Original: English

ANNEX 7 to COC-303

MEASURES IN FORCE WHICH DO NOT REQUIRE AND IN-DEPTH REVIEW BY COC

- [66-01] Resolution on the collection of statistics on the Atlantic tuna fisheries *Included in review of* 11-15
- [75-02] ICCAT Scheme of Joint International Inspection *Review will take place under 19-04 and 16-05, under which this scheme has been activated*
- [93-08] Resolution by ICCAT on cooperation with the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) *Expression of intent, no review by COC required*
- [93-09] Resolution by ICCAT concerning the composition of the delegations of ICCAT Contracting Parties to CITES *Expression of intent, no review by COC required*
- [94-06] Resolution by ICCAT on coordination with non-Contracting Parties *Reviewed under 03-20*
- [96-15] Resolution by ICCAT on large-scale pelagic driftnets *Non-binding resolution and no direct reporting requirements for CPCs. Information may be included in Annual Reports or under Rec.* 03-04.
- 95-02] Resolution by ICCAT on cooperation with the Food and Agriculture Organization of the United Nations (FAO) with regard to study on the status of stocks and by-catches of shark species *Non-binding and no reporting or obligations imposed on CPCs*
- [99-07] Resolution by ICCAT on improving recreational fishery statistics *A non- binding Resolution, but CPCs should include information in Annual Reports.*
- [99-11] Resolution by ICCAT calling for further actions against illegal, unregulated, and unreported fishing activities by large-scale longline vessels in the Convention area and other areas *Non-binding and provisions are not of the type for which CPC compliance can be assessed.*
- [99-12] Resolution by ICCAT concerning the need for new approaches to deter activities that diminish the effectiveness of ICCAT conservation and management measures *Non-binding and provisions are not of the type for which CPC compliance can be assessed.*
- [99-13] Resolution by ICCAT endorsing the International Plan of Action for the Management of Fishing Capacity (IPOA) *No obligations on CPCs and nothing to review by COC*
- [00-20] Resolution by ICCAT for integrated monitoring measures *In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC*
- [01-13] Supplemental Recommendation by ICCAT Regarding Compliance in the Bluefin Tuna and Atlantic Swordfish Fisheries *Measures on carry over are included in the more recent Recommendations on bluefin and swordfish.*
- [01-16] Resolution by ICCAT on the deadlines and procedures for data submission *Para 2 has been overtaken by 16-16. Review of deadlines to be considered in conjunction with each requirement.*
- [01-18] Resolution by ICCAT further defining the scope of IUU fishing *Non-binding and no direct obligations or way of measuring compliance*
- [02-26] Resolution by ICCAT concerning cooperative actions to eliminate illegal, unreported and unregulated fishing activities by large-scale tuna longline vessels *Non-binding and no reporting or obligations imposed on CPCs*

- [03-10] Resolution by ICCAT on the shark fishery *Non-binding and no reporting or obligations imposed on CPCs*
- [03-19] Recommendation by ICCAT concerning the amendment of the forms of the ICCAT bluefin/bigeye/swordfish statistical documents *Taken into account in the Review of 01-21 and 01-22*
- [03-21] Resolution by ICCAT on improvements in data collection and quality assurance *Directives to SCRS for review by Plenary/STACFAD*
- [05-07] Resolution by ICCAT concerning the change in the registry and flagging of vessels *Non-binding resolution and no reporting or obligations imposed on CPCs*
- [05-08] Resolution by ICCAT on circle hooks *Non-binding and no direct obligations or way of measuring compliance*
- [05-11] Resolution by ICCAT on pelagic *Sargassum Non-binding and not otherwise relevant to COC* review of other measures
- [06-08] Resolution by ICCAT on Fishing Bluefin Tuna in the Atlantic Ocean *Non-binding, and there are no means available to determine compliance with this measure*
- [06-16] Recommendation by ICCAT on an Electronic Statistical Document Pilot Program *Does not contain provisions for which review of compliance is warranted by COC*
- [06-17] Resolution by ICCAT to Establish a Working Group on Sport and Recreational Fisheries In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC
- [08-06] Resolution by ICCAT Concerning Atlantic Bluefin Tuna Scientific Research on Stock Origin and Mixing *Non-binding, and deficiencies in impelmentation can be addressed by other ICCAT bodies (e.g., SCRS)*
- [08-10] Recommendation by ICCAT to Harmonize the Measurement of Length of the Vessels Authorized to Fish in the Area of the Convention Intended to clarify other Recommendations and does not require review by COC
- [09-09] Recommendation by ICCAT Amending Three recommendations in Conformity with the 2009 Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels 20 Metres in Length Overall or Greater authorized to Operate in the Convention Area Administrative in nature, compliance will be reviewed under the original recommendations
- [10-11] Recommendation by ICCAT on an electronic bluefin tuna catch document programme (EBCD) Justification for eBCD implementation and related advantages
- [11-06] Recommendation by ICCAT Concerning the Atlantic-Wide Research Programme for Bluefin Tuna (GBYP) For consideration by SCRS and STACFAD – any compliance issues would be considered in the context of 14-04 or 16-09
- [11-13] Recommendation by ICCAT on the Principles of Decision Making for ICCAT Conservation and Management Measures *Directives to Panels which do not require review by COC*
- [11-14] Resolution by ICCAT to Standardize the Presentation of Scientific Information in the SCRS Annual Report and in Working Group Detailed Reports *Directives to SCRS which do not require review by COC*
- [11-17] Resolution by ICCAT on Best Available Science *Directives to SCRS which do not require review*

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- [11-23] Recommendation by ICCAT to Amend the Terms of Reference of the Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG) In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC
- [11-24] Recommendation by ICCAT to Amend the Mandate and Terms of Reference Adopted by the Commission for the ICCAT Conservation and Management Measures Compliance Committee (COC) In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC

[12-09] Recommendation by ICCAT on a Process Towards the Establishment of a Catch Certification Scheme for Tuna and Tuna-like Species Establishes a Working Group but no binding requirements for CPCs

- [12-11] Resolution by ICCAT Regarding the Presentation of Objections in the Context of Promoting Effective Conservation and Management Measures Adopted by ICCAT *Administrative* /
- [12-12] Resolution by ICCAT on the Sargasso Sea *Directives to SCRS for review by Plenary*

procedural and non-binding in nature

- [13-10] Recommendation by ICCAT on Biological Sampling of Prohibited Shark Species by Scientific Observers any compliance issues arising would be reviewed in the context of the relevant Recs for those species
- [13-12] Recommendation by ICCAT on the Rules of Procedure for the Standing Committee on Research and Statistics (SCRS) *Directives to SCRS which do not require review by COC*
- [13-15] Resolution by ICCAT to Complete the Standardization of the Presentation of Scientific Information in the SCRS Annual Report *Directives to SCRS which do not require review by COC*
- [13-19] Recommendation by ICCAT on the Establishment of a Scientific Capacity Building Fund for Developing States which are ICCAT Contracting Parties *For consideration by STACFAD, no review by COC required*
- [14-08] Recommendation by ICCAT to Support Effective Implementation of Recommendation 12-07 by ICCAT for an ICCAT Scheme for Minimum Standards for Inspection in Port *Does not establish CPC obligations in need of review by COC.*
- [15-07] Recommendation by ICCAT on the Development of Harvest Control Rules and of Management Strategy Evaluation *Directives to SCRS which do not require review by COC*
- [15-08] Recommendation by ICCAT Amending Deadlines of Two ICCAT Recommendations *Taken into account during review of relevant Recs*
- [15-09] Resolution by ICCAT Establishing Guidelines for the Implementation of the Recommendation 11-15 by ICCAT on Penalties Applicable in the Case of Non-Fulfilment of Reporting Obligations *This Resolution needs to be implemented by, but not reviewed by, COC*
- [15-11] Resolution by ICCAT Concerning the Application of an Ecosystem Approach to Fisheries Management *Guidelines for the Commission which do not require review by COC*
- [15-12] Resolution by ICCAT Concerning the Use of a Precautionary Approach in Implementing ICCAT Conservation and Management Measures Non-binding and *no reporting or obligations imposed on CPCs*

- [15-13] Resolution by ICCAT on Criteria for the Allocation of Fishing Possibilities *Criteria to be taken into account by Panels*
- [16-02] Recommendation by ICCAT to Establish an Ad hoc Working Group on Fish Aggregating Devices (FADs) Sets forth ToRs for the WG, but no reporting or obligations imposed on CPCs
- [16-17] Resolution by ICCAT Establishing an ICCAT Schedule of Actions to Improve Compliance and Cooperation with ICCAT Measures *Procedural in nature and does not require review by COC*
- [16-18]Recommendation by ICCAT to Clarify and Supplement the Process for Seeking Capacity
Building Assistance Pursuant to ICCAT Recommendation 14-08
In general, COC is not required to review the ToRs of the WGs established by Commission, unless
specific to the procedures of COC
- [16-19]Recommendation by ICCAT for the Development of an Online Reporting SystemIn general, COC is not required to review the ToRs of the WGs established by Commission, unless
specific to the procedures of COC

[16-20]Resolution by ICCAT to Establish an Ad Hoc Working Group to Follow up on the Second
ICCAT Performance Review
In general, COC is not required to review the ToRs of the WGs established by Commission, unless
specific to the procedures of COC

- [16-21] Resolution by ICCAT on the Third Meeting of the Standing Working Group for Enhancing the Dialogue between Fisheries Scientists and Managers (SWGSM) *In general, COC is not required* to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC
- [16-22] Resolution by ICCAT to Facilitate an Effective and Efficient Compliance Process *Procedural in nature, requires implementation but not review by COC*
- [17-01] Recommendation by ICCAT on prohibition on discards of tropical tunas caught by purse seiners *Discards to be reported, but not until 2019*
- [18-03] Resolution by ICCAT on Development of Initial Management Objectives for Eastern and Western Bluefin Tuna Panel 2 was requested to carry out work, which is contained in the intersessional meeting report of that body, but there are no CPC reporting requirements to review
- [18-07] Recommendation by ICCAT to Amend ICCAT Reporting Deadlines in Order to Facilitate an Effective and Efficient Compliance Process Amends deadlines for the submission of information, compliance with which will be reviewed under the relevant measures.
- [18-14] Recommendation by ICCAT Amending Four Recommendations and One Resolution Updates references in previous Recommendations but does not contain any reporting requirements for COC review.
- [19-01] Recommendation by ICCAT on fishes considered to be tuna and tuna-like species or oceanic, pelagic, and highly migratory elasmobranchs *Clarifies the species under ICCAT mandate, but no reporting requirements to review*
- [19-13] Resolution by ICCAT regarding participation by fishing entities under the amended ICCAT Convention *Clarifies which CPCs may become members, but no reporting requirements to review*

- [19-14] Resolution by ICCAT on development of initial management objectives for North Atlantic swordfish *Panel 4 was requested to carry out work but there are no CPC reporting requirements to review*
- [19-15] Resolution by ICCAT establishing an ICCAT working group on bluefin tuna control and traceability measures *Establishes a Working Group but no specific requirement for CPCs*
- [19-16] Resolution by ICCAT on harmonisation and improved observer safety *CPCs commit to strengthening dialogue vessel owners, crew and operators, but measure is non-binding and no requirement to report is indicated*
- [20-09] Recommendation by ICCAT amending Recommendation 14-14 on the Establishment of a Meeting Participation Fund for Developing ICCAT Contracting Parties
- [21-12] Recommendation by ICCAT on vessels without nationality No actions required by CPCs
- [21-17] Resolution by ICCAT Establishing a Pilot Project for the Implementation of Remote Electronic Monitoring (REM) on Bluefin Tuna Processing Vessels *Voluntary project, no compliance review required*
- [21-20] Recommendation by ICCAT to continue the development of an Integrated Online Reporting System

Outlines the tasks of the Working Group but IOMs will be evaluated under Annual Reports

- [21-21] Resolution by ICCAT establishing an ICCAT Working Group on Catch Document Scheme *Establishes a Working Group but no binding requirements for CPCs*
- [21-22] Resolution by ICCAT for the establishment of an ICCAT Working Group on the use of Electronic Monitoring Systems (EMS) Establishes a Working Group but no binding requirements for CPCs
- [21-23] Resolution by ICCAT establishing a process to address labor standards in ICCAT fisheries *Submission of information is optional, no compliance review required.*

[22-02] western Atla	Resolution by ICCAT on development of initial conceptual management objectives for antic skipjack
	Panel 1 was requested to carry out work but there are no CPC reporting requirements to
<u>review</u>	
[22-07]	Resolution by ICCAT on a pilot project for the short-term live storage of bluefin tuna No reporting required until 2027
[22-09]	Recommendation by ICCAT establishing a management procedure for Atlantic bluefin tuna to be used for both the western Atlantic and eastern Atlantic and Mediterranean management areas <i>Establishes MP for BFT, but no CPC reporting required</i>
[22-13]	<u>Resolution by ICCAT on climate change</u> Establishes a Working Group but no binding requirements for CPCs

[22-15] Resolution by ICCAT establishing a pilot project to test the use of stereoscopic cameras during first transfer and the automation of video footage analysis *Voluntary project, no compliance review required*

Other Decisions included in ICCAT Compendium:

75-02	ICCAT Scheme of joint international inspection [see above]
02-31	General Outline of Integrated Monitoring Measures Adopted by ICCAT
05-12	Guidelines and criteria for granting observer status at ICCAT meetings
09-13	ICCAT inspection reports
16-24	Guidelines for preparing the Eastern Atlantic and Mediterranean Bluefin tuna fishing, inspection and capacity management plan
20-10	Rules of Procedure for the Administration of the Special Meeting Participation Fund
22-18	Schedule of compliance issues and corresponding actions
22-19	Document number on transhipment declaration