**ICCAT PORT INSPECTION SELF-ASSESSMENT**

*Please provide any additional comments or explanations of additional needs for each category using the section entitled “Further comments.” CPCs are encouraged to solicit input from all relevant domestic entities (e.g., all organizations and agencies involved in controlling access to port, conducting port inspections, and investigating and prosecuting violations of fisheries laws) in completing this self-assessment.*

**1***.* **ICCAT Delegate Completing the Assessment**

* 1. CPC:

* 1. Name:
	2. Ministry or Agency:
	3. Position:
	4. Email:
	5. Telephone:
	6. Assessment Completion Date:

**2. Please find the results of the CPC’s Self Pre-Assessment Attached in Appendix I.**

**3. International Engagement**

a) List any RFMOs or other arrangements, in addition to ICCAT, of which the CPC is a Member, Contracting Party or Cooperating non-Contracting Parties.

b) List relevant agreements to which the CPC is a party and other organizations or arrangements through which the CPC engages in international efforts to combat IUU fishing (e.g., the FAO Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing, the International Monitoring, Control, and Surveillance Network, INTERPOL, etc.).

**4. Ongoing/Completed Technical Assistance Projects**

*Please clarify any technical assistance projects that are envisioned, already in progress or have been completed, what assistance will be / was provided, and whether there are any additional requests for assistance on these topics.*

|  |  |
| --- | --- |
| Are any bilateral or other arrangements already in place for the purpose of providing (or receiving) capacity building assistance related to implementation of ICCAT Rec. 12-07?[[1]](#footnote-1) | Yes: No: |
| If yes, please name the projects and provide a brief description. (Expand space to account for multiple projects). |  |
| Are these projects or requests for capacity-building funding relative to port inspections coordinated regionally?  | Yes: No: |
| If so, please explain. |  |

**5. Communication, Cooperation, and Information-Sharing**

In the following two tables, please describe the primary authorities and secondary roles outlined for each decision point required for carrying out the requirements of a port inspection scheme (e.g., pre-screening vessels, communicating the pre-arrival information with the fisheries inspector, primary authority to conduct fisheries inspections on foreign-flagged vessels, or the authority(s) to take law enforcement action) and identify whether these roles are established through legal rulemaking.

*Please complete the following table to identify any communication procedures in place among the authorities listed in the Port Inspection Authorities table under pre-assessment item 2, with respect to port inspection or other aspects of fisheries enforcement (e.g., concept of operations/CONOPs, standard operating procedures, etc.).*

|  |  |
| --- | --- |
| Have plans been developed for communications and cooperation between the agencies identified in the Pre-Assessment, Section 2implementing port State controls and ICCAT Recommendation 12-07? | Yes: No: |
| Please describe and/or provide additional relevant documents, links and/or information: |
| How is information and intelligence shared among agencies to inform decisions on the granting or denial of port entry, access to port services, and the prioritization of inspections? |
| Please describe and/or provide additional relevant documents, links and/or information:Formal methods:Informal methods: |
| Do fisheries inspectors and other enforcement personnel have established standard operating procedures for the handling of such information (e.g., the sharing of a vessel’s advanced request for entry information with fisheries inspectors)? | Yes: No:  |
| Are the requirements of ICCAT recommendations understood by all relevant authorities in your country including staff from the fisheries authorities, customs, enforcement authorities (including fisheries inspectors), prosecutors, the judiciary? (Please identify possible gaps)  |
| When ICCAT adopts new measures, do these entities receive training on those new measures? Please describe |

*Please answer the following questions to explain what intelligence or reports inspecting authorities have access to prior to a vessel’s arrival.*

|  |  |
| --- | --- |
| Are reports (including reports from other port States or CPCs) of vessels engaging in IUU fishing communicated with fisheries inspectors and other appropriate authorities? | Yes: No:  |
| Are the reports referenced in the above row provided to the inspectors? | Yes: No: |
| Is a risk assessment conducted to prioritize inspections and is this information transmitted to the inspector? | Yes: No: |
| Are the inspection results of at-sea inspections communicated with fisheries inspectors? | Yes: No:  |
| Do fisheries inspectors receive reports regarding infractions (e.g., flagless vessels, EEZ incursions, etc.)? | Yes: No:  |
| Do fisheries inspectors have access to the ICCAT authorized and IUU vessels lists, including the list of authorized carrier vessels? | Yes: No: |

**6. General Information on Vessel Activities in Port**

*Please list the name of each port that receives foreign-flagged fishing/fishing-related vessels carrying ICCAT managed fish/products that have not been previously landed, the number of vessels, the purpose of these port call, and the vessel types. Please add rows if necessary.*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Designated port name pursuant to ICCAT Rec. 12-07[[2]](#footnote-2)** | **Total number of foreign-flagged fishing/fishing-related vessels carrying ICCAT managed species that enter port annually** | **Purpose of port call (e.g., landing fish, transshipment, port services, other)** | **Percentage of vessels that are harvesting vessels** | **Percentage of vessels that are refrigerated carrier vessels** | **Volume of fish/product unloaded at this port** | **Primary species landed, transshipped, processed at this port?** |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

**7. Prior Notification Information**

*Please describe the following aspects of the notification process for foreign-flagged fishing and fishing-related vessels carrying fish or fish products that have not been previously landed.*

|  |  |
| --- | --- |
| How far in advance are vessels required to provide their advance request for entry into port?  |  |
| Which agencies/ministries receive the advance request for port entry from the vessel and how is the information in shared with fisheries inspectors prior to inspection?  |  |
| What method of communication (e.g. email, radio, arrival notice system, etc.) do vessels use when submitting their request for port entry? |  |
| Are there procedures in place to conduct risk assessment to prioritize vessels for inspection? |  |

**8. Legal authorities of fisheries inspectors**

*Do fisheries inspectors have the legal authority to examine all relevant areas of a vessel, including:*

|  |  |
| --- | --- |
| **Vessel Areas** | **Legal authority to inspect?** **(Yes / No)** |
| Decks |  |
| Rooms |  |
| Catches, processed or otherwise |  |
| Nets and other fishing gear |  |
| Equipment (both technical and electronic) |  |
| Records of transmissions |  |
| Fishing logbooks |  |
| Cargo manifests |  |
| Mate's receipt and landing declarations |  |
| Any other relevant document |  |

**9. Inspection documentation**

*Please answer the following questions.*

|  |  |
| --- | --- |
| Has the country implemented the use of the ICCAT port inspection form/report[[3]](#footnote-3) or are the required fields integrated into an appropriate agency form?  | Yes: No: |
| If no, how is this required information documented? |

**10. Transmittal of Inspection Results**

To who does the fisheries inspector submit the inspection report to once complete and then with whom does the CPC share inspection results with (please list all entities)?

**11. Optional Addition Information**

Please utilize the space provided to provide any additional comments relevant to capacity building needs that have not already been addressed.

1. *Recommendation by ICCAT to Support Effective Implementation of Recommendation 12-07 by ICCAT for an ICCAT Scheme for Minimum Standards for Inspection in Port* [Rec. 14-08], paragraph 8; *See also*, Rec. 12-07, Paragraph 27. [↑](#footnote-ref-1)
2. Recommendation 12-07, paragraph 9. [↑](#footnote-ref-2)
3. https://www.iccat.int/en/portinspection.htm [↑](#footnote-ref-3)