in the Territorial Sea and Exclusive Economic Zone (EEZ) for the Brazilian fishing vessels.

– Interministerial Ordinance MPA/MMA N^o 05, 22 September 2023, establishes, for the year of 2023, the monitoring, control and inspection measures for the catch limit of bigeye (*Thunnus obesus*) for the Brazilian fishing vessels operating in the Territorial Sea, the Exclusive Economic Zone and international waters.

In addition, improved efforts of monitoring, related to onboard observers and port monitoring, are in course in 2023.

Respectfully,

FLÁVIA LUCENA FRÉDOU HEAD DELEGATE NATIONAL SECRETARY OF REGISTER, MONITORING AND RESEARCH MINISTRY OF FISHERIES AND AQUACULTURE

BRAZIL

Appendix 1

Compliance Letter Response Template

2022 Commission Me	eeting		
CPC: BRAZIL AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022	DATE MISSING DATA/INFORMATION
CATEGORY A		MEETING	SENT (if applicable)
Compliance Tables	Rec. 21-02: Continued overharvest of bigeye tuna: 2021 quota = 5946.31 t, 2021 balance= -793.69 t. Overharvest increasing: 2020 balance = -241.00 t.	Interministerial Ordinance MPA/MMA Nº 02, 28 of March of 2023. Interministerial Ordinance MPA/MMA Nº 05, 22 of September of 2023.	
Capacity, size, gear,			
time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data			
<i>Other reports</i> – Shark and Billfish Check Sheets			
CATEGORY C			
MCS-species related			
MCS- general: Port Controls			
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?			

MINISTRY OF THE SEA FISHERIES AND AQUACULTURE NATIONAL DIRECTORATE

Mindelo, S. Vicente, 7 October 2018 DNPA /SV/021/2023

ICCAT Secretariat - Madrid Spain ATT: MR DEREK CAMPBELL COMPLIANCE COMMITTEE CHAIR

SUBJECT: RESPONSE OF CABO VERDE TO ICCAT LETTER ON DATA AND INFORMATION REPORTING DEFICIENCIES FOR 2021

The Fisheries and Aquaculture National Directorate of Cabo Verde would like to thank you for your letter with reference ICCAT-SALIDA 2023-07-27 S23-07901 on statistical data and information reporting deficiencies for 2021 for Cabo Verde.

We regret that we are late in replying to the Secretariat due to the change in Head Delegate and email circuits, which is why we did not become aware of the letter in due time.

In this regard, we take due note of the inadequacies in relation to the following aspects of compliance: Rec. 11-11 (implementation tables); Rec. 21-01 (historical FAD data); Rec. 18-06 (shark check sheet); Rec. 19-02 & 21-01 (quarterly reports on tropical tunas and fisheries management and FAD plan); Recs. 19-02 & 21-01, and Rec. 16-14 (observers programme).

We are aware that additional work is needed to strengthen the Cabo Verde national team to enable a more efficient and effective response to ICCAT tasks.

The lack of computer tools, in particular software and qualified personnel, greatly limits the ability to comply, responding more quickly and effectively to the commitments to provide statistical and biological data to the ICCAT Secretariat.

In this respect, we would like to have continuous support from the Secretariat through training programmes and for processing all aspects of data collection and transmission.

In conclusion, we would like to express our firm conviction to work with determination to overcome the deficiencies and limitations in the submission of data to the ICCAT Secretariat.

We are willing to work with the ICCAT Secretariat, especially with the Statistics and Research Department (SCRS) to make any necessary updates and corrections with respect to Cabo Verde's data.

Regards,

National Director

Carlos Monteiro

Compliance letter response template

2022 Commission Me	eting		
CPC: TRINIDAD & TOP			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables	Rec. 11-11: Compliance tables not received.		
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data	Rec. 21-01: Historical FAD data submitted, but the number of sets is missing.		
<i>Other reports</i> – shark and billfish check sheets	Rec. 18-06: Shark check sheet received late (19 September 2022). Rec. 19-02 & 21-01: No monthly or quarterly reports on tropical tunas; no fishing / FAD plan for tropical tunas (Rec. 21- 01, para 21(a) applies given that bigeye tuna catches are greater than 1,000 t).		
CATEGORY C			
MCS-species related	Rec. 16-14: No scientific observers programme.		
MCS-general: Port Controls			
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?	No response to COC Chair letter.		

BUREAU OF FISHERIES, MINISTRY OF AGRICULTURE AND RURAL AFFAIRS, PEOPLE'S REPUBLIC OF CHINA

27 September 2023

To: Mr. Derek Campbell Compliance Committee Chair

Cc: Mr. Ernesto Penas Chair of International Commission for the Conservation of Atlantic Tunas

SUBJECT: REPLY LETTER OF CHINA ON COMPLIANCE ISSUES IN 2022

Dear Mr Chair,

Thank you for your letter on compliance issues dated July 28, 2023.

Above all, I would like to express our sincere gratitude for your efforts and hardworking on promoting compliance issues within ICCAT.

We had carefully reviewed the three deficiencies as raised in the letter and our response is as follow:

First, regarding to shark check list sheet received late, it was because the working staff did not realize the items in the latest sheet had been updated until the Secretariat found out this error and informed us. Then we updated the shark check list according to the right version, which caused this late receiving. Our compliance team will pay more attention to the sheets or reports whenever new version is required.

Second, regarding to the Paper BCD sent late and with incorrected year, we encountered the systematical problem on the eBCD website and we immediately reported this difficulty to the technicians and later to the Secretariat. With the confirmation we issued the BCD under the guidance by Secretariat. We listed the BCD number according to the year when we issued them. And we are puzzled until now the inconsistency about the serial number of the Paper BCD. We hope the eBCD technicians or the Secretariat would assist to process the mentioned BCD documents into eBCD system.

Third, regarding to the retroactive listing two EBFT vessels, we had conducted investigation on these two vessels. These two vessels were firstly registered in August 2021 and were updated their registration as BFT fishing vessels in August 2022.

These two vessels conducted their fishing activities based on the National BFT fishing plan, which was approved during the annual Panel 2 meeting in March 2022. Due to the internal staff transfer of the managerial team of these two vessels, the manager missed to report the starting date 15 days ahead of the fishing start time. Then a corresponding correction was made with the assistance of the Secretariat right after we reported this situation to them. We had warned the fishing company about its late report on this matter. Revised mechanism for EBFT operation in this company, as well as in the compliance team, had been updated again so as to conduct the full compliance on this operation.

We would like to take this opportunity to express our commitment to comply with the ICCAT recommendations to our best for the purpose of long term conservation and sustainable utilization of fishery resource among ICCAT convention area.

Best regards,

SUN Haiwen Director, Division of Distant Water Fisheries Bureau of Fisheries, Ministry of Agriculture and Rural Affairs, People's Republic of China Ministry of Animal and Fisheries Resources Directorate of Fisheries The Director

Abidjan, 29 September 2023

No. 291/MIRAH/DAP

To Mr Derek Campbell, ICCAT Compliance Committee Chair Madrid, Spain

SUBJECT: RESPONSE TO LETTER OF CONCERN

Dear Chair,

I am writing in response to the letter of concern on compliance issues addressed to Côte d'Ivoire following the 2022 annual meeting.

On behalf of my country, I would like to apologise for these deficiencies in the responses to ICCAT requirements.

As indicated last year, there was a need for clarification and training in relation to some elements.

As a result, since last year, we have been in contact with the ICCAT Secretariat to remedy this. In this context, we followed with great interest the training sessions organised by the ICCAT Secretariat during 2023. Now we are in the process of gradually applying the skills acquired.

I would like to reassure you that we are determined to improve reporting by next year.

With our apologies once again, I have the honour to transmit herewith the compliance letter response template which has been duly completed.

Please accept, Chair, the expression of our sincere collaboration.

Fofana Bina Lead Water and Forestry Engineer

Attached: Compliance letter response template.

2022 Commission Mee	eting		
CPC: CÔTE D'IVOIRE			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			· · · ·
Compliance Tables	Rec. 11-11: Compliance tables not received.	Côte d'Ivoire has worked with the ICCAT Secretariat to finalise the forms for reporting via the IOMS. We follow with great interest the training sessions organised by the ICCAT Secretariat.	
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report	IOMS section entered by Secretariat, some responses may be incomplete.	We are working to understand better the IOMS in order to enter all the responses.	
Statistical Data	Rec. 21-01: Historical FAD data submitted, but the number of sets is missing.	No Côte d'Ivoire vessels have fished on FADs since 2014. Historical data on the number of FAD sets are not available.	
Other reports – Shark and Billfish Check Sheets	Rec. 18-06: Update of shark check sheet not received. Rec. 19-02: Some Task 1 data for tropical tuna species submitted for 2021, but no corresponding quarterly reports.	Côte d'Ivoire had difficulty understanding the shark and billfish check sheets. Côte d'Ivoire contacted the Secretariat in 2023 for further clarification. The check sheets are currently being processed and will be submitted by 15 October 2023. The quarterly reports (first and second quarters 2023) for tropical tuna species	28 September 2023

Compliance letter response template

were

September 2023.

submitted

in

CATEGORY C			
MCS-species related	Recs. 01-21 and 18-07: SDP bi-annual reports (BET) submitted late.	The SDP report for the second semester of 2022 was submitted on 24 January 2023. The report for the first semester of 2023 was submitted on 28 September 2023.	24 January 2023 and 28 September 2023.
MCS-general: Port Controls	Rec. 16-14: No scientific observer programme. Rec. 18-09: It is not exactly known if any in-port transhipment activity has been carried out.	In-port transhipment operations were carried out. In-port transhipment forms for 2021 and 2022 were submitted to the ICCAT Secretariat in January 2023.	18 January 2023.
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?	Late response to the 2022 COC Chair letter following the 2021 annual meeting.	The response to the 2023 letter of concern following the 2022 annual meeting was submitted on 29 September 2023.	29 September 2023.

MINISTRY OF Economic Development international Fisheries Commission

ICCAT Secretariat Mr Camille Jean Pierre Manel Executive Secretary Corazón de María, 8 28002 Madrid SPAIN

19 September 2023

Our number

Case number FMC2023/0901

Topic: Revised letter of compliance issues

Dear Mr. Camille,

The Commission requests that Curaçao reply to this letter before 1 October 2023 and to accompany the response with the attached template duly completed (preferably submitted as an MS Word document) to indicate the actions taken to rectify the indicated deficiencies.

Based on your request I hereby submit to you the attached template duly completed. Finally, I hope to have informed you accordingly. Please confirm receipt of our response.

Sincerely,

Mr Ramon Chong MURP MPIA Chairman

Compliance letter response template

2022 Commission Mee	ting		
CPC: CURAÇAO			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables	Rec. 19-05: * Overharvest of blue marlin: 2022 quota = 10 t 2022 balance = $-18,82$ 2021 quota = 10 t, 2021 balance = -22.53 t. Negative balance decreasing: 2018 balance = -30.30 t, 2019 balance = -40.60 t, 2020 balance = -30.60 t	Payback plan for blue marlin of 2.5 tons per year from 2022 on. Deducting 2,5 from 10 tons quota. For 2023 The adjusted landing limits will be -11,32. (Balance of 2022) +(10-2,5) will result in the adjusted quota for 2023.	
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data	Rec. 21-01: Historical FAD data sent, but number of sets aggregated (breakdown through ST03 needed).	The ST03 has been completed including the information from 2026 to 2021 (FREE and FAD set), including the number of sets as effort indicator.	The time series from 2016 to 2021 were sent to ICCAT.
<i>Other reports</i> – Shark and Billfish Check Sheets			
CATEGORY C			
MCS-species related			
MCS-general: Port Controls			
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?			

Compliance	letter	response	template
------------	--------	----------	----------

2022 Commission Me	eting		
CPC: EQUATORIAL			
GUINEA			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance tables		Reflected as submitted in the CP13-COC file.	2 August 2022
Capacity, size, gear, time, area restrictions		Equatorial Guinea does not have a national tuna fleet, and therefore does not specifically target tuna. Tuna fishing is carried out by foreign vessels holding a license for this activity.	
CATEGORY B			
Annual Report		Reflected as submitted in the CP13-COC file.	3 June 2022
Statistical data		Zero catches were confirmed. Equatorial Guinea does not have a national tuna fleet, and therefore cannot submit the Task 2 form. Fleet characteristics.	Nominal catches were submitted on 13 July 2022. Zero catches were submitted on 13 July 2022.
Other reports – Shark and Billfish Check Sheets		These sheets were submitted.	Billfish, 27 June 2022 Sharks, 12 August 2022
CATEGORY C MCS-species related		We requested assistance or support from the Commission to train on-board observers, strengthen the tuna and tuna-like species statistics system, etc. However, we have not yet implemented it to date. I sent the letter on the conditions for this support to the competent authority, i.e. the outgoing Hon. Minister. However, due to these changes within the Ministry of	Requested on 15 October 2020.

	1	1	
MCS-general: Port Controls Vessel controls	Rec. 16-14: No scientific observer programme. Assistance was requested but more details are needed on the nature of the assistance requested. To date, no formal submission has been made.	Fisheries, it has not materialised. Therefore, I ask you to resend the reply to us, if possible, so that I can forward it to the new Minister to see what can be done. We requested assistance or support from the Commission to form an on-board observer programme, strengthen the tuna and tuna-like species statistics system, etc. However, to date we have not yet implemented it. The letter with the conditions for this support was sent to the competent authority, which was the Hon. outgoing Minister. However, due to these changes within the Ministry of Fisheries, it has not materialised. Therefore, I would ask you to send us the reply again, if possible, so that I can pass it on to the new Minister to see what can be done.	Requested on 15 October 2020.
OTHER			
Response to COC Chair letter from previous year?		As far as I remember, the response to the letter from the COC Chair was submitted.	Submitted on 27 July 2022.

EUROPEAN COMMISSION DIRECTORATE-GENERAL FOR MARITIME AFFAIRS AND FISHERIES

International Ocean Governance and Sustainable Fisheries **Regional Fisheries Management Organisations** Deputy Director and Head of Unit

> Brussels, MARE.B.2/CR (2022)

Mr Derek Campbell, Compliance Committee Chairman ICCAT Corazón de María, 8-6°/7 28002 Madrid SPAIN

Subject: European Union reply to the letter on compliance issues (S23-07916)

Dear Mr Campbell,

Thank you for your letter of 26 July 2023. The European Union has carefully considered the issues raised during the 2022 decision making process regarding its performance. Please find attached our response to the letter on compliance issues (S23-07916) informing on the specific remedial actions taken.

I trust that our reply provides a satisfactory response to all the points raised in your letter and I wish to reaffirm the strong commitment of the European Union to ensuring full compliance with ICCAT measures. Yours faithfully,

Stijn BILLIET Head of Delegation

Attachment: Response to the letter of compliance issues

Cc.: Camille Jean Pierre MANEL, Cristina CASTRO RIBEIRO, Fernando MIRANDA, Seamus HOWARD, Jérôme BROCHE, Oliver SCHULZ, Yves VAN POEKE

Compliance Letter Response Template

2022 Commission	n Meeting		
CPC: EUROPEAN UNION			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables			
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data	Some statistical data received late. Possible miscoding of marlins. Historical FAD data sent, but number of sets missing for some member States.	 We have raised awareness with all Member States about the importance of timely and complete data submission. There Is a scientific study underway to assess and revise the data series pertaining to these stocks. According to the plan the result should become available by the end of October/ early November. Number of FADs missing pertains to the years 2010 up to 2012, according to table 3 in document PA1_33/i2023. The historical databases with raw data, particularly for the period past 2013, do not have the level of detail of the current databases, and there are some data gaps, as by that time there was not accurate differentiation between type FAD set and free school. The Spain Research Institute IEO is underway the recovery of this data, through specific analysis which will be submitted to SGP for approval. Subsequently a SCRS document will be prepared, justifying potential changes and providing the validated catches of 	 Statistical data received late was submitted: DEU (FC, NC): 05.09.2022 LTU (FC, NC): 02.09.2022 LVA (FC, NC): 02.09.2022 ITA (FC, NC, CE, SZ, CS): 12.08.2022 HRV (S-BFT01): 11.08.2022 on 10 February 2023, the IEO sent to this SGP the entire data series of FAD data for the period 2013 to 2021. Data previous to 2013 are under scrutiny and analysis and will be submitted when analysis concludes.

Other reports		Spain for tasks I and II of the historical series present in the ICCAT databases. In addition, all the data will be provided in the format: ST03-T2CE_EU.ESP for the entire historical period that due to the previous reasons is still pending.	
Other reports – Shark and Billfish Check Sheets			
CATEGORY C			
MCS-species related			
MCS-general: Port Controls	Rec. 18-09: Port Inspection reports with infractions sent late / not sent to flag States.	After the 2022 annual meeting, all inspection reports (including those without infringements) are sent promptly to the flag CPC of the fishing vessel and to ICCAT. Inspection reports are sent at the time they are issued in case of a possible infringement and periodically (i.e., every month) in case of no infringement. The number of inspections carried out up to August 2023 is 63, inspections performed on vessels flagged in: Bahamas, Curacao, El Salvador, Guatemala, Japan and Panama.	
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?			

Annex

EU

Updated information on EU investigation concerning Tarantelo operation and farm management in Croatia

I. Farm management in Croatia

In the EU's internal system, Member States are responsible for exercising control over fishing activities, and the European Commission has the responsibility to ensure that EU and international rules are properly implemented and enforced by Member States.

The Commission fulfils this mission mainly through audits, verifications and autonomous inspections. If the Commission finds deficiencies in this implementation or enforcement of the rules it follows up on them, including the possible opening of infringement proceedings.

Following an audit carried out by the European Commission in Croatia regarding Bluefin Tuna control in farms, some deficiencies were found, and an infringement procedure was opened. The Croatian authorities have been making changes to address these shortcomings for 18 months now, and although the process is not yet complete, they have already undertaken a number of actions towards improvement of legislative framework, internal procedures, improving databases and applications of the IT system, building administrative capacities and strengthening sanctioning system. The European Commission is closely following the case.

The package of activities includes the following:

- 1. **Amendments of two basic acts** regulating fisheries and aquaculture in order to upgrade the infringement system by increasing fines (formal procedure is under way and entering into force of the amended acts planned by the end of 2023 or beginning of 2024 the latest).
- 2. Adoption of a set of by-laws transposing the most recent ICCAT recommendations (published in April/May 2023).
- 3. A dedicated BFT software. Upgrading of the IT infrastructure in support of monitoring, control and surveillance system by:
 - a. improving the management of the administrative and control procedures, from the requests of authorization by operators, crosschecks, to the authorizations and validations by the authorities, in the different phases: catch, transfer, caging, harvesting.
 - b. **integrating database of infringements and sanctions into the e-inspection** system which facilitates internal traceability and transparency of the inspection and control activities (planned to be finalized by end of 2023)
 - c. **integrating the entire traceability system** from catch to harvest (including all transfers) into the IT system supporting monitoring of BFT related fishing and farming activities (finalized in 2022, improved in 2023 with additional upgrading planned in 2024)
 - d. obtaining the **real time display of the status on each farm** including the spatial organization of cages at farms
 - e. VMS alerts to monitor vessels, in particular in the vicinity of the farms.
- 4. A **National Control Program** (NCP) for operations for the BFT fishery, including control procedures in all phases, from catching operations to the market. Unmanned air surveillance is foreseen only during the fishing season. The NCP includes cross-checks with information in the eBCD system, regular control of seals, cage positions and markings.
- 5. **Optimization of resources by internal reorganization and capacity building** activities within the Directorate of Fisheries (process under way as from October 2022)

It needs to be highlighted that all the mentioned activities are time and resource (human and financing) consuming and imply lengthy procedures, particularly in case of interventions into the legal framework. However, all the above mentioned measures will be finalized and implemented in the short term.

II. Update on Tarantelo investigation

The EU has reported in detail during the 2021 and 2022 Compliance Committee meeting (COC309 Annex2), both on the status of the Tarantelo case in the Spanish courts, but also on the many measures that the EU has taken to improve the BFT control system and prevent a similar situation from happening again. The following is a summary of the situation and the new developments in the case since the last update.

A criminal case is open in the Audiencia Nacional where procedure 1/2018-B is being investigated.

The investigation is still open. Appeals by defendants have created challenges and delayed the Court proceedings:

- 1. By Order of 21 July 2022 of the JUZGADO CENTRAL DE INSTRUCCIÓN №3 (JCI3), it was agreed to extend the investigation for six months until 29 January 2023.
- 2. The representation of the defendant lodged an appeal against this Order, which was upheld by the Order of the Audiencia Nacional of 19 September 2022. By upholding the appeal, this Order of the "AUDIENCIA NACIONAL" annulled the extension of the pre-trial investigation.
- 3. As a result of the revocation of the Order extending the investigation, many of those under investigation have requested the dismissal and closure of the case. The petitions for dismissal of which we have been informed correspond to those under investigation who had not yet made statements and who, therefore, allege the absence of the minimum required diligences to maintain their charges.

In this situation, the JCI3 will soon issue an order agreeing the completion of the investigation with the transfer to the parties of the accusation to formulate a written indictment, which will foreseeably include all those investigated who have not been heard until now.

As detailed in the previous update, according to Spanish law (Ley de Enjuiciamiento Criminal) these are next phases:

- 1. Preparation for the oral trial: The purpose of this phase is to decide whether or not to open the oral trial. Once the pre-trial investigation has been completed, the preparation of the trial phase could be extended by approximately one month.
- 2. Oral trial: Once the oral trial is open, the Court will hear the proposed evidence and immediately issue an order admitting the evidence it considers relevant. This phase could last several months.
- 3. Sentence: Judgment is handed down within five days of the end of the oral trial.

It is important to highlight that due to the application of the "non bis in idem" principle, only after the criminal case comes to an end it will be possible for the Spanish Administration to resume the administrative investigation and sanctioning possible non-compliance related to fisheries rules. The "non bis in idem" principle is established in the Spanish national law, in article 31.1 of Law 40/2015, of 1 October, on the Legal Regime of the Public Sector, and in article 92.3 of the Spanish Maritime Fisheries Law 3/2001, in the following terms: "Acts that have been sanctioned criminally or administratively may not be sanctioned, in cases in which the subject, the act and the basis are identical".

FISHERIES COMMISSION

26 September 2023

RE: LETTER ON COMPLIANCE

MISCLASSIFICATION OF VESSELS IN THE 2022 ANNUAL REPORT

The Fisheries Commission of Ghana writes to acknowledge receipt of your letter No. S23-07918 dated 26 July 2024 on the above-quoted subject and to inform ICCAT of the inadvertent misclassification of some vessels as vessels licensed under Access Agreement s in the Ghana Annual Report for 2022.

As stated in your letter under reference, ICCAT Recommendation 14-07 requires Contracting Parties to the Convention (CPC) to report in detail information on vessels under Access Agreements and Ghana inadvertently indicated in the Annual Report as having some vessels licensed under Access Agreements.

We wish to inform you that the owners of the said vessels acquired a foreign license under Section 63 of the Fisheries Act 2002, Act 625 to operate in Ghana waters.

We apologize for the misclassification of the vessels in the Annual Report and wish to kindly request the Secretariat to update the records to reflect amended information.

Please accept the assurances of my highest consideration.

Fred Kwasi Antw-Boadu Head Delegate for Ghana (Executive Director)

Fisheries Agency Ministry of Agriculture, Forestry and Fisheries, Government of Japan 1-2-1, Kasumigaseki, Chiyoda-ku, Tokyo 100-8907, Japan

5 September 2023

Mr Derek Campbell Compliance Committee Chair International Commission for the Conservation of Atlantic Tunas

Dear Mr Campbell,

I refer to your letter dated August 7, 2023, regarding Japan's compliance deficiencies with ICCAT requirements. Japan has addressed the compliance deficiencies through the appropriate domestic process to prevent a recurrence.

Please find attached our response indicating the remedial action.

Sincerely,

Shingo OTA Japan's Commissioner to ICCAT

Compliance Letter Response Template

2022 Commission Mee CPC: JAPAN			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables			
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data			
<i>Other reports</i> – Shark and Billfish Check Sheets			
CATEGORY C			
MCS-species related			
MCS-general: Port Controls	Rec. 13-14: Late submission of chartering arrangement with Namibia.	The Fisheries Agency instructed the Japan Tuna Fisheries Co- operative Association and reminded them of necessary procedures concerning chartering arrangements.	
Vessel Controls	Rec. 21-16: Some VMS messages not sent in accordance with Rec. 21-16, para. 3.	This issue occurred due to an unknown system failure. Although the Fisheries Agency consulted with the service provider, we could not identify the source of the failure. Japan has confirmed that no Japanese fishing vessel had conducted eastern BFT fishery during the failure, and the same system failure has not occurred after that.	

JAPAN

OTHER	Rec. 13-14: Chartering arrangements received late (after start of arrangement).	The Fisheries Agency instructed the fisherman and the Japan Tuna Fisheries Co-operative Association and reminded them of necessary procedures concerning chartering arrangements.	
Response to COC Chair letter from previous year?			

Compliance letter response template

2022 Commission Mee	ting		
CPC: KOREA (REP.)			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables			
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data			
<i>Other reports</i> – Shark and Billfish Check Sheets	Rec. 18-13: Annual BCD report received late.	We have paid greater attention to meet the submission deadline. This year, Korea successfully submitted the Annual BCD report on time.	September 26, 2022
CATEGORY C			
MCS-species related			
MCS-general: Port Controls	Rec. 21-15: Report on transhipment received late.	We have paid greater attention to meet the submission deadline. This year, Korea successfully submitted the transhipment report on time.	September 30, 2022
Vessel Controls	Rec. 21-16: Some VMS messages not sent in accordance with Rec. 21-16, para. 3.	We are in the process of developing a system that can automatically send VMS data to the Secretariat in the event of a regular transmission failure.	Starting from October 1, 2022, after renewing VMS certificates, there have been transmission failures. Since then, the Korean Fisheries Monitoring Center (FMC) has been reporting manually via email. On January 16, 2023, the issue was resolved, and Korea FMC submitted the missing data that had accumulated during the manual reporting period
OTHER			
5 milin			
Response to COC Chair letter from previous year?			

NATIONAL FISHERIES AND AQUACULTURE AUTHORITY (NaFAA)

Office of the Director General

RL/NaFAA/DG/L-0160/'22 November 22, 2022

Derek Campbell Compliance Committee Chair ICCAT Madrid, Spain

Dear Mr Campbell,

In response to your Jetter dated 15th July 2022, the management of the National Fisheries and Aquaculture Authority (NaFAA) reaffirmed its commitment to dully carryout its responsibilities as a CPC to ICCAT and provide responses to the COC issues raised in the below.

- 1. Compliance Tables received late Liberia will endeavor to prepare and send its compliance tables on or before the deadline.
- 2. Some differences between Task 1 and Compliance tables; possible overharvest of blue marlin. Discrepancies between Task 1 and Compliance tables were reconciled, submitted and accepted by the Secretariat. From the reconciliation process, BUM was not overharvest. Moreover, YFT, BET and BSH were included in the Compliance Tables.
- 3. Task 2 Catch and Effort data not received. Task 1 and size data received late Rec. 19-02 para. 13: Task 1 and 2 tables were submitted on time but later sent back by the Secretariat for clarification. The said clarifications were made and submitted to the Secretariat.
- 4. No quarterly reports of tropical tuna received Rec. 19-04 para. 51: As stated in the Annual Report, Liberia is new to this type of fishery as it was the first time that we had Liberian flagged tuna vessels. This situation will improve in the near future, if Liberia flags new vessels. However, the two vessels that were flagged to Liberia have now de-flagged.
- 5. One vessel included on EBFT-other list retroactively Rec. 16-14: Does this apply to us? No, it does not apply.
- 6. No information on or data from national scientific observer programme Rec. 18-05 and Rec. 18-06: Liberia requests capacity for our national observer program. Currently our observers are not collecting most of the variables to complete form ST09.
- 7. No Check Sheets received. In addition, no direct response was received to my previous letters of 2020 and 2021. Check sheets were completed and submitted and accepted by the Secretariat.

Thanks for your understanding and we look forward to working with you Chair to provide clarification where necessary.

Kind regards,

Emma Metieh Glassco Director General

Compliance letter response template

2022 Commission Mee	ting		
CPC: LIBERIA AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables	Rec. 19-05 and 17-03: Continued overharvest of northern swordfish: No quota, 2021 balance = -117.19 t. SWON negative balance increasing: 2018 balance = -19.49 t, 2019 = -21.19 t, 2020 = -25.71 t. Overharvest of blue marlin: 2021 quota = 10 t, 2021 balance = -83.62 t. BUM negative balance decreasing: 2018 = -107.97 t, 2019 = -99.95 t, 2020 = -91.77 t.	Discrepancies between Task 1 and Compliance tables were reconciled, submitted, and accepted by the Secretariat during the 2019 annual meeting. From the reconciliation process, BUM was not overharvest. Moreover, YFT, BET and BSH were included in the Compliance Tables. For SWON, our adjusted balance show a decrease.	November 24, 2019 (email exchanges) October 4, 2023
Capacity, size, gear,			
time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data			
Other reports – shark and billfish check sheets	Rec. 19-02: Some Task 1 of tropical tuna species submitted for 2021 but no corresponding monthly or quarterly reports.	Liberia was new to this type of fishery as it was the first time that we had Liberian flagged tuna vessel. This situation will improve in the near future, if Liberia flag new vessels. However, the two vessels that were flagged to Liberia have now de- flagged.	
CATEGORY C			
MCS-species related			
MCS-general: Port Controls			
Vessel Controls			
--	----------------------------------	---	-------------------
OTHER			
Response to COC Chair letter from previous year?	No reply to COC Chair letter.	Liberia responded to the letter (attached).	November 22, 2022

2022 Commission Mee	ting		
CPC: LIBYA			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables			
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data	Task 1 and Task 2 data received late (13 October 2022).	Task 1 and Task 2 was Submitted late due to difficulties in gathering data related.	
<i>Other reports</i> – shark and billfish check	Rec. 18-06: No updated Shark Check Sheet	CPC LIBYA no new data for shark check	
sheets	received.	sheet.	
CATEGORY C			
MCS-species related	Rec. 21-08: One Joint Fishing Operation reported late.	Due to logistical reasons for this JFO especially that one of the owners of these vessels has died, However the JFO didn't operate until the JFO list approved and published from ICCAT (7 days from date of sending the list).	
MCS-general: Port Controls			
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?			

Compliance	letter response	template
------------	-----------------	----------

2022 Commission M	eeting		
CPC: NAMIBIA			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables	Rec. 19-05 and 16-16: Continued Overharvest of blue marlin: 2021 quota = 10 t, 2021 balance = -226.98 t. BUM negative balance increasing: 2018 = -143.00 t, 2019 = -185.72 t, 2020 = -227.13 t. Discrepancies between Task 1 and Compliance tables for S. albacore and bigeye tuna.	Namibia are aware of the continues over catch of the last few years of the blue marlin quota. To address this non compliance some control measures was put in place such as regular industry consultations to sensitize right Holder to comply with the conservation measure and limit the catches of blue marlin in their fishing operations. This is further enhanced by sensitizing our fisheries observers on board the fishing vessels to monitor the catch rates of these species and in port monitoring of landings by fisheries inspectors. In addition the fishing industry are compliant and thus Namibia has noted that all these measures had a positive effect with a drastic reduction of blue marlin catches for the last year in compliance with the conservation measure.	
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report Statistical Data	Rec. 16-14: No ST09 form submitted.		15/07/2023
Other reports – Shark and Billfish Check Sheets	Rec. 18-06: No updated Shark Check Sheet received.		15/09/2023
CATEGORY C			
MCS-species related			

MCS-aeneral	Rec 16-14: No		Rec 16-14:
MCS-general: Port Controls	Rec. 16-14: No information on scientific observer programme.	Was improved	Rec. 16-14: Namibia do have a National Observer Program. The Fisheries Observer Agency's (FOA) mandate is to observe the harvesting, processing and handling of marine resources and to collect biological data onboard commercial fishing vessels. Fisheries observers were also tasked to observe the activities of fishing vessels at sea and report any violations for possible action to be taken against the culprits. Furthermore, Namibia had deployed Fisheries Inspectors both at sea onboard Fisheries Patrol vessels and in the harbours, to ensure strict compliance with the country's rules and regulations related to the exploitation of marine living resources, including those adopted by Namibia as part of its obligations to RFMO's and International Organisations.
Vesser Controls	arrangements received late (after start of arrangement).	chartering arrangements was notified immediately notified in accordance with Rec 13-14.	
OTHER			
Response to COC Chair letter from previous year?	No reply to COC Chair letter.		

Managua, 18 August 2023 DG/EJA/217/08/2023

CAMILLE JEAN PIERRE MANEL Executive Secretary International Commission for the Conservation of Atlantic Tunas (ICCAT)

Dear Mr Manel,

On 27 July this year, we received a communication from the Chair of the ICCAT Compliance Committee, Mr Derek Campbell, signalling some issues concerned with late reporting, and in particular, the reporting of zero catches, as well as the need for further information on some issues such as transhipments; in this regard, he indicated that it is not clear whether or not these activities take place in our ports. We are working to overcome these issues this year, through submission and the corresponding clarifications.

He also signalled that the Annual Report that was submitted through the IOMS system may contain some incomplete responses. On this matter, he indicated in his communication that if we had any doubts regarding the issues raised in his letter that we should contact him through the Secretariat to request further clarification.

We therefore request more details on the incomplete responses in the IOMS system so that the situation is clear and we are able to address it satisfactorily. We are available for a video conference with the area responsible, if that is possible, or any way that you see fit.

We thank you in advance for your kind and customary support.

Please accept the assurances of my highest consideration.

Yours sincerely,

Edward Jackson Abella

Director-General Nicaragua Institute of Fisheries and Aquaculture (INPESCA)

OFFICE OF INTERNATIONAL TECHNICAL COOPERATION

Panama, 14 September 2023 DCI-ARAP-209-2023

Mr Camille Jean Pierre Manel Executive Secretary International Commission for the Conservation of Atlantic Tunas (ICCAT)

Dear Sir,

With reference to the letter S23-08386 of 7 August 2023 on compliance related issues, please find attached a response specifically to the three observations on:

- Compliance Tables
- Statistical data and historical FAD data
- Monthly and quarterly reports on tropical tunas

Yours sincerely,

YARKELIA VERGARA Chief

Compliance letter response templa	ate
-----------------------------------	-----

2022 Commission Me	eeting		
CPC: PANAMA AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
Category A Compliance Tables	Rec. 16-16: Compliance tables received late (14 September 2022).	The delay in reporting was due to structural changes that Panama was making to comply with resources conservation and management measures. Currently, we apply data reporting controls, which is why there has not been any delay in reporting.	N/A
Capacity, size, gear, time, area restrictions			
Category B Annual Report			
Statistical Data	Rec. 21-01: Some statistical data received late. Historical data on FAD sets submitted, but the number of sets was aggregated (a breakdown is needed using ST03).	Panama was making changes and modifications to the structure for report monitoring and submission in general. Currently Panama has submitted these reports without delay. Remedied as the historical data on FAD sets were submitted. The breakdown was provided.	28/02/2023

PANAMA

Other reports – BILL and SHK Check Sheets	Rec. 19-02: Monthly and quarterly reports on tropical tunas received late (2021 data received on 11 October 2022).	Currently monthly and quarterly reports are submitted without delay.	
		Panama was making changes and modifications to the structure for report monitoring and submission in general.	
Category C			
MCS-species related			
MCS-general: Port Controls			
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?			

ICCAT Executive Secretary

Dear sir,

We acknowledge the efforts made by ICCAT for the management of tuna and tuna-like species in the Atlantic Ocean and we are available to collaborate for the achievement of positive results.

However, despite all the efforts of the São Tomé and Príncipe fisheries authorities to make data available and full collaboration with ICCAT, we have not achieved the expected results, due to the change in the fisheries administration, the COVID-19 pandemic, financial limitations, among others.

We have taken note of our lack of commitment in producing and submitting statistical data, but data submission is still a major responsibility for us.

We are aware that planning depends exclusively on data and the human capacity to do so.

We apologise for the delay, error and absence of information from São Tomé and Príncipe on tuna catches.

We take this opportunity to inform you that our commitment lies in submitting statistical data at the earliest opportunity, given the importance of this resource for food security and the São Tomé and Príncipe economy.

We also request technical assistance from ICCAT to help us to comply with these recommendations.

Please accept the assurances of my highest consideration.

The Director ILAIR DA CONCEIÇAO

2022 Commission Ma	oting		
2022 Commission Me CPC: SENEGAL			
AREA OF DEFIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance tables	Rec. 21-02: Overharvest of bigeye tuna: 2021 quota = 1312.15, 2021 balance = 599.47. 2020 balance = -1377.77 This overharvest will be deducted from the 2022 quota. Adjusted quota for 2022 = -65.62.	Senegal has taken the following measures: - Reduction in effort directed at bigeye tuna; - In implementing its payback plan for this overharvest, Senegal has deducted 137.77 t from its limit, i.e. 10% of the amount. Notification of limit to vessel owners. - Senegal submitted a draft recommendation for discussion during Panel 1 and the 28th Commission meeting in November 2023.	
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report	Some responses to Part 1 (scientific) in the IOMS may be incomplete.	The responses were completed for 2022.	
Statistical Data	Some Task 1 data or confirmation of nil catches missing. Rec. 21-01 Some historical data on FADs are available but incomplete for effort.	FAD data were completed and effort data were corrected in relation to the ICCAT Secretariat.	
<i>Other reports</i> – Shark and Billfish Check Sheets	Recs. 17-02 and 21-02: SWO-N management plan submitted late.		
CATEGORY C			
MCS-species related	Recs. 19-02 and 21-01: No monthly or quarterly reports on tropical tuna catches received.		
MCS-general: Port Controls	Rec. 16-14: The information required in the summary of the Annual Report regarding the scientific observer programme has not been submitted.	Despite transposition of the recommendation on scientific observers, Senegal is experiencing difficulties in fully implementing this requirement. There is a not a sufficient number of observers, which is in the process of being resolved.	

		1	
Vessel Controls	Rec. 21-02: Northern	There is no evidence of	
	albacore catches taken	albacore catches by	
	by vessels not included	Senegalese vessels.	
	in the Record of ALB-N	These are claims made	
	vessels (for further	by the European Union	
	information see COC_306	based on exports of	
	and COC_312).	albacore tuna to its	
		market. These exports	
		are fraudulent because	
		they are carried out	
		outside the official	
		certification channels.	
		As a result, no trace of	
		them can be found in	
		the export files. Senegal	
		has no information on	
		the alleged captures.	
OTHER			
Response to COC	No response to the COC		
Chair letter from	Chair letter.		
previous year?			

2022 Commission Mee CPC: SOUTH AFRICA	0		
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables			
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data			
<i>Other reports</i> – Shark and Billfish Check Sheets			
CATEGORY C			
MCS-species related			
MCS-general: Port Controls	Possible imports of fish caught by IUU vessel.	South Africa has stringent and rigorous measures in place regarding importation of fish or fishery products. These include but not limited to, being issued with an import permit, inspection of the fish fishery products by the Fisheries Compliance Officers, Customs Authorities, verification of whether the products were legally caught etc. The vessel, <i>Halifax</i> which caught the fish was at the time duly registered on the Namibian Ship Register, and the catches were authorized in terms of duly issued rights/licenses issued by the Namibian Competent Authorities. In addition, the fish or fishery products were	

	1		
		cleared and loaded by	
		Novaship Namibia on	
		behalf of the importer	
		for export of the fish	
		to South Africa.	
		to boutin militai	
		In light of the above,	
		the fish was cleared	
		for import. However,	
		it became apparent	
		that there were issues	
		with the vessel that	
		caught the fish and to	
		this end, the	
		Importers	
		immediately flagged	
		and isolated the fish	
		pending the outcomes	
		of the investigation on	
		this matter.	
Vessel Controls	Rec. 13-14: No	Please be advised that	
	notification of early	it's not correct to say	
	termination of	the information was	
	chartering arrangement.	not submitted or no	
	chartering arrangement.	notification of early	
		-	
		termination	
		South Africa provided	
		the information as	
		required and during	
		the period when the	
		termination came into	
		effect. The query	
		relates to the	
		contradiction with	
		regards to the end	
		dates submitted by	
		the South African and	
		Namibian Authorities.	
		This has since been	
		resolved and the	
		same was transmitted	
		to the Secretariat.	
OTHER			
Response to COC Chair			
letter from previous			
year?			
v			

Response from Syria to letter from Chair of Compliance Committee

Dear Sir,

Referring to your letter regarding the deficiencies noted by Compliance Committee, we would like to clarify the following:

- 1. We confirm that the national scientific observer programme implemented in Syria.
- 2. Syria implemented national observer programme taking into account the circumstances in Syria and illegal sanction since 2011 and considering that the majority of fishing vessels belong to the artisanal sector (less than 15 m) and catch of vessels landed with no discards allowed.
- 3. The national observer programme implemented by distributing observers in all landing centers on Syrian coast, and the data collected through this program have been used to quantify the composition, disposition, and quantity of the total catch of fisheries in Syria.
- 4. We request for technical support for developing landing centers and training programs for at least two officers regarding resource management and implementation of scientific observers programme to insure more cooperation and best implementation of ICCAT recommendations in future to come.

Best regards

2022 Commission	n Meeting		
CPC: SYRIA			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables			
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report Statistical Data Other reports – Shark and Billfish Check Sheets			
CATEGORY C			
MCS-species related			
MCS-general: Port Controls			
Vessel Controls			
OTHER			
Response to COC Chair letter from previous year?	No reply was received in response to last year's letter regarding national scientific observer requirements (Rec. 16- 14). The letter was sent following the 2021 decision making process.	We confirm that the national scientific observer program implemented. Syria implemented national observer program taking into account the circumstances in Syria and illegal sanction since 2011 and considering that the majority of fishing vessels belong to the artisanal sector and catch of vessels landed with no discards allowed. The national observer program implemented by distributing observers in all landing centers on Syrian coast, and the data collected through this program have been used to quantify the composition, disposition, and quantity of the total catch of fisheries in Syria.	We request for technical support for developing landing centers and training programs of at least two officers regarding resource management and implementation of scientific observers program to insure more cooperation and best implementation of ICCAT recommendations in future to come.

MINISTRY OF AGRICULTURE, LAND & FISHERIES Fisheries Division

12 October 2023 Mr Derek Campbell Compliance Committee Chair International Commission for the Conservation of Atlantic Tunas Corazon de Maria 8 28002 Madrid SPAIN

SUBJECT: LETTER ON COMPLIANCE ISSUES

Dear Mr Campbell

Trinidad and Tobago conveys its commendations to the Commission and Secretariat and wishes to reaffirm its commitment to conservation and management of Atlantic tunas and tuna-like species for the benefit of current and future generations.

Reference is made to your letter dated 2 October 2023 which seeks a reply from Trinidad and Tobago on the following compliance deficiencies noted by the Commission during its 27th Special Meeting held 14-21 November 2022 :

- Rec- 19-05 and 16-16: Overharvest of WHM
- Rec. 18-06: Shark Check Sheets submitted late (28 September 2022)
- Rec. 16-14: Observer programme not yet implemented

Please find attached the completed template indicating the remedial action taken and planned to address the identified deficiencies. In particular, we wish to highlight that Trinidad and Tobago has been engaged, since March 2022 to the present, in intensive efforts with the aim of enacting modernised fisheries management legislation within the shortest deadline possible and that the political directorate has given an undertaking to enact the Fisheries Management Bill before the end of 2023.

Trinidad and Tobago reiterates to the Compliance Committee, our commitment to improving our conservation and management regime and implementation of measures.

I wish, Chair, to extend to you, assurances of my highest consideration.

Yours sincerely

Nerissa Lucky Director of Fisheries (Ag) TRINIDAD AND TOBAGO Head Delegate

2022 Commission Me	eting		
CPC: TRINIDAD & TOP			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables	Overharvest of white marlin: 2021 quota = 15, 2021 balance = -4.56 t	It is noted that 0.36t WHM were landed by TTO in 2021. 0 kg WHM were landed in 2022. 2022 landings limit = 15 t, 2022 helenes = 10.44t	Notification of landing submitted 6 June 2023 (Task 2 and 3 statistics) and 14 August 2023 (Compliance Tables).
Canadity disa adam		2022 balance = 10.44 t	
<i>Capacity, size, gear, time, area restrictions</i>			
•			
CATEGORY B			
Annual Report			
Statistical Data			
<i>Other reports</i> – shark and billfish check sheets	Rec. 18-06: Shark sheet submitted late (28 September 2022).	TTO notified of its limited staffing in previous Annual Reports. The senior members of staff of the Fisheries Division have been, since March 2022, intensely engaged in duties to facilitate passage of TTO's Fisheries Management Bill within an urgent deadline (see comment below). Given that the very same staff members are required to perform duties related to ICCAT reporting it is anticipated that upon passage of the Bill an improvement in reporting by TTO will be obtained.	28 September 2023
CATEGORY C			
MCS-species related			

			1
MCS-general:	Rec. 16-14: Observer	The political	
Port Controls	programme not yet	directorate of TTO has	
	implemented.	placed priority on	
		enactment of the	
		urgently required	
		updated fisheries	
		legislation and has	
		given an undertaking	
		to enact the Bill before	
		the end of 2023. This	
		action will in turn	
		facilitate strategic	
		planning by the	
		Fisheries Division	
		with respect to	
		implementation of the	
		Observer programme.	
Vessel Controls			
OTHER			
Response to COC			
Chair letter from			
previous year?			

Executive Presidency Office of the Minister of Fisheries and Aquaculture

29 September 2023 INCOPESCA-PE-0867-2023

Mr Derek Campbell Compliance Committee Chair International Commission for the Conservation of Atlantic Tunas Madrid, Spain info@iccat.int

Dear COC Chair,

I have the honour to address you, on this occasion, in reference to your valuable communication 2023-07-07 S23-072023 of 27 July 2023, regarding the letter on compliance issues for Costa Rica.

Accordingly, I would like to provide a response to the information requested through the template that accompanied the communication referred to above.

I am at your disposal for any clarifications or information that you may require.

Yours sincerely,

Mr Heiner Jorge Méndez Barrientos Minister of Fisheries and Aquaculture Executive President INCOPESCA

2022 Commission Meet	ing		
CPC: COSTA RICA			
AREA OF DEFICIENCY	SPECIFIC ELEMENT	REMEDIAL ACTION TAKEN SINCE 2022 MEETING	DATE MISSING DATA/INFORMATION SENT (if applicable)
CATEGORY A			
Compliance Tables	Recs. 19-05 and 17-02: Continued overharvest of northern swordfish: No quota, 2021 balance = -246.64 t. Increasing negative balance for SWO-N: 2018 balance = -149.34, 2019 = -172.85, 2020 =-196.03. Continued overharvest of blue marlin: 2021 quota = 10, 2021 balance = -181.18 t. Increasing negative balance for BUM: 2018 balance = -141.83, 2019 = -159.28, 2020 =-167.49.	In 2022, mandatory satellite tracking via VMS was implemented in the Atlantic on medium-scale longline vessels. In 2022 about half of this fleet operated and the volumes of the landings of both species were approximately halved compared to 2021. No new licences were issued in 2022 and no issue of new licences is envisaged in 2023.	
Capacity, size, gear, time, area restrictions			
CATEGORY B			
Annual Report			
Statistical Data			
<i>Other reports</i> – Shark and Billfish Check Sheets	Rec. 19-02: Some Task 1 data on tropical tunas reported for 2021, but no corresponding quarterly reports.	With INCOPESCA's current data collection system, information for a given year is available until the middle of the following year. INCOPESCA is currently working to develop an app that will make information available quicker, which will enable us to submit tropical tuna reports quarterly. This app is currently in the testing phase and is expected to be in full use for the first semester of 2024.	

CATEGORY C		
MCS-species related		
MCS-general:		
Port Controls		
Vessel Controls		
OTHER		
Response to COC Chair		
letter from previous		
year?		

Ministry of Agriculture Fisheries Department

October 1, 2023

Derek Campbell Compliance Committee Chair ICCAT Secretariat Corazon de Maria, 8 28002 Madrid, Spain

SUBJECT: RESPONSE TO LETTER ON COMPLIANCE ISSUES

Dear Mr Campbell,

I appreciate your communication regarding the compliance issues raised during ICCAT's 27th Special Meeting in November 2022 concerning Guyana's performance in implementing ICCAT conservation and management measures and reporting requirements. As such please allow me to reassure you that Guyana takes its commitment to conserving Atlantic Tunas and complying with ICCAT regulations very seriously. We are pleased to note that ICCAT has acknowledged the improvements in our efforts, leading to the lifting of the Trade Measures Recommendation (Rec. 06-13). However, we acknowledge that there are still areas where further improvements are needed, as outlined in your letter.

Regarding the concerns raised:

Rec. 19-05 and 17-02:

Overharvest of northern swordfish, blue marlin and or white marlin: We understand the seriousness of this issue and would like to clarify that the fishing company responsible for the overharvest of northern swordfish has ceased operations since the issuance of the order by the Fisheries Department in 2021. To date the tuna fishery responsible for these catches has remained closed, notwithstanding, we will ensure compliance with quotas in the future.

Rec. 19-02:

We acknowledge the deficiency in reporting for Task 1 of tropical tuna species in 2021 and the absence of corresponding quarterly reports. We apologize for this lapse and will ensure that all reporting requirements are met in a timely manner moving forward.

We understand that deficiencies in compliance and reporting can hinder ICCAT's efforts in achieving its conservation objectives. We are committed to rectifying these deficiencies promptly and improving our reporting practices.

We appreciate ICCAT's dedication to the conservation of Atlantic Tunas and its role in ensuring the sustainable management of these resources. If you require any further information or clarification regarding the steps we are taking to address these issues, please do not hesitate to contact us.

Once again, we thank you for bringing these matters to our attention, and we remain committed to working closely with ICCAT to achieve our shared goals of sustainable tuna conservation.

Sincerely,

Denzil Roberts Head Delegate of Guyana