**ICCAT PORT INSPECTION SELF PRE-ASSESSMENT**

*CPCs seeking capacity building for implementing ICCAT Recommendation 12-07 are encouraged to complete this Pre-Assessment as completely as possible (using additional sheets as needed) so that the assistance request can be effectively reviewed and evaluated by the Expert Working Group. All information provided is on a voluntary basis and CPCs are encouraged to solicit input from all relevant domestic entities (e.g., all organizations and agencies involved in controlling access to port, conducting port inspections, and investigating and prosecuting violations of fisheries laws) in completing this self-assessment.*

1. **ICCAT Delegate Completing the Assessment**
	1. CPC:

* 1. Name:
	2. Ministry or Agency:
	3. Position:
	4. Email:
	5. Telephone:
	6. Assessment Completion Date:
1. **Port Inspection Authorities**

*Please fill out the table on the following page in order to provide an overview of the nation’s port inspection management structure that includes the following information:*

* 1. List the agencies or ministries, including agencies within both national and sub-national (e.g., local) government, that have authorities related to granting or denying port access, granting or denying access to port services, conducting vessel inspections in port or other enforcement authorities associated with fishing or fishing-related activities.
	2. Provide a broad description of each listed agency’s authority. Please indicate if there is any overlap in jurisdiction between agencies. In the “additional notes” column, please identify which authorities need support or additional training to better implement port State measures and, in particular, ICCAT Recommendation 12-07.

*Please provide an overview of the CPC’s authorities related to granting or denying port access, granting or denying access to port services, conducting vessel inspections in port or other enforcement authorities associated with fishing or fishing-related activities where applicable and indicate which authorities need support or additional training to implement ICCAT Recommendation 12-07.*

|  |  |  |  |
| --- | --- | --- | --- |
| **Thematic Areas** | **Agency/Ministry Name** | **Jurisdiction/Authority** | **Additional Notes** |
| Fisheries |   |   |   |
| Customs |   |   |   |
| Immigration  |   |   |   |
| Port Authorities  |   |   |   |
| Maritime Authorities (i.e., Coast Guard, Navy) |   |   |   |
| Other(s) (*please specify*) |  |  |  |

1. **Inspection Capacity**

*Please answer the following questions and identify the number of fisheries inspectors authorized to board foreign-flagged fishing/fishing-related vessels assigned to each port where foreign-flagged vessels make port calls. Please add rows if necessary.*

|  |  |  |  |
| --- | --- | --- | --- |
| **Designated port name pursuant to ICCAT Rec. 12-07** | **Number of fisheries inspectors assigned to this port** | **What percentage of foreign-flagged vessels that carry ICCAT managed species are inspected at this Port?** |  **Is there sufficient inspection capacity at this port to conduct inspections on at least 5% of landing and transshipment operations as required by** **ICCAT Rec. 12-07?** |
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1. **Conduct of Port Inspections**
2. In what order do agencies, if applicable, inspect a fishing vessel and is the fisheries inspectorate first?
3. Describe the procedure when multiple agencies are involved in conducting joint-inspections
4. How many fisheries inspectors board a vessel at a time and does each inspector within the inspection team have a designated role?
5. Where there is no common language between the fisheries inspectors and the vessels, are there translation services or other methods of facilitating communication available?

1. Has the CPC entered into a bilateral agreement to allow for the exchange of fisheries inspectors?[[1]](#footnote-1)
2. **Fisheries Inspector Training[[2]](#footnote-2)**

*Please identify whether fisheries inspectors already receive training in each of the following areas, and/or areas where additional training is being requested.*

|  |  |  |  |
| --- | --- | --- | --- |
| **Training Element** | **Training Already Provided? (Yes / No)** | **Supplemental Training Needed (Yes/No) and in which Topic Areas?** | **Notes** |
| Ethics |  |  |  |
| Health, Safety and Security Issues |  |  |  |
| Applicable national laws and regulations, applicable RFMO measures (e.g., ICCAT measures), and applicable international law |  |  |  |
| Collection, evaluation and preservation of evidence |  |  |  |
| General inspection procedures such as report writing and interview techniques (including techniques for interviewing observers) |  |  |  |
| Analysis of information, such as logbooks and vessel history |  |  |  |
| Vessel inspection, including hold inspections  |  |  |  |
| Verification and validation of information relating to landings, transshipments, processing and fish remaining on board, including utilization of conversion factors |  |  |  |
| Identification of fish species and the measurement of length and other biological parameters |  |  |  |
| Identification of vessels and gear and techniques for the inspection and measurement of gear |  |  |  |
| Equipment and operation of VMS and other electronic tracking systems |  |  |  |
| Actions to be taken following inspection |  |   |   |
| Knowledge of the relevant fisheries (e.g., ICCAT fisheries) |  |   |   |
| Knowledge of the methods used by violators |  |   |   |

1. **Follow-Up Enforcement Actions**
2. Does domestic law allow for enforcement action to be taken when infringements are found during the conduct of a port inspection?

1. Are fisheries inspectors made available to support enforcement proceedings undertaken by other CPCs?
2. What additional measures are taken to prevent landings of tuna or tuna-like species caught in violation of ICCAT Recommendation?[[3]](#footnote-3)
3. **Optional Addition Information**

Please utilize the space provided to provide any additional comments relevant to capacity building needs that have not already been addressed in the questions above.

1. Rec. 12-07, paragraph 27. [↑](#footnote-ref-1)
2. Based in large part on the minimum elements of an inspector training program identified in Annex E of the *FAO Agreement of Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing*. [↑](#footnote-ref-2)
3. Recommendation by ICCAT to Adopt Additional Measures against IUU Fishing. [↑](#footnote-ref-3)